Breast Cancer: Updates, Advances, and New Treatment Options



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Disclosure of Conflicts of Interest

Antoinette R. Tan, MD, MHSc, FACP has the following financial relationships to disclose:

- Consultant: Astra-Zeneca, Genentech/Roche, Immunomedics, Novartis
- Grant Research Support: Daiichi-Sankyo, Merck, Pfizer





- Adjuvant therapy of high-risk, early-stage triplenegative breast cancer
- Treatment of metastatic triple-negative breast cancer
- New treatment option for metastatic HER2-low breast cancer



FDA Drug Approvals for Breast Cancer, 2021-2022

- 4/7/21: Sacituzumab govitecan for metastatic TNBC who have received 2 or more prior systemic therapies, at least one of them for metastatic disease
- 7/6/21: Pembrolizumab for high-risk, early-stage TNBC in combination with chemotherapy as neoadjuvant treatment, and then continued as a single agent as adjuvant treatment after surgery
- 10/12/21: Abemaciclib with endocrine therapy (tamoxifen or an aromatase inhibitor) for adjuvant treatment with HR-positive, HER2-negative, nodepositive, early breast cancer at high risk of recurrence and a Ki-67 score ≥20%



FDA Drug Approvals for Breast Cancer, 2021-2022

- 3/11/22: Olaparib for adjuvant treatment of germline BRCA-mutated HER2negative high-risk, early breast cancer treated with neoadjuvant or adjuvant chemotherapy
- 5/4/22: Fam-trastuzumab deruxtecan for metastatic HER2-positive breast cancer who have received a prior anti-HER2-based regimen either in the metastatic setting, or in the neoadjuvant or adjuvant setting and have developed disease recurrence during or within 6 months of completing therapy



Changing Landscape in Breast Cancer Therapy

- Breast cancer treatment has undergone major breakthroughs
- Chemotherapy has been the mainstay for treatment of triple-negative breast cancer
- The results of recent clinical trials show the benefit of combining chemotherapy and immunotherapy in both the early stage and advanced setting for triple-negative breast cancer
- Targeted therapies i.e. PARP inhibitors, demonstrate significant clinical benefit for BRCA-mutated, HER2-negative breast cancer
- HER2-low is now a targetable subset of breast cancer

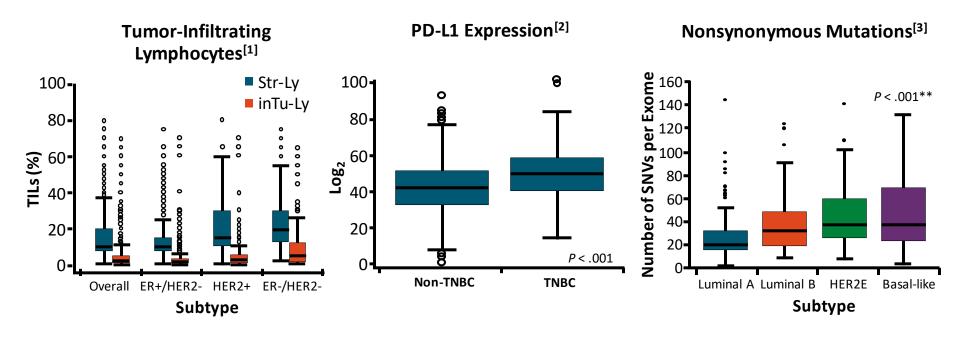


Triple-Negative Breast Cancer (TNBC)

- TNBC accounts for 15%-20% of breast cancers
- At diagnosis
 - Majority of tumors are grade 3 and highly proliferative
 - Majority are diagnosed at Stage II or Stage III
- Associated with early recurrences
- Neoadjuvant chemotherapy (NAC) is the current SOC treatment approach for early-stage disease
- Patients who experience pCR following NAC have longer EFS and OS; however increased risk for disease recurrence and death remains
- High unmet need for novel therapies that can augment effectiveness of chemotherapy
- Strong rationale for combination of immunotherapy and chemotherapy in TNBC



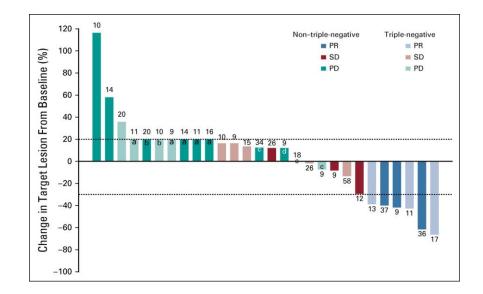
Immune Checkpoint Inhibition in TNBC: Rationale



1. Loi S, et al. J Clin Oncol 2013;31:860-7 2. Mittendorf E, et al. Cancer Immunol Res 2014;2:361-70 3. Luen S, et al. Breast 2016;29:241-50

Pembrolizumab in Metastatic Breast Cancer with High TMB (ASCO Tapur Study)

- 10% of metastatic breast cancer with high TMB
- High TMB defined as \geq 9 Mut/Mb
- Overall response rate was 21%
- Disease control rate of 37% (10/28)
- Median PFS was 10.6 weeks
- Median OS was 30.6 weeks





Pembrolizumab in Triple-Negative Breast Cancer

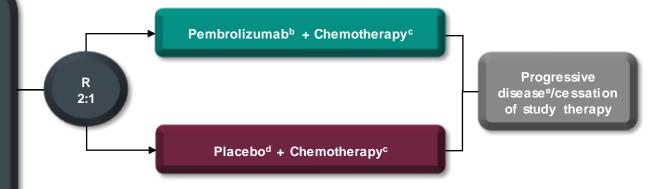
- Pembrolizumab monotherapy showed durable antitumor activity and manageable safety in metastatic TNBC¹⁻⁴
 - Improved clinical responses in patients with higher PD-L1 expression⁴
 - Responses to pembrolizumab more durable than those to chemotherapy⁴
- Pembrolizumab plus chemotherapy showed promising antitumor activity and manageable safety in early TNBC 5-8
 - Statistically significant and clinically meaningful improvement in EFS with neoadjuvant pembrolizumab plus chemotherapy followed by adjuvant pembrolizumab versus chemotherapy alone in KEYNOTE-522^{7,8}
- Prior analyses from KEYNOTE-355⁹ showed that the addition of pembrolizumab to chemotherapy resulted in a statistically significant and clinically meaningful improvement in PFS versus chemotherapy alone for the first-line treatment of PD-L1– positive (CPS ≥10) metastatic TNBC
- Based on the PFS results from KEYNOTE-355⁹, pembrolizumab plus chemotherapy was approved by the US FDA for the treatment of patients with locally recurrent unresectable or metastatic TNBC whose tumors express PD-L1 (CPS ≥10)

1. Nanda R et al. J Clin Oncol 2016;34:2460-7. 2. Adams S et al. Ann Oncol 2019;30:397-404. 3. Adams S et al. Ann Oncol 2019;30:405-11. 4. Cortes J et al. Ann Oncol 2019; 30(suppl 5):v851-v934. 5. Schmid P et al. Ann Oncol 2020;31:569-81. 6. Nanda R et al. JAMA Oncol 2020; 6:676-84. 7. Schmid P et al. N Engl J Med 2020;382:810-21

KEYNOTE-355 Study Design (NCT02819518)

Key Eligibility Criteria

- Age ≥18 years
- Central determination of TNBC and PD-L1 expression^a
- Previously untreated locally recurrent inoperable or metastatic TNBC
- De novo metastasis or completion of treatment with curative intent ≥6 months prior to first disease recurrence
- ECOG performance status 0 or 1
- Life expectancy ≥12 weeks from randomization
- Adequate organ function
- No systemic steroids
- No active CNS metastases
- No active autoimmune disease

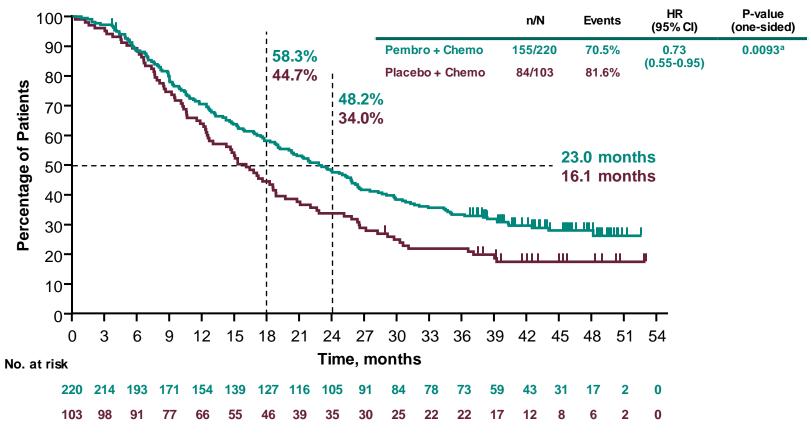


Stratification Factors:

- Chemotherapy on study (taxane or gemcitabine-carboplatin)
- PD-L1 tumor expression (CPS ≥1 or CPS <1)
- Prior treatment with same class chemotherapy in the neoadjuvant or adjuvant setting (yes or no)

^aBased on a new ly obtained tumor sample from a locally recurrent inoperable or metastatic site (an archival tumour sample was used with permission from the study sponsor if a new tumor biopsy was not obtainable). ^bPembrolizumab 200 mg intravenous (IV) every 3 weeks (Q3W). ^cChemotherapy dosing regimens are as follows: Nab-paclitaxel 100 mg/m² IV on days 1, 8, and 15 every 28 days; Paclitaxel 90 mg/m² IV on days 1, 8, and 15 every 28 days; Gencitabine 1000 mg/m²/carboplatin AUC 2 on days 1 and 8 every 21 days. ^dNormal saline. ^eTreatment may be continued until confirmation of progressive disease.

