Precision Medicine — Opportunities and Controversies

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Disclosure of Conflicts of Interest Emil Lou, MD, PhD, FACP Updated for November 2022

All disclosures are listed; those potentially relevant to this talk are underlined

I have the following financial relationships to disclose:

- Consultant for/Honoraria from:
 - Novocure: honoraria and travel expenses for Global Inovitro Users Meetings 2018, 2019, 2020, 2021; research talk and chairing Spring 2020 meeting.
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- Clinical Trial PI (associated % effort):
 - Celgene: Big Ten Cancer Research Consortium Phase II trial gastroesophageal cancers (Site PI)
 - Novocure: Phase III trial locally advanced pancreatic cancers (Site PI)
 - Intima Biosciences, Phase I/II cellular therapy trial GI cancers (2018-present)
 - National Cancer Institute NCI-MATCH (2015-present)
 - Roche: TAPISTRY molecular oncology basket trial (2021-22)

I will discuss potential off label uses in my presentation; I may discuss investigational use of novel drugs and medical devices during my presentation.

Consultations, associations, collaborations – **no financial compensation**:

- Caris Precision Oncology Alliance
 - UMN site lead (2019-present)
 - Chair, GI Oncology Research group (June 2021-June 2022)
- Nomocan Pharmaceuticals: Consultant
- Minnetronix, LLC: Scientific Advisory Board

Learning Objectives

- 1. Understand advances in clinical trial approaches in oncology
- 2. Learn about collaborative opportunities for research in molecular oncology
- 3. Understand fundamental perspectives about Precision Medicine in Oncology.

Precision Medicine in Cancer: One term, with many definitions

• One perspective, for oncology, from ASCO:

"Precision medicine is an approach to disease prevention and treatment that accounts for variability in the genes, environment, and lifestyle of each person.

Precision medicine approaches to identifying variability in genetics include the use of multiple testing techniques, including immunohistochemistry, fluorescence in situ hybridization, chromogenic in situ hybridization, flow cytometry, and next-generation sequencing.

These techniques are used either in combination or individually to identify molecular abnormalities in a patient's DNA with the hopes of identifying therapeutic targets."

Somatic Genomic Testing in Patients With Metastatic or Advanced Cancer: ASCO Provisional Clinical Opinion

Debyani Chakravarty, PhD¹; Amber Johnson, PhD²; Jeffrey Sklar, MD, PhD³; Neal I. Lindeman, MD⁴; Kathleen Moore, MD⁵; Shridar Ganesan, MD, PhD⁶; Christine M. Lovly, MD, PhD⁷; Jane Perlmutter, PhD®; Stacy W. Gray, MA, MD⁰; Jimmy Hwang, MD¹⁰; Christopher Lieu, MD¹¹; Fabrice André, MD, PhD¹²; Nilofer Azad, MD¹³; Mitesh Borad, MD¹⁴; Laura Tafe, MD¹⁵; Hans Messersmith, MPH¹⁶; Mark Robson, MD¹; and Funda Meric-Bernstam, MD²

PURPOSE An ASCO provisional clinical opinion offers timely clinical direction to ASCO's membership following publication or presentation of potentially practice-changing data from major studies. This provisional clinical opinion addresses the appropriate use of tumor genomic testing in patients with metastatic or advanced solid tumors.

CLINICAL CONTEXT An increasing number of therapies are approved to treat cancers harboring specific genomic biomarkers. However, there is a lack of clarity as to when tumor genomic sequencing should be ordered, what type of assays should be performed, and how to interpret the results for treatment selection.

PROVISIONAL CLINICAL OPINION Patients with metastatic or advanced cancer should undergo genomic sequencing in a certified laboratory if the presence of one or more specific genomic alterations has regulatory approval as biomarkers to guide the use of or exclusion from certain treatments for their disease. Multigene panel—based assays should be used if more than one biomarker-linked therapy is approved for the patient's disease. Site-agnostic approvals for any cancer with a high tumor mutation burden, mismatch repair deficiency, or neurotrophic tyrosine receptor kinase (*NTRK*) fusions provide a rationale for genomic testing for all solid tumors. Multigene testing may also assist in treatment selection by identifying additional targets when there are few or no genotype-based therapy approvals for the patient's disease. For treatment planning, the clinician should consider the functional impact of the targeted alteration and expected efficacy of genomic biomarker—linked options relative to other approved or investigational treatments.

Additional information is available at www.asco.org/assays-and-predictive-markers-guidelines.

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What is Precision Oncology:
 "...the use of molecular
 biomarkers to aid in the
 diagnosis, prognosis, or
 treatment of cancer..."—
 ASCO Provisional Clinical
 Opinion 2022

TABLE 1. Definitions of Comm	nmonly Used Terms in Precision Oncology Definition	
Basket trial	A trial investigating the efficacy of a therapy within various tumor types (baskets) that all harbor the same type of genomic alteration(s).	
Biomarker	A biologic marker that can be detected and measured by a validated test to diagnose or treat disease. Cancer biomarkers include, but are not limited to, genes, genomic alterations, RNA transcripts, proteins, post-translationally modified forms of proteins, and signatures of combinations of the aforementioned biomarkers.	
ctDNA	Tumor DNA shed into the plasma. ctDNA-based genomic testing: NGS sequencing performed on isolated ctDNA for the detection of somatic variants.	
CLIA-certified	The laboratory performing the test has met specific standards of proper laboratory management and testing procedures, as defined by CLIA.	
Clonal	 Tumor cells derived from the division of a common ancestral tumor cell. Clonal mutations: identical mutations found within clonal cells derived from a common ancestral tumor cell. Subclonal mutations: mutations arising in distinct subpopulations of tumor cells that generally give further fitness advantages, such as those acquired after treatment. Clonal sweep: as a new driver mutation occurs that induces clonal expansion, these clones replace the existing population of cells 	
CDx (nucleic acid-based test)	A specific test approved by the FDA to detect the presence of biomarkers that are prescriptive for a therapy.	
Genomic alteration	Alteration of a gene from its original wild-type (normal) status through mutation, CNV, or rearrangement.	
CNV	Deviation from the expected two copies of a gene within a cell. Amplification: An increase in the number of gene copies within a cell beyond the expected two copies. Amplifications may be focal and limited to a specific gene or part of a broader, typically lower level, chromosomal gain. Deletion: A decrease in the number of copies of a gene because of the loss of a single copy (heterozygous deletion) or both copies (homozygous deletion).	
Fusion	A novel gene product that is created from two previously separate and independent genes. Gene fusions may arise from genomic rearrangements such as: Chromosomal translocations: the joining of DNA that previously resided within different chromosomal locations. Interstitial deletions: deletions that occur because of two breakpoints and the rejoining of the terminal end to the main chromosome. Inversions: a region of chromosomal DNA that is reversed.	