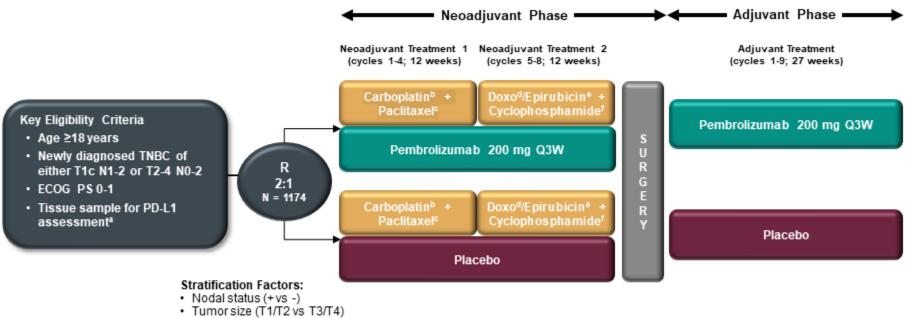
Overall Survival: PD-L1 CPS ≥10



^aPrespecified *P* value boundary of 0.0113 met.

Hazard ratio (CI) analyzed based on a Cox regression model with treatment as a covariate stratified by the randomization stratification factors. Data cutoff: June 15, 2021.

KEYNOTE-522 Study Design (NCT03036488)



Carboplatin schedule (QW vs Q3W)

Neoadjuvant phase: starts from the first neoadjuvant treatment and ends after definitive surgery (post treatment included) Adjuvant phase: starts from the first adjuvant treatment and includes radiation therapy as indicated (post treatment included)

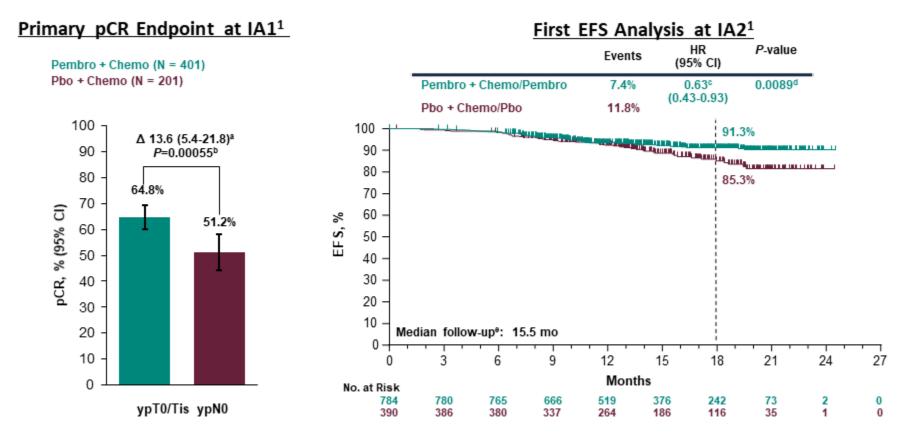
Must consist of at least 2 separate tumor cores from the primary tumor. Carboplatin dose was AUC 5 Q3W or AUC 1.5 QW. Paclitaxel dose was 80 mg/m² QW. ⁴Doxorubicin dose was 60 mg/m² Q3W. ⁴Epirubicin dose was 90 mg/m² Q3W. ⁴Cyclophosphamide dose was 600 mg/m² Q3W.

Baseline Characteristics, ITT Population

| | All Subjects, N = 1174 | | |
|-----------------------------|---------------------------|------------------------|--|
| Characteristic, n (%) | Pembro + Chemo N = 784 | Pbo + Chemo N = 390 | |
| Age, median (range), yrs | 49 (22-80) | 48 (24-79) | |
| ECOG PS 1 | 106 (13.5) | 49 (12.6) | |
| PD-L1–positive ^a | 656 (83.7) | 317 (81.3) | |
| Carboplatin schedule | | | |
| QW | 449 (57.3) | 223 (57.2) | |
| Q3W | 335 (42.7) | 167 (42.8) | |
| Tumor size | | | |
| T1/T2 | 580 (74.0) | 290 (74.4) | |
| T3/T4 | 204 (26.0) | 100 (25.6) | |
| Nodal involvement | | | |
| Positive | 405 (51.7) | 200 (51.3) | |
| Negative | 379 (48.3) | 190 (48.7) | |

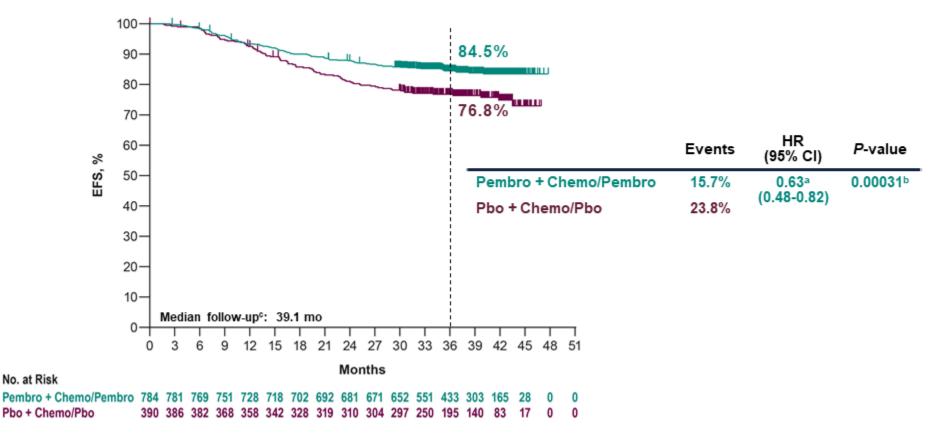
•PD-L1 assessed at a central laboratory using the PD-L1 IHC 22C3 pharmDx assay and measured using the combined positive score (CPS; number of PD-L1–positive tumor cells, lymphocytes, and macrophages divided by total number of tumor cells x 100); PD-L1–positive = CPS ≥1. Data cutoff date: March 23, 2021.

Prior Analyses of KEYNOTE-522



•Estimated treatment difference based on Miettinen & Nurminen method stratified by randomization stratification factors. •Prespecified P-value boundary for significance of 0.003 was crossed; data cutoff date: September 24, 2018. •Hazard ratio (CI) analyzed based on a Cox regression model with treatment as a covariate stratified by the randomization stratification factors. •Prespecified P-value boundary for significance of 0.00051 not reached at this analysis. •Defined as the time from randomization to the date of death or data cutoff date of April 24, 2019, if the patient was alive. 1. Schmid P, et al. N Engl J Med 2020;382:810-21.

Statistically Significant and Clinically Meaningful EFS at IA4



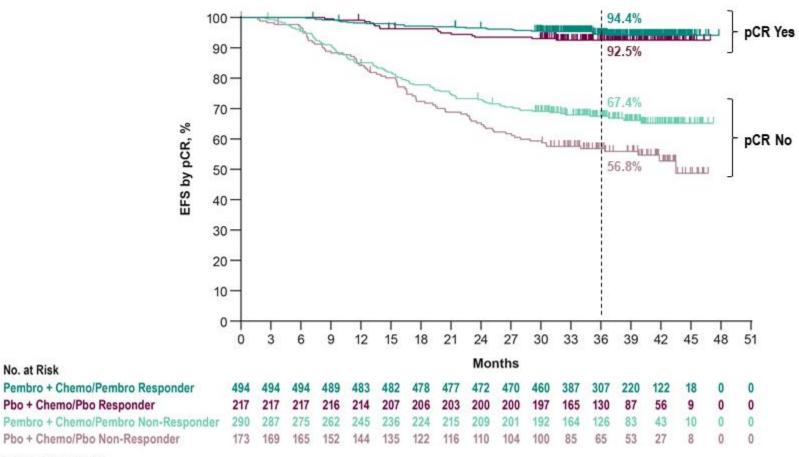
*Hazard ratio (CI) analyzed based on a Cox regression model with treatment as a covariate stratified by the randomization stratification factors. Prespecified P-value boundary of 0.00517 reached at this analysis.
*Defined as the time from randomization to the data cutoff date of March 23, 2021.