ITH	Within the same tumor, different populations of cells within distinct spatial regions have unique genomic alterations.
Knowledge base	A repository of expertly curated information. Precision oncology knowledge base: a repository containing expertly curated information regarding some or all of the following types of information: cancer genes, oncogenic mutations, genomic biomarker–linked therapies, genomically matched clinical trials, and levels of evidence for using a therapy within the context of a specific genomic alteration and tumor type.
MRD	The presence of tumor cells that have spread from the primary tumor but are not detectable by imaging.
Multigene panel	An NGS test that sequences a defined list of genes with at least 50 genes in total.
Neoantigens	Tumor-specific antigens that result from nonsynonymous somatic mutations and may trigger an immune response to cancer.
NGS	A technology that performs massively parallel DNA sequencing to detect genomic alterations.
Pathognomonic	Characteristic of a particular disease type.
Precision oncology	The use of molecular biomarkers to aid in the diagnosis, prognosis, or treatment of cancer.
Targeted therapy	A therapy that is designed to selectively inhibit cells that harbor a specific genomic alteration or protein.
Therapeutically actionable alteration	A genomic alteration predicted to confer sensitivity or resistance to an available therapy (FDA-approved or investigational). These alterations are typically functionally significant, in that they confer a change in the property of the encoded protein that promotes tumorigenesis, but may also affect drug binding and inhibition without affecting the activity of the protein.
Therapeutically actionable gene	Alterations of the gene that confer sensitivity or resistance to an available therapy (FDA-approved or investigational)
TMB	A measurement of the number of somatic mutations per megabase of DNA sequenced.
VAF	The fraction of alleles sequenced within a single tumor sample that contain the genomic alteration of interest.
Whole-exome sequencing	Sequencing of all of the protein-encoding regions (exons) of genes in the genome.
Whole-genome sequencing	Sequencing of the entire genome, including protein-coding and non-protein-coding regions.

Abbreviations: CDx, companion diagnostic; CLIA, Clinical Laboratory Improvement Amendments; CNV, copy-number variation; ctDNA, circulating tumor DNA; dMMR, mismatch repair deficiency; FDA, US Food and Drug Administration; FISH, fluorescent in situ hybridization; GIS, genomic instability score; HRD, homologous recombination deficiency; IHC, immunohistochemistry; ITH, intratumoral heterogeneity; LOH, loss of heterozygosity; LST, large-scale state transitions; MRD, minimal residual disease; MSI, microsatellite instability; MSI-H, microsatellite instability-high; NGS, next-generation sequencing; P/LP, pathogenic or likely pathogenic; SNV, single-nucleotide variation; SV, structural variant; TAI, telomeric allelic imbalance; TMB, tumor mutation burden; VAF, variant allele fraction.

We are fully immersed in the era of targeted therapy...

- ...but [and] most of us trained before it took hold (even not so long ago).
- We are all learning as the field evolves...
- ...and the field is evolving rapidly.
- My experience as a member of the ASCO Scientific Committee for GI Cancers (Non-colorectal track): 2012-15 scientific content is a completely different playing field in 2022. [February 2, 2021 Press Release: "ASCO Names Advance of the Year: Molecular Profiling Drives Progress in Gastrointestinal Cancers"].
- State of the field: our ability to identify molecular alterations in tumors has matured a lot; we are trying to catch up in identifying strategies and tactics that affect those critical molecular targets in a way that leads to improved overall survival and quality of life in patients with cancer.

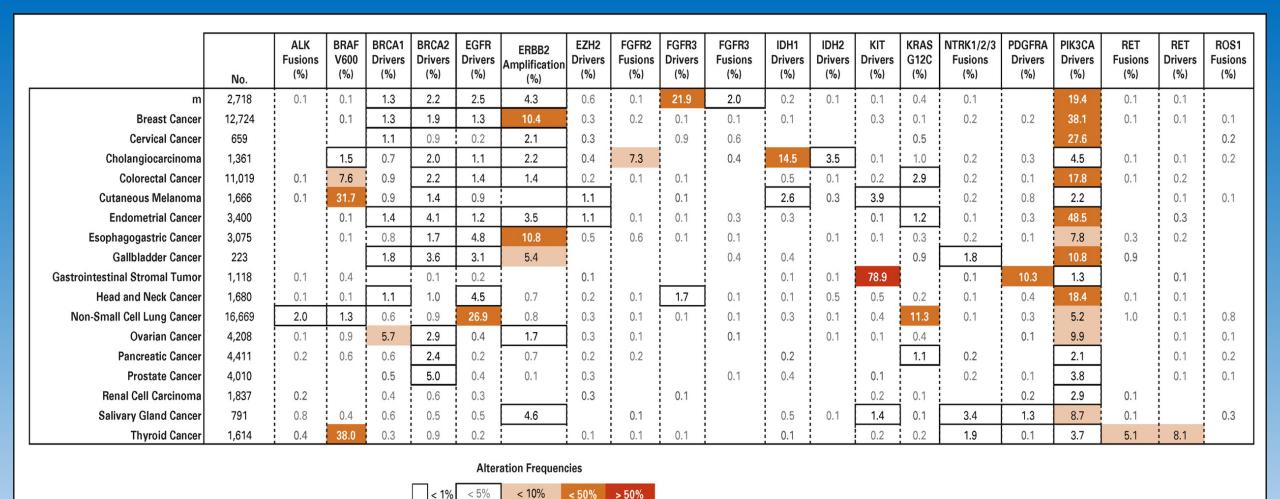


FIG 1. Frequency of known and standard care drivers in major cancer types in the American Association for Cancer Research GENIE data set. CRC, colorectal cancer; NSCLC, non–small-cell lung cancer.

Published in: Debyani Chakravarty; Amber Johnson; Jeffrey Sklar; Neal I. Lindeman; Kathleen Moore; Shridar Ganesan; Christine M. Lovly; Jane Perlmutter; Stacy W. Gray; Jimmy Hwang; Christopher Lieu; Fabrice André; Nilofer Azad; Mitesh Borad; Laura Tafe; Hans Messersmith; Mark Robson; Funda Meric-Bernstam; *Journal of Clinical Oncology* 2022 401231-1258.