EFS in Patient Subgroups

| | | No. Events/No. Patients (%) | | Hazard Ratio |
|----------------------|------------|-----------------------------|-----------------|---------------------|
| Subgroup | | Pembro + Chemo/Pembro | Pbo + Chemo/Pbo | (95% CI) |
| Overall | - | 123/784 (15.7) | 93/390 (23.8) | 0.63 (0.48 to 0.82) |
| Nodal status | | | | |
| Positive | | 80/408 (19.6) | 57/196 (29.1) | 0.65 (0.46 to 0.91) |
| Negative | | 43/376 (11.4) | 36/194 (18.6) | 0.58 (0.37 to 0.91) |
| Tumor size | | | | |
| T1/T2 | | 64/581 (11.0) | 59/290 (20.3) | 0.51 (0.36 to 0.73) |
| T3/T4 | -+- | 59/203 (29.1) | 34/100 (34.0) | 0.84 (0.55 to 1.28) |
| Carboplatin schedule | | | | |
| Every 3 weeks | - | 50/334 (15.0) | 37/167 (22.2) | 0.65 (0.42 to 0.99) |
| Weekly | — | 71/444 (16.0) | 56/220 (25.5) | 0.60 (0.42 to 0.86) |
| PD-L1 status | | | | |
| Positive | | 98/656 (14.9) | 68/317 (21.5) | 0.67 (0.49 to 0.92) |
| Negative | _ - | 25/128 (19.5) | 25/69 (36.2) | 0.48 (0.28 to 0.85) |
| Age category | | | | |
| <65 years | | 103/700 (14.7) | 79/342 (23.1) | 0.61 (0.45 to 0.82) |
| ≥65 years | | 20/84 (23.8) | 14/48 (29.2) | 0.79 (0.40 to 1.56) |
| ECOG PS | | | | |
| 0 | | 101/678 (14.9) | 80/341 (23.5) | 0.60 (0.45 to 0.80) |
| 1 | | 22/106 (20.8) | 13/49 (26.5) | 0.81 (0.41 to 1.62) |
| 0.1 | 1 | 10 | | |
| | | > | | |
| | | Favors Chemo/Pbo | | |

For overall population and PD-L1 subgroups, analyses based on Cox regression model with Efron's method of tie handling with treatment as a covariate and stratified by nodal status (positive vs negative), tumor size (T1/T2 vs T3/T4), and frequency of carboplatin (once weekly vs once every 3 weeks); for other subgroups, analysis based on unstratified Cox model. Data cutoff date: March 23, 2021.

EFS by pCR (ypT0/Tis ypN0)

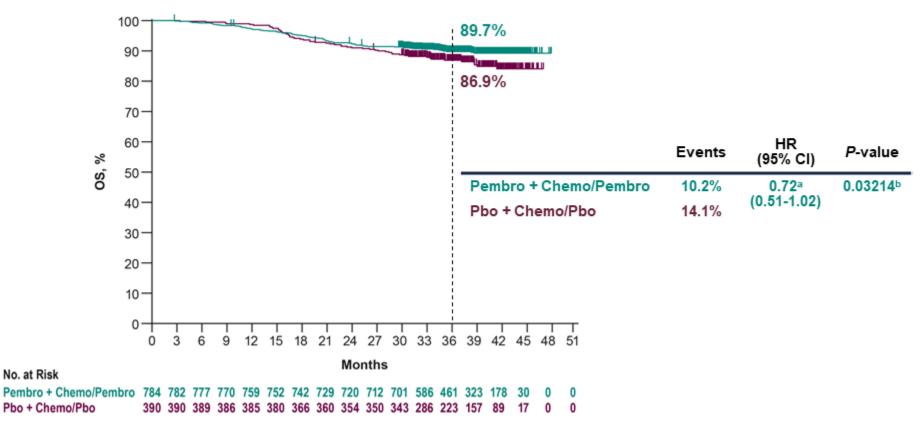


Data cutoff date: March 23, 2021.

No. at Risk

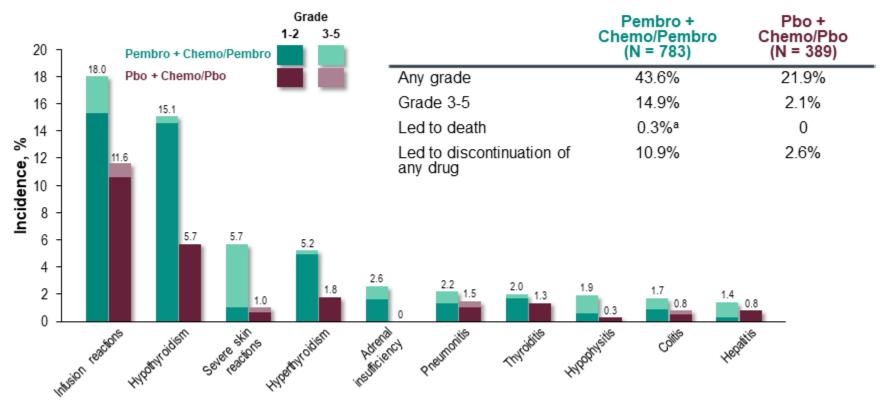
Schmid KN522 ESMO Virtual Plenary 2021

Overall Survival



*Hazard ratio (CI) analyzed based on a Cox regression model with treatment as a covariate stratified by the randomization stratification factors. Prespecified P-value boundary of 0.00086 not reached at this analysis. Data cutoff date: March 23, 2021.

Immune-Mediated AEs and Infusion Reactions in Combined Phases



Immune-Mediated AEs and Infusion Reactions with Incidence ≥10 Patients

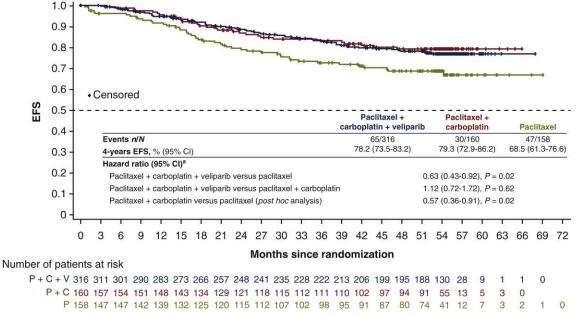
*1 patient from pneumonitis and 1 patient from autoimmune encephalitis. Considered regardless of attribution to treatment or immune relatedness by the investigator. Related terms included in addition to preferred terms listed. Data cutoff date: March 23, 2021.

KEYNOTE-522 Questions

- Four agent chemotherapy backbone: needed for all?
 - Role of carboplatin as part of NAC
- Adjuvant therapy for residual disease
 - Capecitabine and pembrolizumab?
 - Phase II trial in MBC, n = 30 : Grade 3 or higher adverse events occurring in at least 10% of patients were an elevation in alkaline phosphatase, hand-foot syndrome, anemia and lymphopenia. Adverse events similar to capecitabine monotherapy
- Pembrolizumab maintenance in pCR

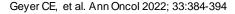


BrighTNess Phase III Trial: Adding Carboplatin with or without Veliparib to NAC in TNBC



Improvement in pCR with the addition of carboplatin was associated with longterm EFS benefit with a manageable safety profile; adding veliparib did not impact EFS.

Atrium Health



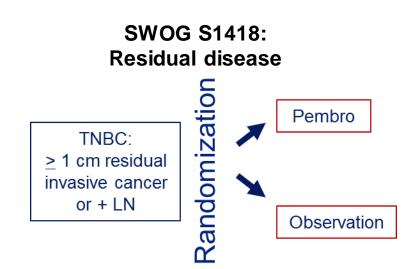
- KN-522 subjects completed 1 year of pembrolizumab regardless of pathologic response
- Excellent outcomes for pCR in pembrolizumab/control arm
- Trials to address pembrolizumab maintenance question are needed



Residual Disease After NAC: Role of Checkpoint Inhibitor

Adjuvant checkpoint inhibitor trials

| Trial | Ν | Intervention |
|--------------|------|---|
| A-BRAVE | 335 | Avelumab x 1 yr vs. observation |
| IMPASSION030 | 2300 | Weekly paclitaxel, DDAC (or EC) +/- atezolizumab x 1 yr |



Primary Endpoint: IDFS Overall and PD-L1+



Other Practical Considerations

- Every 2-weeks AC (Dose-dense) vs every 3 weeks AC and reverse sequence
- Adjuvant radiation therapy concomitant with pembrolizumab vs sequential radiotherapy with adjuvant pembrolizumab
- Administering pembrolizumab every 6 weeks
- Monitor blood cortisol at baseline, prior to surgery, and as clinically indicated

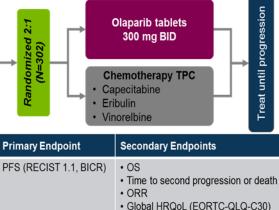


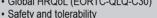
PARP Inhibitor Trials in BRCA-Mutated Metastatic Breast Cancer: OlympiAD

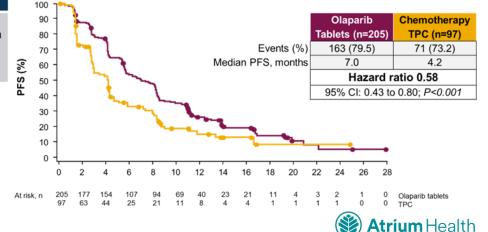
Patient Population

- HER2– MBC

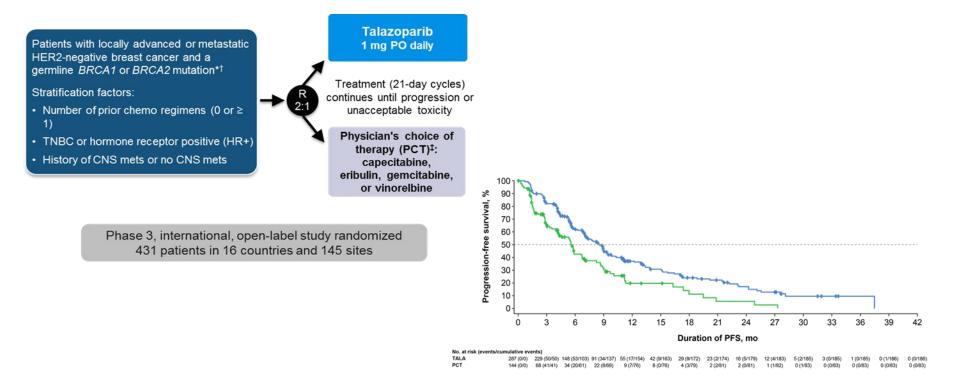
 ER+ and/or PR+ or
 TNBC
- Deleterious or suspected deleterious
 gBRCAm
- ≤2 prior chemotherapy lines in metastatic setting
- Prior anthracycline and taxane
- HR+ patients who have progressed on ≥1 endocrine therapy, or not suitable
- If patients had received platinum
 - No evidence of progression during treatment in the advanced setting
 - o ≥12 months since (neo)adjuvant treatment







PARP Inhibitor Trials in BRCA-Mutated Metastatic Breast Cancer: EMBRACA



🛞 Atrium Health

OlympiA Study Rationale and Background

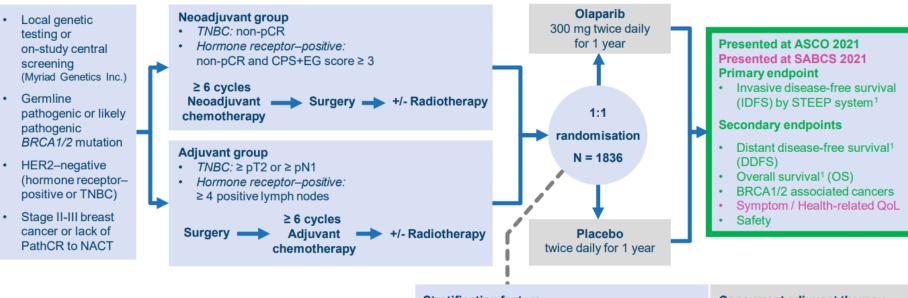
- There is evidence that inhibition and trapping of PARP1 on DNA results in synthetic lethality with loss of function of BRCA1 and BRCA2 proteins and homologous recombination DNA repair
- Stage II/III BRCA1/2 mutation associated breast cancers require chemotherapy with or without endocrine therapy but can have significant residual risk of recurrence despite multiagent chemotherapy
- The PARP1 inhibitor olaparib had demonstrated high response rates in proof of concept studies, now with FDA approvals based on progression free survival in *BRCA1/2* associated breast, ovarian, prostate, and pancreatic cancer
- The role of olaparib as an adjuvant therapy in any gBRCAm malignancy is untested and OlympiA sought to examine this in high recurrence risk early breast cancer

Fong PC, et al. N Engl J Med 2009;361:123-34; Tutt A, et al. Lancet 2010; 376:235-44; Audeh WM, et al. Lancet 2010; 376:245-51; Robson M, et al. N Engl J Med 2017; 377:523-533; Moore K, et al. N Engl J Med 2018; 379: 2495-2505; Golan T, et al. N Engl J Med 2019; 381:317-327; Hussain M, et al. N Engl J Med 2020; 383:2345-2357





OLYMPIA: TRIAL SCHEMA



Hormone receptor-positive defined as ER and/or PgR positive (IHC staining ≥ 1%) Triple negative defined as ER and PgR negative (IHC staining < 1%) ¹Hudis CA, J Clin Oncol 2007

Stratification factors

- Hormone receptor-positive vs. TNBC
- Neoadjuvant vs. adjuvant
- Prior platinum-based chemotherapy (yes vs. no)

Concurrent adjuvant therapy

- Endocrine therapy
- Bisphosphonates
- No 2nd adjuvant chemotherapy

ESMO VIRTUAL PLENARY

Andrew Nicholas James Tutt MB ChB PhD FMedSci

OLYMPIA: PATIENT CHARACTERISTICS

| | Olaparib (N = 921) | Placebo (N = 915) |
|---|--|---|
| Age, years, median (interquartile range) | 42 (36–49) | 43 (36–50) |
| BRCA gene affected in germline BRCA1 BRCA2 BRCA1 and BRCA2 | 657 (71.3%) 261 (28.3%) 2 (0.2%) | 670 (73.2%) 239 (26.1%) 5 (0.5%) |
| BRCA testing available Local and central BRCA result* Local testing only Central Myriad testing only No local or central Myriad testing available | 590 (64.1%) 90 (9.8%) 240 (26.1%) 1 (0.1%) | 585 (63.9%) 96 (10.5%) 234 (25.6%) 0 (0.0%) |
| Primary breast cancer surgery Mastectomy Conservative surgery only Missing | 698 (75.8%) 223 (24.2%) 0 (0.0%) | 673 (73.6%) 240 (26.2%) 2 (0.2%) |

*Local/Central discordant results: Olaparib 13 (2.2%), Placebo 10 (1.7%), Total 23 (2.0%)

ESMO VIRTUAL PLENARY

OLYMPIA: PATIENT CHARACTERISTICS

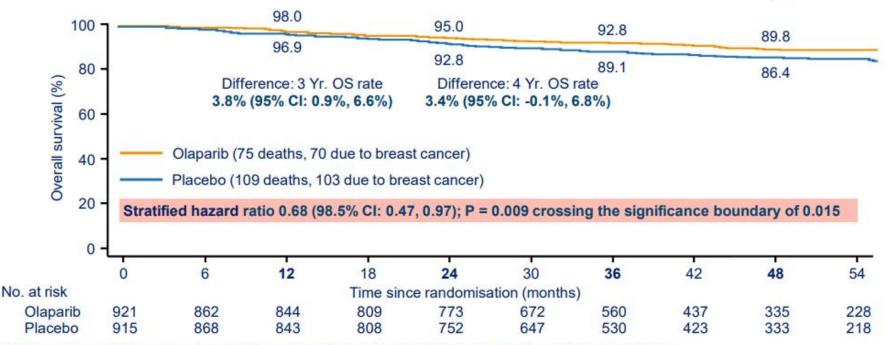
| | Olaparib (N = 921) | Placebo (N = 915) |
|--|--|--|
| Hormone receptor status* ER and/or PgR positive ≥ 1% / HER2-negative [†] Triple negative breast cancer [‡] | 168 (18.2%) 751 (81.5%) | 157 (17.2%) 758 (82.8%) |
| Menopausal status (female only) Premenopausal Postmenopausal | 572/919 (62.2%) 347/919 (37.8%) | 553/911 (60.7%) 358/911 (39.3%) |
| Prior chemotherapy Adjuvant (ACT) Neoadjuvant (NACT) Anthracycline and taxane regimen Neo(adjuvant) platinum-based therapy | 461 (50.1%) 460 (49.9%) 871 (94.6%) 247 (26.8%) | 455 (49.7%) 460 (50.3%) 849 (92.8%) 238 (26.0%) |
| Concurrent endocrine therapy (ER and/or PgR positive only) | 146/168 (86.9%) | 146/157 (93.0%) |

*Defined by local test results

[†]Following a protocol amendment in 2015, the first patient with hormone receptor–positive disease was enrolled in December 2015 [‡]Two patients are excluded from the summary of the triple negative breast cancer subset because they do not have confirmed HER2–negative status

ESMO VIRTUAL PLENARY

SECOND OVERALL SURVIVAL INTERIM ANALYSIS - OS IA 2 (ITT)

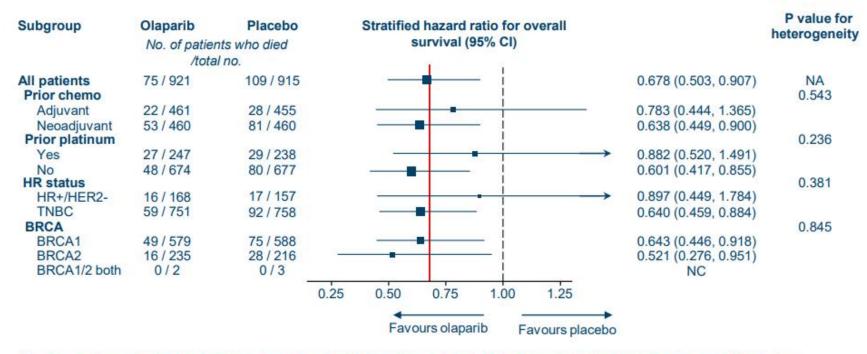


98.5% confidence intervals are shown for the hazard ratio because P < 0.015 is required for statistical significance

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SUBGROUP ANALYSIS OF OS



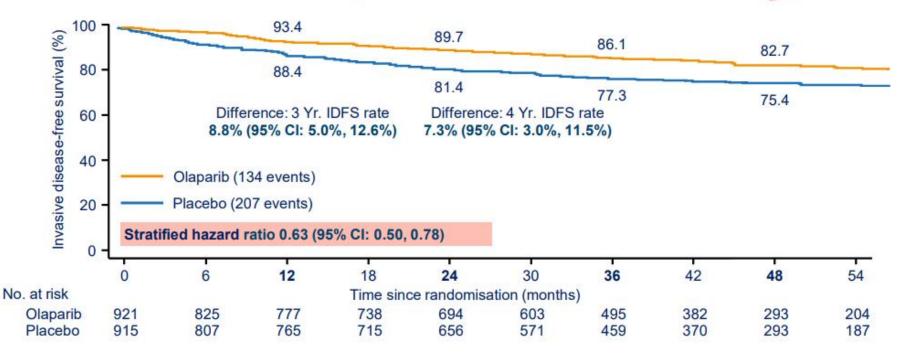
All subgroup hazard ratio point estimates are < 1 and confidence intervals include the hazard ratio for olaparib treatment effect in the overall ITT population