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enetic Alterations	Tumor Type	Targeted Therapeutics
DA-approved treatments for specific genetic alterations in specific tumor types		
ALK fusions	NSCLC	Crizotinib, ceritinib, alectinib Brigatinib, lorlatinib
BRAF V600E	Melanoma	Dabrafenib, vemurafenib
		Dabrafenib + trametinib, encorafenib + binimetinib vemurafenib + cobimetinib, trametinib
	Anaplastic thyroid cancer	Dabrafenib + trametinib
	NSCLC	Dabrafenib + trametinib
	CRC	Encorafenib + cetuximab
BRAF V600K	Melanoma	Dabrafenib + trametinib, encorafenib + binimetinib vemurafenib + cobimetinib, trametinib
Deleterious or suspected ^a deleterious germline or somatic mutations in <i>BRCA1</i> and/or <i>BRCA2</i>	Ovarian cancer, fallopian tube cancer, peritoneal cancer	Olaparib, ^a rucaparib, niraparib ^a
	Prostate cancer	Olaparib, ^a rucaparib ^a
Deleterious or suspected deleterious germline mutations in <i>BRCA1</i> and/or <i>BRCA2</i>	Ovarian cancer, pancreatic adenocarcinoma	Olaparib
	HER2-negative breast cancer	Olaparib, talazoparib
Deleterious or suspected deleterious germline or somatic mutations in ATM, BARD1, BRIP1, CDK12, CHEK1, CHEK2, FANCL, PALB2, RAD51B, RAD51C, RAD51D, and RAD54L	Prostate cancer	Olaparib

EGFR exon 19 deletions, L858R	NSCLC	Afatinib, dacomitinib, erlotinib, gefitinib, osimertinib
EGFR exon 20 insertions	_	Amivantamab
EGFR nonresistant mutations other than exon 19 deletions and L858R	_	Afatinib
EGFR T790M	_	Osimertinib
ERBB2 amplification	Breast cancer	Ado-trastuzumab emtansine, capecitabine + trastuzumab + tucatinib, neratinib, pertuzumab + trastuzumab, trastuzumab, trastuzumab deruxtecan
	Esophagogastric cancer	Trastuzumab
	Gastric cancer, gastroesophageal junction cancer	Trastuzumab deruxtecan
FGFR2 fusions	Bladder cancer	Erdafitinib
	Cholangiocarcinoma	Pemigatinib, infigratinib
FGFR3 fusions	Bladder cancer	Erdafitinib
Oncogenic mutations in FGFR3		
GIS-positive or HRD-positive	Ovarian cancer	Niraparib
KRAS G12C	NSCLC	Sotorasib
MET exon 14 skipping	NSCLC	Capmatinib, tepotinib
dMMR and/or MSI-H	CRC	Ipilimumab + nivolumab, nivolumab
	Endometrial cancer	Dostarlimab
PDGFRA exon 18 mutations	Gastrointestinal stromal tumor	Avapritinib
Oncogenic mutations in PIK3CA	HR+ HER2- breast cancer	Fulvestrant + alpelisib
RET fusions	NSCLC, thyroid cancer	Pralsetinib, selpercatinib
Oncogenic mutations in RET	Medullary thyroid cancer	Pralsetinib, selpercatinib
ROS1 fusions	NSCLC	Crizotinib, entrectinib

FDA-approved treatments for specific biomarkers in tumor type–agnostic indications		
NTRK1 or NTRK2 or NTRK3 fusions	Solid tumors	Entrectinib, larotrectinib
MSI-H, TMB-H	Solid tumors	Pembrolizumab
FDA-approved treatments that are not biomarker-linked in solid tumors characterized by specified genetic alterations		
Oncogenic mutations in NF1	Neurofibroma	Selumetinib
COL1A1-PDGFB fusions	Dermatofibrosarcoma protuberans	Imatinib
SMARCB1 deletions	Epithelioid sarcoma	Tazemetostat
Oncogenic mutations in TSC1 and TSC2	SEGA	Everolimus
KIT exon 11, 9, 13, 14, and 17 mutations	Gastrointestinal stromal tumor	Imatinib, sunitinib (postprogression on imatinib), regorafenib (postprogression on imatinib and sunitinib), ripretinib (postprogression on ≥ 3 kinase inhibitors including imatinib)

FDA-listed genetic alterations contraindicated for specific treatments		
KRAS and/or NRAS exon 2, 3, and 4 mutations	CRC	Panitumumab, cetuximab
NTRK1 and NTRK3 known acquired resistance mutations (eg, NTRK1 G595R and G667C; NTRK3 F617L, G623R, and G696A)	Solid tumors	Entrectinib, larotrectinib
FDA-approved combination treatments with nontargeted therapies for specific genetic alterations		
BRAF V600	Melanoma	Atezolizumab + cobimetinib + vemurafenib
Deleterious germline or somatic mutations in <i>BRCA1</i> and/or <i>BRCA2</i>	Fallopian tube, ovarian, primary peritoneal carcinoma	Bevacizumab + olaparib
EGFR exon 19 deletions, L858R	NSCLC	Erlotinib + ramucirumab
ERBB2 amplification	Breast cancer	Hyaluronidase-zzxf/pertuzumab/ trastuzumab + chemotherapy (docetaxel)
	_	Trastuzumab + pertuzumab + (docetaxel) chemotherapy
	_	Trastuzumab + (docetaxel + carboplatin) or (doxorubicin + cyclophosphamide + paclitaxel or docetaxel) or paclitaxel
	- -	Lapatinib + capecitabine or letrozole
		Neratinib + capecitabine
		Margetuximab + chemotherapy
	Esophagogastric cancer	Trastuzumab + cisplatin + capecitabine or fluorouracil

Abbreviations: CRC, colorectal cancer; dMMR, mismatch repair deficiency; FDA, US Food and Drug Administration; GIS, genomic instability score; HER2, human epidermal growth factor receptor 2; HR, hormone receptor; HRD, homologous recombination deficiency; MSI-H, microsatellite instability-high; NSCLC, non–small-cell lung cancer; NTRK, neurotrophic tyrosine receptor kinase; SEGA, subependymal giant-cell astrocytomas; TMB-H, tumor mutation burden-high.

^aThe table summarizes FDA approvals at data cutoff of June 2, 2021. Precision oncology is a rapidly evolving field, and this table is a static snapshot of the approved targeted therapies at a specific point in time and therefore is expected to be outdated beyond the date it was published. The table is being included to provide examples of approved agents linked to genomic biomarkers or in disease with common genomic drivers.



New models for how we help patients with solid tumor malignancies

- We need to do a lot better for most advanced and metastatic solid tumor malignancies, treatment is given with palliative-intent, as currently available forms of treatment do not have potential for inducing cure.
- Exceptional Responders are exceptional.
 - NCI definition: "...someone who had a partial or complete **response** to a treatment that would be effective in less than 10% of similar patients. The duration of an **exceptional response** is one that lasts at least three times longer than the median **response** time."
- But there is hope...
 - The next frontier of clinical trial strategies in solid tumors
 - Informed by advances in molecular diagnostics, understanding of molecular drivers of cancer, and also non-molecular aspects of solid tumor biology that can be targeted effectively.

Knowledge is Power...but does it lead to clinically meaningful improvement in outcomes (i.e. do patients live longer while also having good QOL?)

Precision Medicine in Oncology: what are the data for improved survival?