ESMO VIRTUAL PLENARY

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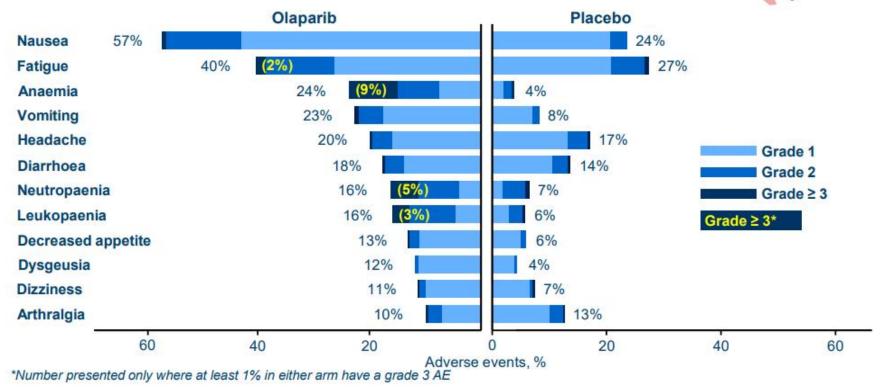
ANALYSIS OF IDFS (ITT) AT OS IA2



ESMO VIRTUAL PLENARY

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ADVERSE EVENTS OF ANY GRADE ≥ 10%



ESMO VIRTUAL PLENARY

OlympiA Questions

- TNBC with residual disease
 - Capecitabine or olaparib or sequence?
 - OlympiAD data would support adjuvant olaparib over capecitabine; olaparib "outperformed" in terms of ORR vs treatment of physician's choice (59.9% vs 28.8%)
 - Combine pembrolizumab with olaparib?
- HR+ Eligible Patients
 - Calculate CPS + EG score
 - Abemaciclib or olaparib or sequence?



PARP Inhibition and Checkpoint Blockade in Metastatic Breast Cancer

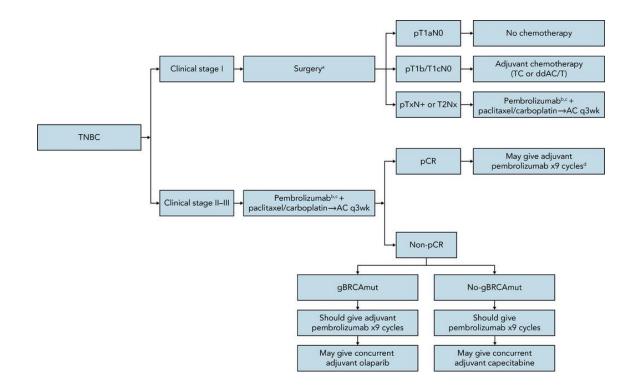
| Trial | BRCA1/2 mutation | Drugs | Selection | Ν | ORR | DCR |
|---------|---------------------|-----------------------------|--------------------------------------|----|------------------------|--------------------|
| MEDIOLA | Germline | Olaparib and durvalumab | Max of 2 lines of chemotherapy | 30 | 63% 1 CR, 18 PRs | 50% at 28 weeks |
| TOPACIO | Germline | Niraparib and pembrolizumab | Max of 2 lines of chemotherapy | 47 | 21% 5 CRs, 5 PRs | 49% |

MEDIOLA trial: Grade \geq 3 AEs were anemia (12%), neutropenia (9%), and pancreatitis (6%) TOPACIO trial: Grade \geq 3 AEs were anemia (18%), thrombocytopenia (15%), and fatigue (7%); grade \geq 3 immune-related AEs was 4%; no new safety signals reported



Domchek SM, et al. Lancet Oncol. 2020;21:1155-1164; Vinayak S, et al. JAMA Oncol. 2019;5:1132-1140

Practical Guidance in Integrating Chemoimmunotherapy in Early-Stage TNBC





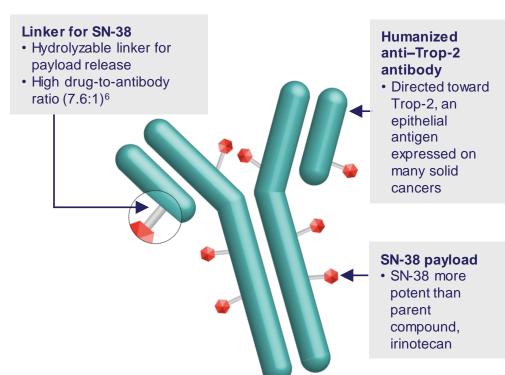
Treatment of Metastatic Triple-Negative Breast Cancer – What to Know in 2022

- BRCA1/2 germline sequencing
- PD-L1 expression
 - 22C3 PharmDX assay scored by CPS \geq 10 (Combined Positive Score)
- Other molecularly targeted aberrations
 - NTRK fusion positive (<1% of MBC)
 - TMB-High (<10% of TNBC)
 - MSI-H, dMMR (<2% of TNBC)



Sacituzumab Govitecan (SG) Is a First-in-Class Trop-2–Directed ADC

- Trop-2 is expressed in all subtypes of breast cancer and linked to poor prognosis^{1,2}
- SG is distinct from other ADCs³⁻⁶
 - Antibody highly specific for Trop-2
 - High drug-to-antibody ratio (7.6:1)
 - Internalization and enzymatic cleavage by tumor cell not required for the liberation of SN-38 from the antibody
 - Hydrolysis of the linker also releases the SN-38 cytotoxic extracellularly in the tumor microenvironment, providing a bystander effect
- Granted accelerated approval by the FDA for metastatic TNBC and fast-track designation in metastatic urothelial cancer⁷

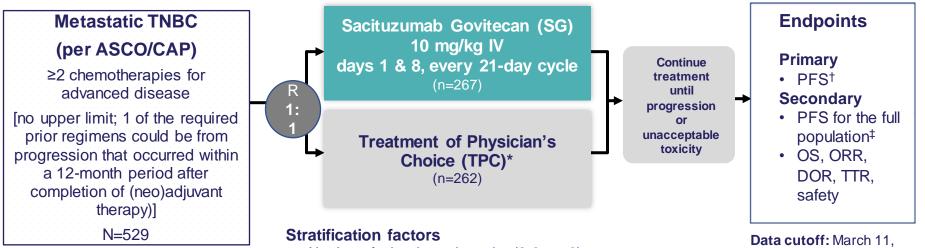


ADC, antibody-drug conjugate; TNBC, triple-negative breast cancer; Trop-2, trophoblast cell sufface antigen 2.

1. Vidula N et al. *J Clin Oncol.* 2017;35:15(suppl):Abstract 1075. 2. Ambrogi et al. *PLoS One.* 2014;9(5):e96993. 3. Goldenberg DM et al. *Expert Opin Biol Ther.* 2020 Aug;20(8):871-885. 4. Nagay ama A et al. *Ther Adv Med Oncol.* 2020;12:1758835920915980. 5. Cardillo TM et al. *Bioconjugate Chem* 2015;26:919-931. 6. Goldenberg DM et al. *Oncotarget.* 2015;6:22496-224512. 7. Press Release. https://www.fda.gov/drugs/drug-approvals-and-databases/fda-grants-accelerated-approval-sacituzumab-govitecan-hziymetastatic-triple-negative-breast-cancer. Accessed August 26, 2020.



ASCENT: A Phase 3 Confirmatory Study of Sacituzumab Govitecan in Refractory/Relapsed mTNBC



NCT02574455

Number of prior chemotherapies (2-3 vs >3)

- Geographic region (North America vs Europe)
- Presence/absence of known brain metastases (yes/no)

ASCENT was halted early due to compelling evidence of efficacy per unanimous DSMC recommendation. Here, we report the primary results from ASCENT, including PFS and OS.

*TPC: eribulin, vinorelbine, gemcitabine, or capecitabine. ¹PFS measured by an independent, centralized, and blinded group of radiology experts who assessed tumor response using RECIST 1.1 criteria in patients without brain metastasis. ¹The full population includes all randomized patients (with and without brain metastases). Baseline brain MRI only required for patients with known brain metastasis. ⁴SCO/CAP, American Society of Clinical Oppology/College of American Pathologists: DOR, duration of response: DSMC, Data Safety Monitoring Committee; IV intravenous:

ASCO/CAP, American Society of Clinical Oncology/College of American Pathologists; DOR, duration of response; DSMC, Data Safety Monitoring Committee; IV, intravenous; mTNBC, metastatic triple-negative breast cancer; ORR, objective response rate; OS, overall survival; PFS, progression-free survival; R, randomization; RECIST, Response Ev aluation Criteria in Solid Tumors; TTR, time to response. National Institutes of Health. <u>https://clinicaltrials.gov/cf2/show/NCT02574455</u>.