- Upper GI cancers as an example: immunotherapy in the drug-refractory setting or in the first-line, based on recent trial reports.
- CheckMate 649 and KEYNOTE 590
- Positive results of CheckMate-649 were very much driven by gastric adenocarcinoma (vs esophageal), particularly the CPS >=5 subgroup.
- KN-590: predominantly esophageal cases (adenoca and SCC).
- No meaningful differences in <u>CheckMate 649</u> (nivolumab + chemotherapy) and <u>KEYNOTE 590</u> (pembro+chemo) for patients whose CPS >=10 treated in 1st-line setting.
 - Checkmate 649: median OS 13.1 mo nivo+chemo vs 11.1 chemo alone
 - For CPS >5, HR 0.71
 - KN 590: median OS 13.5 mo for CPS>10 c/w 12.6 mo for all pts on this trial treated with pembro+chemo.

An evolving question

 NCI-MATCH as a paradigm for current and future strategies

Cancer Therapy Advisor

Home » Cancer Topics » General Oncology » NCI-MATCH Trial Identifies Actionable Alterations in More Than One-Third of Patients With Cancer

December 3, 2020

NCI-MATCH Trial Identifies Actionable Alterations in More Than One-Third of Patients With Cancer

Agata Boxe

https://www.cancertherapyadvisor.com/home/cancer-topics/general-oncology/molecular-alteration-cancer-treatment-risk-nci-match/2/Accessed 5/10/22

Of the 6391 enrolled patients, 5954 had tumor samples available, and 5540 of those samples were sequenced successfully. Of all these sequenced samples, 2079 came from patients with NSCLC or breast, colorectal, or prostate cancers, whereas the majority - 3461 - came from patients with less common cancer types.

Study participants had undergone a median of 3 prior treatments, whereas less than 25% of all patients had received 1 treatment or no prior therapy.

The investigators detected actionable alterations in 37.6% of patients. Moreover, 17.8% were assigned to receive specific treatments based on their molecular results. The authors noted that 26.4% could have been assigned to treatments if all the protocols had been reached.

In the population of patients that were assigned to treatments, the assignment rate was 17.4% for patients with NSCLC, 13.7% for those with colorectal cancer, 17.8% for those with breast cancer, and 23% for those with prostate cancer. The researchers reported assignment rates greater than 25% in patients with CNS cancer, urothelial cancer, cholangiocarcinoma, pancreaticobiliary cancer, cervical cancer, gastroesophageal cancer, melanoma, uterine cancer, and anal cancer. The lowest assignment rates, below 6%, were identified in patients with pancreatic cancer, small cell lung cancer, and lymphoma.

Of all actionable alterations, *PIK3CA* and *PTEN* were observed most often, at 11.8% and 6.3%, respectively. Other actionable alterations were seen in 3% of patients or less.

However, 37.6% of patients with the most frequently identified actionable alterations were excluded from treatment because they had other mutations that have been shown to confer resistance. One example was those patients with *PIK3CA* alterations who also had *RAS* or *PTEN* resistance-conferring alterations.

An evolving question

 NCI-MATCH as a paradigm for current and future strategies Razelle Kurzrock, MD, distinguished professor of medicine at the University of California San Diego School of Medicine, California, who was not involved in the new study, applauded the authors' ability to put together what she called an "enormous trial" conducted at a large number of sites. However, she said that the paper lacked information on patient outcomes. "I think that in the year 2020, we should know about patient outcomes in a publication," she said.

"What I would have liked to know is what happens to these patients," Dr Kurzrock said. "Did they respond? What was their progression-free survival? What was their overall survival?"

In response to Dr Kurzrock's comments, Dr Flaherty said that patient outcome data from some of the individual arms in the trial had been published by the time the researchers submitted their manuscript to the journal, and more such data were published while the manuscript was undergoing review and revision. Additional outcome data will be published in the future, he said.

"We will continue to publish the individual arms in a rolling fashion," Dr Flaherty wrote in an email. "As some of the arms target very rare subpopulations and are taking longer to accrue, we did not want to withhold this genomic landscape analysis while waiting for those remaining arms. We will certainly analyze and publish the outcomes for the whole study population in a separate paper, but are simply trying to get important components out as the data are ready."

In response, Dr Kurzrock said that the information provided by Dr Flaherty addressed her concerns about the lack of patient outcome data in the new paper.

'Comprehensive' vs. 'Limited' Tumor Genomic Profiling: What and When?

- 'Bundling vs 'a la carte' testing which approach is more cost-effective?
- What information do you 'need' to make a practical clinical decision in 2022 (and beyond, for a longer-term strategy).

To test more or not to test more: What are the options, and how do we decide what to use?

- Itemization of testing
- Gene panels
- Comprehensive genomic profiling

- Some questions:
 - Is the testable biomarker linked to a therapeutic drug that has been approved for treatment of this patient's disease?
 - Is the testable biomarker linked to a therapeutic drug available at your or a reasonably distanced center on clinical trial?

Real world situation:

82 yr old man, poor performance status and multiple co-morbidities (including moderately severe cardiovascular) s/p CABG); not a surgical candidate for newly diagnosed intrahepatic cholangiocarcinoma

Oncologist: "He will need molecular profiling on his biopsy to include MMR IHC, PDL1, and NGS and fusion profiling for TMB, NTRK, FGFR and IDH."

Full circle back to Drug Availability and Financial Costs

- To consider progress, beyond testing, also consider access to the targeted drug:
 - e.g. Until mid-2020, I could not find Ivosidenib on my hospital's formulary off-trial.
 - If I am a molecular GI oncologist at a NCI-designed Comprehensive Cancer Center, and have this challenge, how much harder would it be for a non-specialist in general oncology practice?
- If we're allowed to be philosophical: If a drug can fit a molecular alteration and increases PFS (not necessarily OS), and I cannot prescribe the drug, is it truly a target?

Value vs. Action: Mutually exclusive?

Cancer Sequencing Test

1. Established genes

The contribution of pathogenic mutations in these genes to tumor behavior is well studied

2. Newly recognized genes

The contribution of pathogenic mutations in these genes to tumor behavior is less studied, and evidence is evolving. These genes may be:

- Used in qualifying for clinical trials
- Pan-cancer, i.e, established in another cancer



3. Emerging genes

contribute to tumor behavior is not well understood

Integrative **Features**

New research. knowledge, evidence

> New cancer therapies **New indications**

Genomic, clinical bioinformatics research

Knowledge from the real-world clinical use



The extent to which mutations in these genes

Coverage Frameworks for Next-Generation Tumor Sequencing. Value in Health, 21(9):

Ref: JR Trosman et al.

From the Past to the

Present: Insurer

1062-1068, 2018

- Transition from newly recognized to established category
- Transition from emerging to newly recognized category
- Newly discovered, emerging genes

Table 1. Features of NGTS conflicting with the current insurance coverage framework NGTS, next-generation tumor sequencing.

Ref: JR Trosman et al. From the Past to the Present: Insurer Coverage Frameworks for Next-Generation Tumor Sequencing. Value in Health, 21(9): 1062-1068, 2018

NGTS feature	Conflict with the current insurance coverage framework	
1.Dual utility: clinical and research	Applies to both "medically necessary" and "experimental/investigational" categories [15], [16]	
2.Informing enrollment in clinical trials	Clinical trial is a guideline- recommended setting for cancer treatment, and is therefore both "medically necessary" and "experimental/investigational" [13], [32]	
3.Comparative cost of NGTS, relative to single-gene testing	Cost is not a formal factor of coverage framework [19], [39]	
4."Sequencing pathway" utility—serial use over time	Typically focused on one technology and one point in disease trajectory [6], [19]	
5.Inherent evolutionary nature of evidence for tumor sequencing tests	Conflicts with the linear trajectory of evidence development and binary coverage decision [16], [19]	
6.Informing pan-cancer use of drugs	Conflicts with medical necessity definition for a specific indication [6], [16], [19], [39]	
7."Many-genes-to-many-drugs" utility	Conflicts with the one-marker-one-drug evaluation of medical necessity [6], [19], [39]	
8.Integrative utility based on compound analysis of mutations	Sequencing is considered a "bundle" of individual gene tests [15], [16]	

Precision Oncology: what is the financial cost

for testing?

Centers for Medicare & Medicaid Services

Cms.gov

Accessed 5/10/22

NCD - Next Generation Sequencing (NGS) (90.2)

Links in PDF documents are not guaranteed to work. To follow a web link, please use the MCD Website.

Tracking Information

Publication Number

100-3

Manual Section Number

90.2

Manual Section Title

Next Generation Sequencing (NGS)

Version Number

2

Effective Date of this Version

01/27/2020

Implementation Date

11/13/2020

Description Information

Benefit Category

Diagnostic Laboratory Tests
Diagnostic Services in Outpatient Hospital
Diagnostic Tests (other)

Please Note: This may not be an exhaustive list of all applicable Medicare benefit categories for this item or service.

Item/Service Description

A. General

Clinical laboratory diagnostic tests can include tests that, for example, predict the risk associated with one or more genetic variations. In addition, in vitro companion diagnostic laboratory tests provide a report of test results of genetic variations and are essential for the safe and effective use of a corresponding therapeutic product. Next Generation Sequencing (NGS) is one technique that can measure one or more genetic variations as a laboratory diagnostic test, such as when used as a companion in vitro diagnostic test.

This National Coverage Determination (NCD) is only applicable to diagnostic lab tests using NGS for somatic (acquired) and germline (inherited) cancer. Medicare Administrative Contractors (MACs) may determine coverage of diagnostic lab tests using NGS for RNA sequencing and protein analysis.

Indications and Limitations of Coverage

B. Nationally Covered Indications

1. Somatic (Acquired) Cancer

Effective for services performed on or after March 16, 2018, the Centers for Medicare & Medicaid Services (CMS) has determined that Next Generation Sequencing (NGS) as a diagnostic laboratory test is reasonable and necessary and covered nationally, when performed in a Clinical Laboratory Improvement Amendments (CLIA)-certified laboratory, when ordered by a treating physician, and when all of the following requirements are met:

a. Patient has:

- i. either recurrent, relapsed, refractory, metastatic, or advanced stage III or IV cancer; and
- ii. not been previously tested with the same test using NGS for the same cancer genetic content, and
- iii. decided to seek further cancer treatment (e.g., therapeutic chemotherapy).
- b. The diagnostic laboratory test using NGS must have:
 - i. Food & Drug Administration (FDA) approval or clearance as a companion in vitro diagnostic; and,
- ii. an FDA-approved or -cleared indication for use in that patient's cancer; and,
- iii. results provided to the treating physician for management of the patient using a report template to specify treatment options.

2. Germline (Inherited) Cancer

Effective for services performed on or after January 27, 2020, CMS has determined that NGS as a diagnostic laboratory test is reasonable and necessary and covered nationally for patients with germline (inherited) cancer, when performed in a CLIA-certified laboratory, when ordered by a treating physician and when all of the following requirements are met:

a. Patient has:

- i. ovarian or breast cancer; and,
- ii. a clinical indication for germline (inherited) testing for hereditary breast or ovarian cancer; and,
- iii. a risk factor for germline (inherited) breast or ovarian cancer; and
- iv. not been previously tested with the same germline test using NGS for the same germline genetic content.
- b. The diagnostic laboratory test using NGS must have all of the following:
 - i. FDA-approval or clearance; and,
 - ii. results provided to the treating physician for management of the patient using a report template to specify treatment options.

C. Nationally Non-Covered Indications

1. Somatic (Acquired) Cancer

Effective for services performed on or after March 16, 2018, NGS as a diagnostic laboratory test for patients with acquired (somatic) cancer are non-covered if the cancer patient does not meet the criteria noted in section B.1., above.

Created on 05/10/2022. Page 2 of 5

D. Other

. Somatic (Acquired) Cancer

Effective for services performed on or after March 16, 2018, Medicare Administrative Contractors (MACs) may determine coverage of NGS as a diagnostic laboratory test for patients with advanced cancer only when the test is performed in a CLIA-certified laboratory, when ordered by a treating physician, and when the patient has:

- a. either recurrent, relapsed, refractory, metastatic, or advanced stages III or IV cancer; and,
- b. not been previously tested with the same test using NGS for the same cancer genetic content, and
- c. decided to seek further cancer treatment (e.g., therapeutic chemotherapy).

2. Germline (Inherited) Cancer

Effective for services performed on or after January 27, 2020, MACs may determine coverage of NGS as a diagnostic laboratory test for patients with germline (inherited) cancer only when the test is performed in a CLIA-certified laboratory, when ordered by a treating physician, when results are provided to the treating physician for management of the patient and when the patient has:

- a. any cancer diagnosis; and,
- b. a clinical indication for germline (inherited) testing of hereditary cancers; and,
- c. a risk factor for germline (inherited) cancer; and,
- d. not been previously tested with the same germline test using NGS for the same germline genetic content.

(This NCD last reviewed January 2020)

Potential financial toxicities and implications for patients. A case example – 47 yr old woman with stage IV CRC; her oncologist orders NGS via an in-house limited panel.

Dr. Lou,

I do see a charge for molecular testing for 9/14/2020. There are three tests. Colorectal NGS panel, RNA fusion testing and MSI testing. Colorectal panel is \$1,815, Fusion \$1,228 and MSI \$1,509.

The total bill was \$4,552.

XXXX Healthcare covered the MSI testing, but not the NGS or fusion testing.

I called XXXX Healthcare today and the reason for denial is that multi gene genetic panels are and deemed investigational under this patient's plan. The test is also not considered medically necessary for this patient by UHC.

REMARK CODES

6B: PAYMENT FOR THIS SERVICE IS DENIED. BASED ON THE INFORMATION PROVIDED AND THE CLINICAL REVIEW, THIS SERVICE IS NOT CONSIDERED MEDICALLY NECESSARY.

CLAIM ADJUSTMENT REASON CODES

50: THESE ARE NON-COVERED SERVICES BECAUSE THIS IS NOT DEEMED A "MEDICAL NECESSITY" BY THE PAYER.