2020

Demographics and Patient Characteristics

| | SG (n=235) | TPC (n=233) |
|------------------------------------|------------|-------------|
| Female—no. (%) | 233 (99) | 233 (100) |
| Median age—yr (range) | 54 (29-82) | 53 (27-81) |
| Race or ethnic group—no. (%) | | |
| White | 188 (80) | 181 (78) |
| Black | 28 (12) | 28 (12) |
| Asian | 9 (4) | 9 (4) |
| Other or not specified | 10 (4) | 15 (6) |
| ECOG PS—no. (%) | | |
| 0 | 108 (46) | 98 (42) |
| 1 | 127 (54) | 135 (58) |
| BRCA 1/2 mutational status—no. (%) | | |
| Positive | 16 (7) | 18 (8) |
| Negative | 133 (57) | 125 (54) |
| Unknown | 86 (37) | 90 (39) |
| TNBC at initial diagnosis* | | |
| Yes | 165 (70) | 157 (67) |
| No | 70 (30) | 76 (33) |

| | SG (n=235) | TPC (n=233) |
|--|------------|-------------|
| Previous anticancer regimens† —median no. (range) | 4 (2-17) | 4 (2-14) |
| Most common previous chemotherapy—no. (%) | | |
| Taxane [‡] | 235 (100) | 233 (100) |
| Anthracycline [§] | 191 (81) | 193 (83) |
| Cyclophosphamide | 192 (82) | 192 (82) |
| Carboplatin | 147 (63) | 160 (69) |
| Capecitabine | 147 (63) | 159 (68) |
| Previous PARP inhibitor—no. (%) | 17 (7) | 18 (8) |
| Previous use of checkpoint inhibitors—no. (%) | 67 (29) | 60 (26) |
| Most common sites of disease ^{II} —no. (%) | | |
| Lung only | 108 (46) | 97 (42) |
| Liver | 98 (42) | 101 (43) |
| Bone | 48 (20) | 55 (24) |

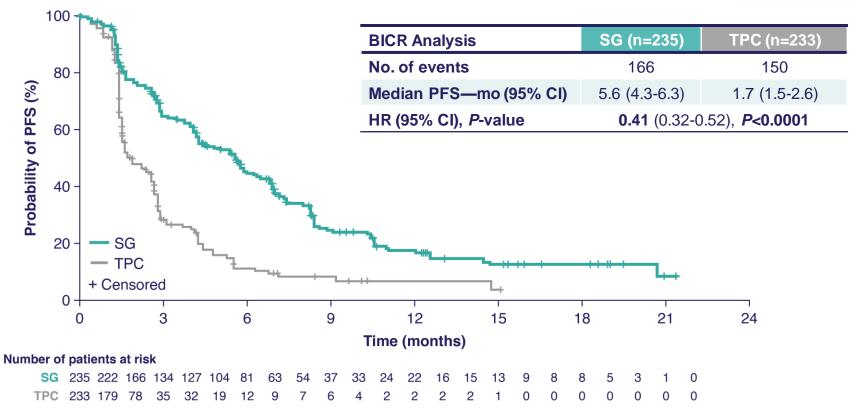
Brain metastases-negative population. *Patients on study either had TNBC at initial diagnosis or had hormone receptor-positive disease that converted to hormone-negative at time of study entry. †Anticancer regimens refer to any treatment regimen that was used to treat thee as cancer in any setting includes: Pacifiaxel, pacifiaxel abumin, and docetaxel. Includes: Doxorubicin, damorbicin, periubicin, and variations of those treatment names. "Based on independent central review of target and non-target lesions." BRCA, breast cancer gene, ECOE PS, Eastern Cooperative Oncology Group performance status; PARP, poly-ADP ribose polymerase; SG, sacituzumab govitecan; TNBC, triple-negative breast cancer;

TPC, treatment of physician's choice.



ASCENT

Progression-Free Survival (BICR Analysis)

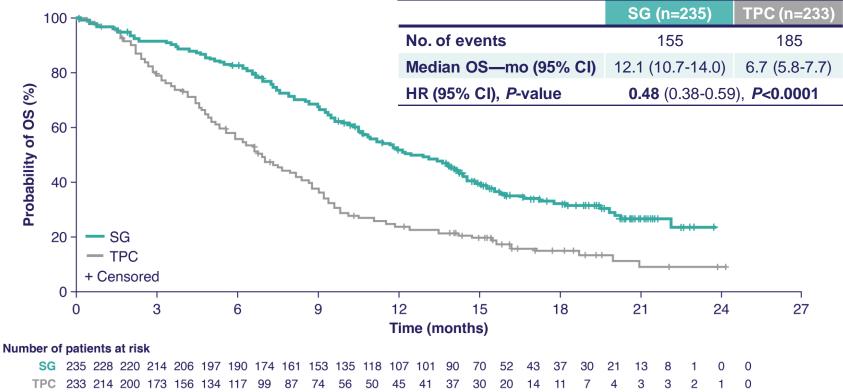


Primary endpoint (PFS) assessed by independent central review in the brain metastases-negative population, as pre-defined in the study protocol. Secondary endpoint (PFS) assessed in the full population (brain metastases-positive and -negative) and PFS benef it was consistent (HR=0.43 [0.35-0.54], P<0.0001). BICR, blind independent central review; PFS, progression free survival; SG, sacituzumab govitecan; TPC, treatment of physician's choice.



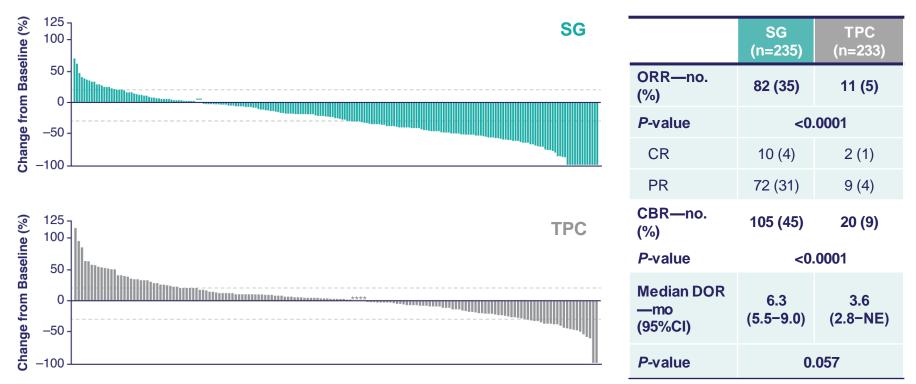
ASCENT

Overall Survival





Overall Response and Best Percent Change From Baseline in Tumor Size



Assessed by independent central review in brain metastases-negative population.

*Denotes patients who had a 0% change from baseline in tumor size.

BICR, blind independent central review; CBR, clinical benefit rate (CR + PR + SD ≥6 mo); CR, complete response; DOR, duration of response; ORR, objective response rate; PR, partial response; SG, sacituzumab govitecan; TPC, treatment of physician's choice; TTR, time to response.



TRAEs (All Grade, >20%; Grade 3/4, >5% of Patients)

| | | SG (n=258) | | | TPC (n=224) | | |
|------------------|--------------------------|-------------|------------|------------|--------------|------------|------------|
| | TRAE* | All grade % | Grade 3, % | Grade 4, % | All grade, % | Grade 3, % | Grade 4, % |
| | Neutropenia ⁺ | 63 | 46 | 17 | 43 | 27 | 13 |
| Hematologic | Anemia [‡] | 34 | 8 | 0 | 24 | 5 | 0 |
| Hematologic | Leukopenia§ | 16 | 10 | 1 | 11 | 5 | 1 |
| | Febrile neutropenia | 6 | 5 | 1 | 2 | 2 | <1 |
| | Diarrhea | 59 | 10 | 0 | 12 | <1 | 0 |
| Gastrointestinal | Nausea | 57 | 2 | <1 | 26 | <1 | 0 |
| | Vomiting | 29 | 1 | <1 | 10 | <1 | 0 |
| Other | Fatigue | 45 | 3 | 0 | 30 | 5 | 0 |
| | Alopecia | 46 | 0 | 0 | 16 | 0 | 0 |

Key grade ≥3 TRAEs (SG vs TPC): neutropenia (51% vs 33%), diarrhea (10% vs <1%), leukopenia (10% vs 5%), anemia (8% vs 5%), and febrile neutropenia (6% vs 2%)

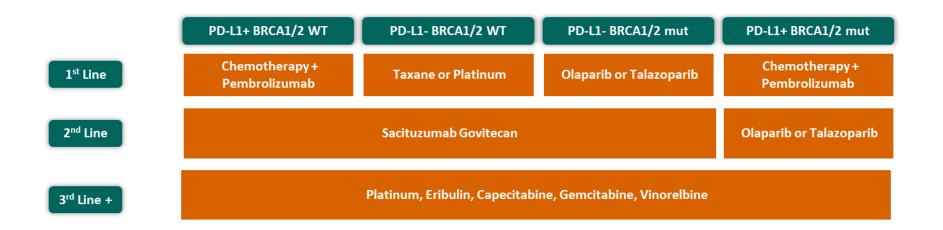
- G-CSF usage was 49% in the SG arm vs 23% in the TPC arm
- Dose reductions due to TRAEs were similar (22% SG vs 26% TPC)
- No severe cardiovascular toxicity, no grade >2 neuropathy or grade >3 interstitial lung disease with SG
- No treatment-related deaths with SG; 1 treatment-related death (neutropenic sepsis) with TPC
- AEs leading to treatment discontinuation were low for SG and TPC: 4.7% and 5.4%
- Patients received a median of 7 treatment cycles of SG, with a median treatment duration of 4.4 months (range, 0.03-22.9)

*Patients may report more than 1 event per preferred term. AEs were classified according to the MedDRA systems of preferred terms and system organ class and according to severity by NCI CTCAE v4.03. [†]Combined preferred terms of 'neutropenia' and 'decreased neutrophil count'. [‡]Combined preferred terms of 'anemia' and 'decreased hemoglobin'. [§]Combined preferred terms of 'leukopenia' and 'decreased white blood cell count'.