The denial can still be appealed. Who would be the best person to help with the appeal information? I can create a template that will need to be filed in with additional information. I can email the template out to be reviewed and completed.

Controversies in Precision Oncology

- Inequities in access between high-income and low-income countries
- What is the cost-to-benefit ratio?
- Rapidly evolving technology making a choice becomes less simple.

Principal issues and ongoing questions that are being addressed in the field

- Tumor genomic testing in patients with metastatic or advanced solid tumors has become extremely more sophisticated over the past decade.
- Transition from tumor type-specific panels to evaluating targets across tumor types ('tumor agnostic' approach).
- Challenges and questions:
 - When should we order it?
 - What assay(s) should we order?
 - How do we interpret the results?
 - The end goal is to be able to tailor therapy based on these results to result in overall improvement for patients suffering from cancer, ideally focused on improvements in overall survival.

The "Liquid Biopsy": State-of-the-Art or 'Not ready for Prime Time Player?"

- cfDNA (cell-free) vs. ct (circulating tumor) DNA
- Sensitivities and specificities compared to tissue-based tumor profiling.
 - Increased concordance, near or exceeds 90%.
- Helpful tool when there is insufficient amount of tissue for testing.
- It can be detected; how should it be interpreted?
- Borrowing the concept of Minimal Residual Disease (MRD) from hematologic malignancies
 - Does this translate well to solid tumors, and will the test be proven to be meaningful for clinical decision-making?
- Ongoing trials designed to address this
 - E.g. COBRA, adjuvant assessment following resection of early-to-mid stage colon cancer

Quality of assays: Are the results true?

- Another way of stating: Are the results accurate enough that you have confidence using this information to institute clinical decision-making for your patients?
- CLIA certification: Tumor genomic sequencing should take place in a clinical pathology lab setting that has been certified.
- Emerging questions and controversies:
 - Tissue or Liquid-based assessments or both?
 - When do you order the test, and using which specimen?
 - Order when the patient needs it, or order it in advance.
 - Use the pre-treatment biopsy or surgical sample, or wait-and-see if further biopsies/surgical specimens will be available?
 - Some or all of the above?

Tumor-agnostic approvals in the U.S.: A Growing Category of Actionable Targets

- Definition of tumor agnostic: Approval of a drug for any tumor containing a biomarker target, independent of anatomic site of origin of that metastatic cancer.
- The current examples:
 - TMB-High
 - Mismatch repair (dMMR)
 - Neutrotropic tyrosine receptor kinase (NTRK) fusions

Tumor-agnostic approvals in the U.S.: A Growing Category of Actionable Targets

 Do we have proof that utilizing the drug for actionable targets improves PFS and OS in every tumor type covered by the FDA approval?

• Spoiler alert: No, we do not.

When does potential off-label use justify wider-scale comprehensive genomic profiling for an individual patient's tumor?

- Evolving field of understanding molecular blueprints of Rare Tumors
- Genomic profiling is identifying molecular subsets of more common tumors, and further sub-stratifying tumors that were already considered less common or even Rare.
- How does tumor genomic profiling affect these cases?
 - ASCO Provision Clinical Opinion 2022: "Multigene testing may also assist in treatment selection by identifying additional targets when there are few or no genotypebased therapy approvals for the patient's disease."

One conclusion on what to do...

- ...and the story is still being written as we await results of current and forthcoming molecular biomarkerdriven trials.
- ASCO Provision Clinical Opinion 2022: "For treatment planning, the clinician should consider the functional impact of the targeted alteration and expected efficacy of genomic biomarker-linked options relative to other approved or investigational treatments."

Common questions from patients

- A well-informed patient regardless of the source will likely be aware of our ability to order tumor genomic profiling, and will ask if you don't bring it up.
- If you bring it up first, they may or may not already be aware, but they will depend on us for judging the approach (which assay(s), which tests, and how to interpret the information).
- A central message I state to patients is that doing tumor genomic profiling does not guarantee a valid drug for treating their cancer will be identified.
 - In fact, far from it...but that answer may change, and quickly, in the coming years with rapid advances in testing and clinical trial results.

An approach to genomic testing and decision-making factors

- If I test, what will I do with this information, and why am I ordering the test.
- Can a target or set of targets be identified
- Is the target 'actionable'
 - The target is identifiable with great accuracy using a readily available and orderable test, with high sensitivity and specificity, and the material to be tested is available.

An approach to genomic testing and decision-making factors

- Is the tumor type 'target-rich'; and what does that even mean?
- Does a drug, or set of drugs, exist that will 'hit' that target
 - Have those drugs been proven to work when in the human setting
 - Have the drugs already been tested in humans in well-designed rational clinical trials
 - Have the results shown benefit in PFS, OS, and/or QOL for patients eligible for clinical trials, and possibly 'real world' clinical populations as well?
 - Financial coverage of these targeted drugs: What is the cost to the patient (symptoms and adverse physical events attributable to the treatment, as well as the potential for financial toxicity.

ASCO Guidelines

SOMATIC GENOMIC TESTING IN PATIENTS WITH METASTATIC OR ADVANCED CANCER PROVISIONAL CLINICAL OPINION

WHICH METASTATIC OR ADVANCED SOLID TUMORS SHOULD UNDERGO GENOMIC SEQUENCING?

 All cancers with regulatory approved biomarkers that guide therapy choice.

WHAT SHOULD CLINICIANS CONSIDER FOR TREATMENT PLANNING WHEN RECEIVING THE RESULTS OF GENOMIC SEQUENCING?

- · The functional impact of the targeted alteration.
- The expected efficacy of genomic biomarker-linkedoptions relative to other treatments.
- Clinical trials are encourage in the absense of standard-ofcare options

WHERE SHOULD PATIENTS WITH METASTATIC OR ADVANCED CANCER UNDERGO GENOMIC SEQUENCING?

In a certified laboratory.

WHEN SHOULD MULTIGENE PANEL TESTING BE CONDUCTED?

 Whenever more than one genomic biomarker is linked to regulatory agency-approved therapy in the patient's disease.

WHEN ELSE IS MULTIGENE PANEL TESTING BENEFICIAL?

- When considering immunotherapies with genomic biomarker-linked site-agnostic approvals.
- To identify additional targets when there are few or no genotype-based therapy approvals for the patient's disease.