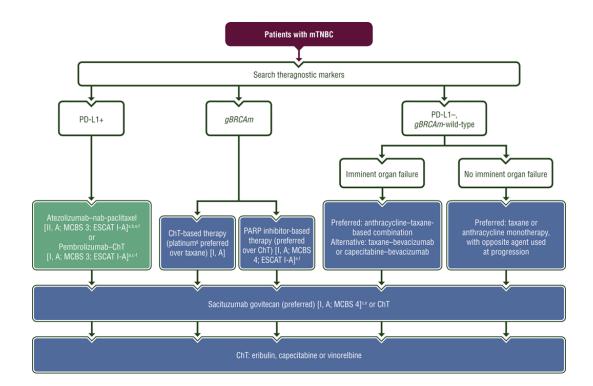


Approach to Treatment of Metastatic TNBC



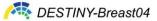


ESMO Clinical Practice Guideline for Treatment of Metastatic Triple-Negative Breast Cancer

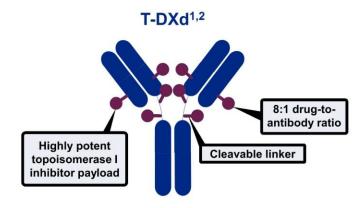




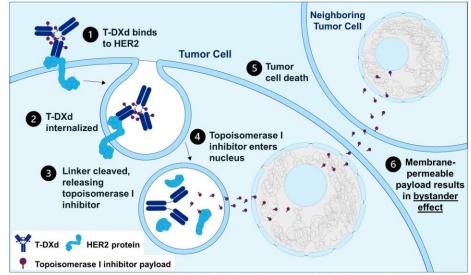




T-DXd MOA, Bystander Effect, and Rationale for Targeting HER2-low mBC



Internalization of T-DXd leads to release of the DXd payload and subsequent cell death in the target tumor cell and neighboring tumor cells through the bystander effect^{1,2}



Adapted with permission from Modi S, et al. J Clin Oncol 2020;38:1887-96. CC BY ND 4.0.

• Results from a phase 1b study have reported efficacy of T-DXd in heavily pretreated patients (N = 54) with HER2-low mBC, with a mPFS of 11.1 months and an ORR of 37.0%³

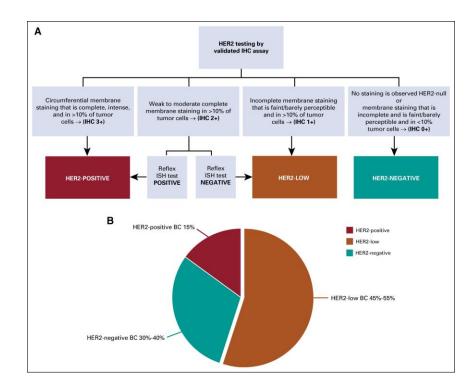
HER2, human epidermal growth factor receptor 2; MOA, mechanism of action; mBC, metastatic breast cancer; mPFS, median progression-free survival; ORR, objective response rate; T-DXd, trastuzumab deruxtecan. 1. Nakada T, et al. Chem Pharm Bull. 2019;67:173-185. 2. Ogitani Y, et al. Clin Cancer Res. 2016;22:5097-5108. 3. Modi S, et al. J Clin Oncol. 2020;38:1887-1896.



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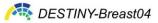


Algorithm for Defining HER2-Low Breast Cancer



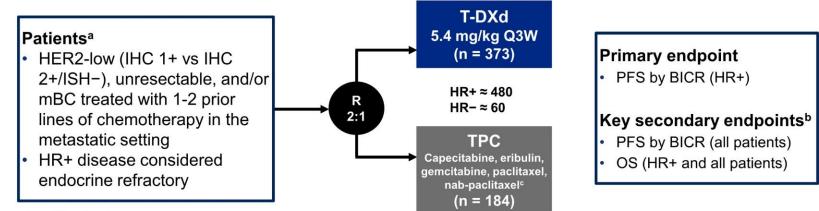


Tarantino P et al. J Clin Oncol. 2020;38(17): 1951-1962.



DESTINY-Breast04: First Randomized Phase 3 Study of T-DXd for HER2-low mBC

An open-label, multicenter study (NCT03734029)



Stratification factors

- Centrally assessed HER2 status^d (IHC 1+ vs IHC 2+/ISH-)
- 1 versus 2 prior lines of chemotherapy
- HR+ (with vs without prior treatment with CDK4/6 inhibitor) versus HR-

ASCO/CAP, American Society of Clinical Oncology/College of American Pathologists; BICR, blinded independent central review; CDK, cyclin-dependent kinase; DOR, duration of response; HER2, human epidermal growth factor receptor 2; HR, hormone receptor; IHC, immunohistochemistry; ISH, in situ hybridization; mBC, metastatic breast cancer; OS, overall survival; PFS, progression-free survival; Q3W, every 3 weeks; R, randomization; T-DXd, trastuzumab deruxtecan; TPC, treatment of physician's choice.

alf patients had HR+ mBC, prior endocrine therapy was required. ^bOther secondary endpoints included ORR (BICR and investigator), DOR (BICR), PFS (investigator), and safety; efficacy in the HR- cohort was an exploratory endpoint. ^cTPC was administered accordingly to the label. ^dPerformed on adequate archived or recent tumor biopsy per ASCO/CAP guidelines using the VENTANA HER2/neu (4B5) investigational use only [IUO] Assay system.



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Baseline Characteristics

| | Hormone rec | eptor–positive | All patients | | |
|--------------------------------------|--------------------|------------------|--------------------|------------------|--|
| | T-DXd (n = 331) | TPC (n = 163) | T-DXd (n = 373) | TPC (n = 184) | |
| Age, median (range), years | 57 (32-80) | 56 (28-80) | 58 (32-80) | 56 (28-80) | |
| Female, n (%) | 329 (99) | 163 (100) | 371 (99) | 184 (100) | |
| Region, n (%) | | | | | |
| Europe + Israel | 149 (45) | 73 (45) | 166 (45) | 85 (46) | |
| Asia | 128 (39) | 60 (37) | 147 (39) | 66 (36) | |
| North America | 54 (16) | 30 (18) | 60 (16) | 33 (18) | |
| HER2 status (IHC), n (%) | | | | | |
| 1+ | 193 (58) | 95 (58) | 215 (58) | 106 (58) | |
| 2+/ISH- | 138 (42) | 68 (42) | 158 (42) | 78 (42) | |
| ECOG performance status, % | | | | | |
| 0 | 187 (56) | 95 (58) | 200 (54) | 105 (57) | |
| 1 | 144 (44) | 68 (42) | 173 (46) | 79 (43) | |
| Hormone receptor, ^a n (%) | | | | | |
| Positive | 328 (99) | 162 (99) | 333 (89) | 166 (90) | |
| Negative | 3 (1) | 1 (1) | 40 (11) | 18 (10) | |
| Brain metastases at baseline, n (%) | 18 (5) | 7 (4) | 24 (6) | 8 (4) | |
| Liver metastases at baseline, n (%) | 247 (75) | 116 (71) | 266 (71) | 123 (67) | |
| Lung metastases at baseline, n (%) | 98 (30) | 58 (36) | 120 (32) | 63 (34) | |

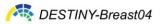
ECOG, Eastern Cooperative Oncology Group; HER2, human epidermal growth factor receptor 2; IHC, immunohistochemistry; ISH, in situ hybridization; T-DXd, trastuzumab deruxtecan; TPC, treatment of physician's choice. "Hormone receptor status is based on data collected using the interactive web/voice response system at the time of randomization, which includes misstratified patients.



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8



Prior Therapies

| | Hormone rec | eptor–positive | All patients | | |
|---|-------------|----------------|--------------|------------|--|
| | T-DXd | TPC | T-DXd | TPC | |
| | (n = 331) | (n = 163) | (n = 373) | (n = 184) | |
| Lines of systemic therapy (metastatic setting) | | | | | |
| Number of lines, median (range) | 3 (1-9) | 3 (1-8) | 3 (1-9) | 3 (1-8) | |
| Number of lines, n (%) | | | | | |
| 1 | 23 (7) | 14 (9) | 39 (10) | 19 (10) | |
| 2 | 85 (26) | 41 (25) | 100 (27) | 53 (29) | |
| ≥3 | 223 (67) | 108 (66) | 234 (63) | 112 (61) | |
| Lines of chemotherapy (metastatic setting) | | | | | |
| Number of lines, median (range) | 1 (0-3) | 1 (0-2) | 1 (0-3) | 1 (0-2) | |
| Number of lines, n (%) | | | | | |
| 0 | 1 (0.3) | 1 (0.6) | 1 (0.3) | 1 (0.5) | |
| 1 | 203 (61.3) | 93 (57.1) | 221 (59.2) | 100 (54.3) | |
| 2 | 124 (37.5) | 69 (42.3) | 145 (38.9) | 83 (45.1) | |
| ≥3 | 3 (0.9) | 0 | 6 (1.6) | 0 | |
| Lines of endocrine therapy (metastatic setting) | | | | | |
| Number of lines, median (range) | 2 (0-7) | 2 (0-6) | 2 (0-7) | 2 (0-6) | |
| Number of lines, n (%) | | | | | |
| 0 | 28 (8) | 17 (10) | 60 (16) | 34 (18) | |
| 1 | 105 (32) | 49 (30) | 108 (29) | 51 (28) | |
| 2 | 110 (33) | 53 (33) | 115 (31) | 54 (29) | |
| ≥3 | 88 (27) | 44 (27) | 90 (24) | 45 (24) | |
| Prior targeted cancer therapy, n (%) | | | | | |
| Targeted therapy | 259 (78) | 132 (81) | 279 (75) | 140 (76) | |
| CDK4/6 inhibitor | 233 (70) | 115 (71) | 239 (64) | 119 (65) | |

Based on derived data, which includes protocol deviations. CDK, cyclin-dependent kinase; T-DXd, trastuzumab deruxtecan; TPC, treatment of physician's choice.