The future of Precision Oncology

RARE TUMORS: What is the role and promise of genomic profiling in not-yet FDA-approved indications:

An example: Exceptional Response to targeted therapy in an adult patient with

multifocal unresectable medulloblastoma

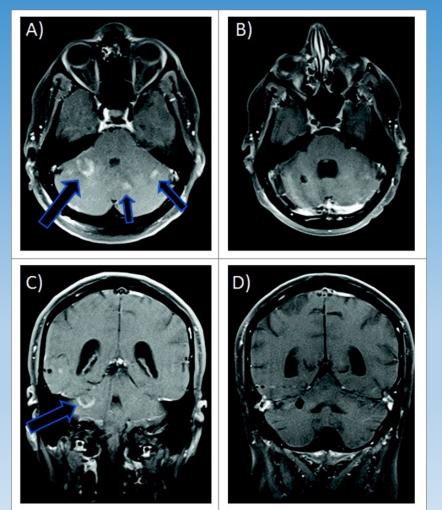


Figure 2. MRI images of unresectable multifocal medulloblastoma at time of diagnosis (A and C) and after four months of vismodegib therapy (B and D). Images are shown in axial sections with contrast (top) and coronal sections (bottom).

Published in: Emil Lou; Matthew Schomaker; Jon D. Wilson; Mary Ahrens; Michelle Dolan; Andrew C.

Nelson; *Cancer Biology & Therapy* DOI: 10.1080/15384047.2016.1220453

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Making the undruggable...druggable

- RAS is the prototype.
- Recent reports 2020-21 of small molecule inhibitors having activity in patients with tumors harboring G12C variant of the oncogene KRAS.

RAS

- One of the biggest white whales that has traditionally escaped drug targeting is the RAS family of proteins, which in their mutated form drive ~19% of all malignancies². Lack of efficacious targeting has not been for lack of trying and extensive research.
- Notion that KRAS is 'undruggable' makes for a good headline or attractive statement in grants, but it's not that KRAS, or any molecular player, is absolutely 'undruggable' – but it is very difficult to target, especially due to its biostructural composition and conformation.
- Despite its prevalence across cancers or perhaps because of it -- for good reason targeting this difficult-to-medicate class has remained a 'Holy Grail' of molecular oncology.





TREATMENTS

The 30-Year Quest To Tame The 'Wily' Cancer Gene

5:19

March 9, 2018 · 5:00 AM ET Heard on Morning Edition

Download

Transcript

RICHARD HARRIS



Michael Robertson in his home on in Washington, D.C. Years ago, he didn't feel well and chalked it up to work stress. It was much more serious than that.

Kelly Jo Smart for NPR

NPR.org, 3/9/18

One challenge is that Roberson's tumor is driven by a very common mutation and there's no drug that can target that mutation directly.

The mutated gene is called RAS, and it's the very first human cancer gene ever discovered. It's also amazingly common – found in 30 percent of all cancers and responsible for a million cancer deaths worldwide, every year.

The word RAS didn't mean much to Robertson at the time, "It was just another acronym — another medical term," he says. His doctor "explained it's common. It's a tough one to treat."

But RAS is currently in the center of a fast-moving medical research drama.

"It's a major player in lung cancer and the major driver of pancreatic cancer and also a major player in colon cancer and many other cancers as well," says Frank McCormick at the University of California, San Francisco.

NPR.org 3/9/18

McCormick knows all about RAS. He was working at Cetus, a small biotech company in the Bay Area, back in the early 1980s when this cancer gene was identified. He convinced his company to look for

Addendum:

- The first direct KRAS inhibitor, Sotorasib, was approved for use in NSCLC expressing G12C-mutant KRAS was approved by the US FDA on Friday May 28, 2021.
- But life BRAF in melanoma vs. CRC, one size does not fit all:
 - ORR in NSCLC for KRAS G12C cases: 30-40%
 - ORR in CRC for KRAS G12C cases: <10%.

Precision Oncology is still relatively in its infancy: Are we doing a good job of Creating the Next Generation of Molecular Oncologists?

- We can no longer practice oncology in isolation and without at least a basic understanding of the underlying molecular biology that drives cancer genesis and also evolution of drug resistance.
- Many of the terms previously used in cancer research labs are now well integrated with clinical jargon.
- We now have a generation of Clinical Cancer Biologists whose job description entails grasping, if not mastering, biologic principles as they apply to direct patient care.

- Are our trainees in the oncologic sciences, both clinically and in the lab, learning the nuances of interpretation of NGS correctly, or is their expectation that NGS is the end goal, rather than a means to uncovering targets for individually tailored treatment options?
- And are we conveying the fact that identification of a putative target does not absolutely equate to a corresponding drug working effectively?
- This is one of the great challenges that our field faces now and in the years to come:
 - understanding what Precision Oncology is, and what it is not,
 - how accurate analysis is performed, and most importantly when to use the results to help our patients in daily practice.

In Sum...

- The opportunities
- Precision oncology and impact on improved survival
 - An evolving question, not one size fits all
- The Controversies like cost
- Today's learning objectives may have different answers and approaches over the next few years.
 - Understand advances in clinical trial approaches in oncology
 - Learn about collaborative opportunities for research in molecular oncology
 - Understand fundamental perspectives about Precision Medicine in Oncology.

Thank you for your attention!

• I welcome any questions or comments.

Linked in [20] @cancerassassin1

• Emil-lou@umn.edu

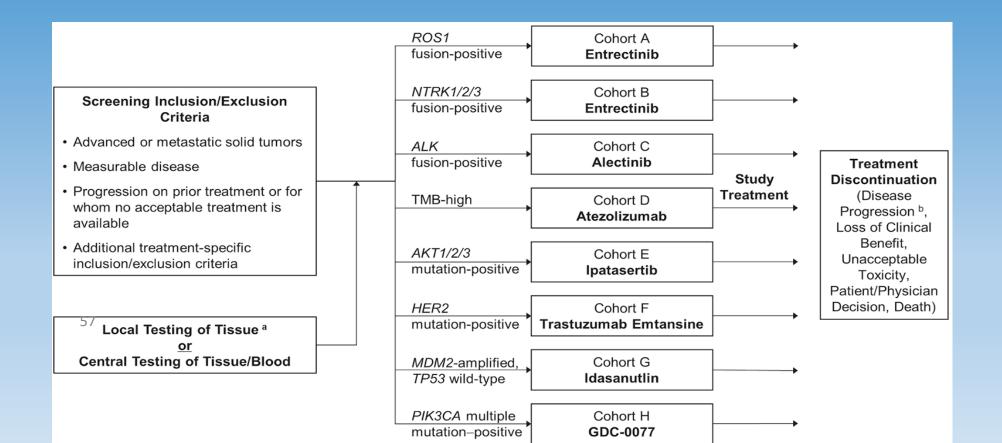


Potential extra slides as examples of molecular-driven trials

Tumor-Agnostic Precision Immuno-Oncology and Somatic Targeting Rational for You (TAPISTRY) Platform Study

We opened this trial at UMN in Summer 2021. Local PI (adult cohorts): Emil Lou

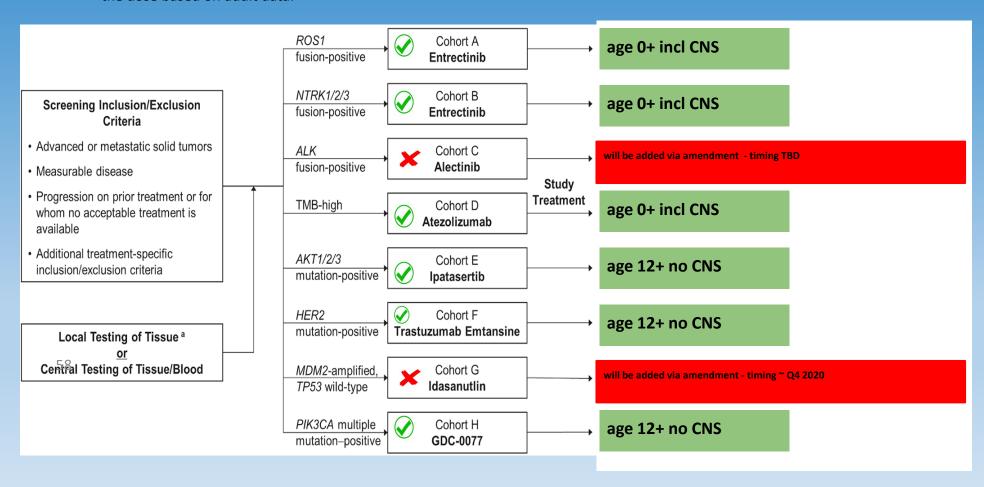
This trial is a Phase II, global, multicenter, open-label, multi-cohort study in patients with unresectable, locally advanced or metastatic solid tumors determined to harbor specific oncogenic genomic alterations or who are TMB-high as identified by an NGS assay.



Study design - Pediatric population overview

Our UMN Pediatric partners: Emily Greengard, MD; Brenda Weigel, MD

• Inclusion or Exclusion of pediatric patients from one treatment arm is based on individual molecule characteristics, ongoing clinical development, availability of a dose and formulation suitable for pediatrics and/or possibility to extrapolate the dose based on adult data.



What are the national guidelines for financial coverage?

- Cms.gov:
- Indications and Limitations of Coverage
 B. Nationally Covered Indications
- 1. Somatic (Acquired) Cancer
- Effective for services performed on or after March 16, 2018, the Centers for Medicare & Medicaid Services (CMS) has determined that Next Generation Sequencing (NGS) as a diagnostic laboratory test is reasonable and necessary and covered nationally, when performed in a Clinical Laboratory Improvement Amendments (CLIA)-certified laboratory, when ordered by a treating physician, and when all of the following requirements are met:
- a. Patient has: either recurrent, relapsed, refractory, metastatic, or advanced stage III or IV cancer; and
- not been previously tested with the same test using NGS for the same cancer genetic content, and
- decided to seek further cancer treatment (e.g., therapeutic chemotherapy).
- b. The diagnostic laboratory test using NGS must have: Food & Drug Administration (FDA) approval or clearance as a companion in vitro diagnostic; and,
- an FDA-approved or -cleared indication for use in that patient's cancer; and,

What are the national guidelines for financial coverage?

- Cms.gov:
- 2. <u>Germline (Inherited) Cancer</u>
- Effective for services performed on or after January 27, 2020, CMS has determined that NGS as a diagnostic laboratory test is reasonable and necessary and covered nationally for patients with germline (inherited) cancer, when performed in a CLIA-certified laboratory, when ordered by a treating physician and when all of the following requirements are met:
- a. Patient has: ovarian or breast cancer; and,
- a clinical indication for germline (inherited) testing for hereditary breast or ovarian cancer; and,
- a risk factor for germline (inherited) breast or ovarian cancer; and
- not been previously tested with the same germline test using NGS for the same germline genetic content.
- b. The diagnostic laboratory test using NGS must have all of the following: FDA-approval or clearance; and,
- results provided to the treating physician for management of the patient using a report template to specify treatment options.

The NCI RAS Initiative

http://www.cancer.gov/ras





Structural Biology and Biochemistry

The structural and biochemical properties of KRAS and its most prevalent mutants will be characterized to look for ways to modulate their activity.

RAS Assays

New assays for RAS activity may be useful tools to screen for RAS pathway inhibitors.

Biology of Mutant KRAS Cell Lines

Commonalities in dozens of cell lines derived from human cancers that have mutant *KRAS* genes could reveal insights into selective vulnerabilities for treatment.

Pathways Analysis

Surprising failures of new cancer treatments have made it clear that we do not know enough about how molecules in RAS signaling pathways interact with each other.

Cell Surface Analysis

Identifying cell surface features specific to mutant *KRAS* cancers could give us unique opportunities to develop treatments that target the cell surface.

RAS Reference Reagents

An important priority of the RAS Initiative is to distribute highly validated materials and methods to the world-wide community of RAS researchers.

MORE THAN 30% OF ALL HUMAN CANCERS ARE DRIVEN BY MUTATIONS OF

RAS GENES

RAS MUTATIONS

IN HUMAN CANCERS

PANCREAS — KRAS 95%

COLORECTAL - KRAS 45%

LUNG - KRAS 35%

→ AML − NRAS 30%

MELANOMA — KRAS 15%

P BLADDER CANCER — NRAS 15%

"RAS ONCOGENES ARE "HE WORST ONCOGENES."

Dr. Frank McCormick,
 RAS National Program Advisor

Labeling of testable and identifiable mutations as readily targetable can mistakenly pre-suppose a one target-one drug model for likelihood of success

- In the world of GI oncology, we have seen this before: BRAF mutations are prevalent in melanomas, and druggable with inhibitors.
- Therefore the same tactic should have worked in BRAF-mt CRC...right? But it didn't.
- The tumors upregulated an alternate pathway that led to no improvement in OS.
- What did we learn from this? A multi-pronged and more rational trial design that would truly mark BRAF as a viable target was required.

Cholangiocarcinoma/Bile Duct Cancers as an example of controversies: What defines a "Target-Rich" Cancer?

- BTCs have caught up in terms of identification of alterations using next generation sequencing (NGS).
- In 2019, the ClarIDHy trial was presented and introduced us to updates regarding the trial utilizing Ivosidenib in IDH1-mutant cholangiocarcinoma.
- Is its use based on a rational premise, when treating patients whose tumors harbor this mutation? Of course!
- Was there an improvement seen in progression-free survival as compared to use of placebo? Yes.
- Was there an observed improvement in overall survival (OS)? Not as of yet. The trial reported a "trend toward favorable OS compared to placebo."

Cholangiocarcinoma/Bile Duct Cancers

- We can take two approaches when attempting to objectively answer the question of whether, as a result, IDH represents a true target.
- On the one side, taking into account the concern that BTC is a relatively rare cancer for which there has been only one tried-and-true standard-of-care regimen for unresected case (gemcitabine and cisplatin), any progress in a seemingly desperate corner of oncology can be perceived as monumental.
- As stated during the presentation at ESMO 2019 annual meeting, PFS improvement using Ivosidenib was essentially better than nothing for "a rare cancer having few effective therapies."