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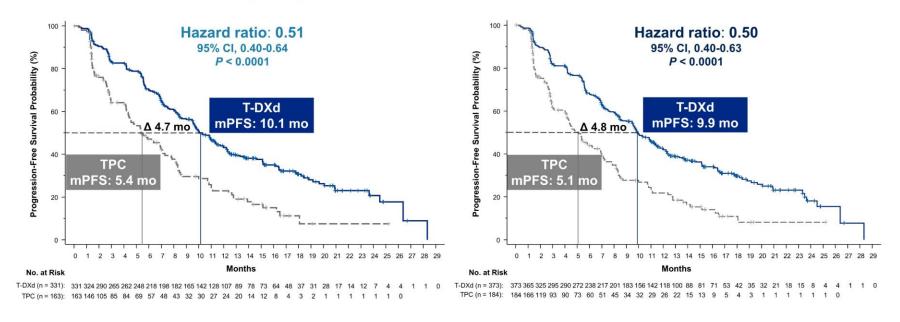
9



PFS in HR+ and All Patients

Hormone receptor-positive

All patients



PFS by blinded independent central review.

HR, hormone receptor; mPFS, median progression-free survival; PFS, progression-free survival; T-DXd, trastuzumab deruxtecan; TPC, treatment of physician's choice.



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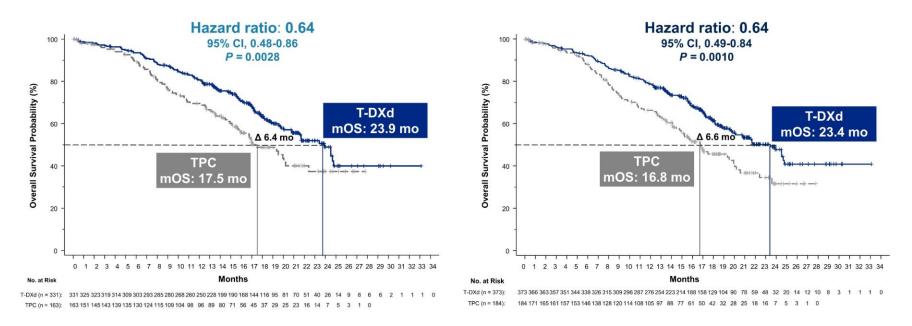




OS in HR+ and All Patients

Hormone receptor-positive

All patients

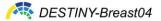


HR, hormone receptor; mOS, median overall survival; OS, overall survival; T-DXd, trastuzumab deruxtecan; TPC, treatment of physician's choice.

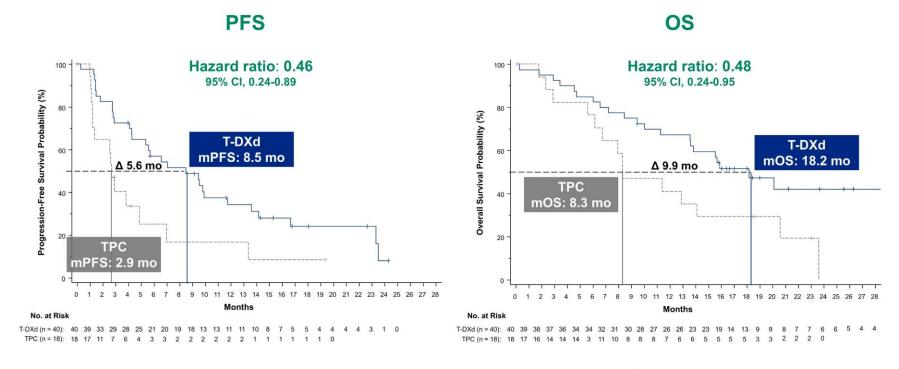


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PFS and OS in HR- (Exploratory Endpoints)



HR, hormone receptor; mOS, median overall survival; mPFS, median progression-free survival; OS, overall survival; PFS, progression-free survival; T-DXd, trastuzumab deruxtecan; TPC, treatment of physician's choice. For efficacy in the hormone receptor–negative cohort, hormone receptor status is based on data from the electronic data capture corrected for misstratification.

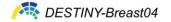


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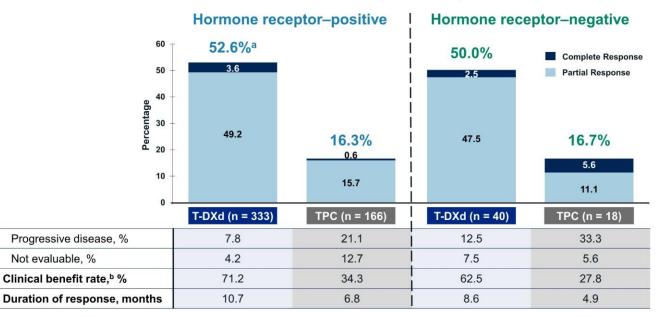


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12



Confirmed ORR



Confirmed Objective Response Rate

Hormone receptor status is based on data from the electronic data capture corrected for misstratification.

ORR, objective response rate; T-DXd, trastuzumab deruxtecan; TPC, treatment of physician's choice.

^aThe response of 1 patient was not confirmed. ^bClinical benefit rate is defined as the sum of complete response rate, partial response rate, and more than 6 months' stable disease rate, based on blinded independent central review.



Shanu Modi, MD

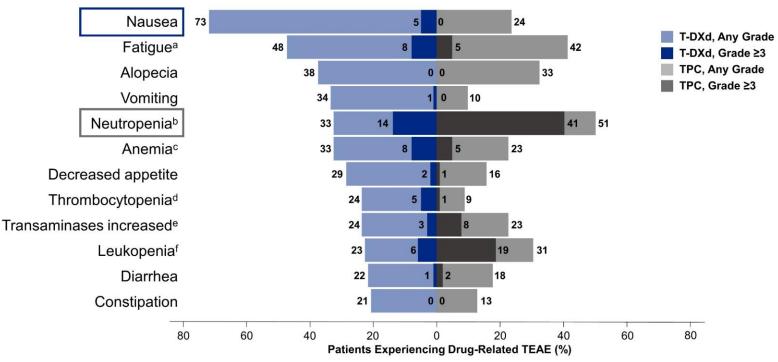
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14



Drug-Related TEAEs in ≥20% of Patients



T-DXd, trastuzumab deruxtecan; TEAE, treatment-emergent adverse event; TPC, treatment of physician's choice.

^aThis category includes the preferred terms fatigue, asthenia, and malaise. ^bThis category includes the preferred terms neutrophil count decreased and neutropenia. ^cThis category includes the preferred terms neutrophil count decreased and neutropenia. ^cThis category includes the preferred terms patrate aminotransferase increased, aspartate aminotransferase increased, and leukopenia.



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16



Adverse Events of Special Interest

Adjudicated as drug-related ILD/pneumonitis^a

| n (%) | Grade 1 | Grade 2 | Grade 3 | Grade 4 | Grade 5 | Any Grade |
|-----------------|----------|----------|---------|---------|---------|-----------|
| T-DXd (n = 371) | 13 (3.5) | 24 (6.5) | 5 (1.3) | 0 | 3 (0.8) | 45 (12.1) |
| TPC (n = 172) | 1 (0.6) | 0 | 0 | 0 | 0 | 1 (0.6) |

Left ventricular dysfunction^b

| n (%) | Grade 1 | Grade 2 | Grade 3 | Grade 4 | Grade 5 | Any Grade |
|------------------------------|-----------|----------|---------|---------|---------|-----------|
| Ejection fraction of | lecreased | | | | | |
| T-DXd (n = 371) | 1 (0.3) | 14 (3.8) | 1 (0.3) | 0 | 0 | 16 (4.3) |
| TPC (n = 172) | 0 | 0 | 0 | 0 | 0 | 0 |
| Cardiac failure ^c | | | | | | |
| T-DXd (n = 371) | 0 | 1 (0.3) | 1 (0.3) | 0 | 0 | 2 (0.5) |
| TPC (n = 172) | 0 | 0 | 0 | 0 | 0 | 0 |

ILD, interstitial lung disease; T-DXd, trastuzumab deruxtecan; TPC, treatment of physician's choice.

^aMedian time to onset of ILD/pneumonitis for patients with T-DXd was 129.0 days (range, 26-710). ^bLeft ventricular dysfunction was reported in a total of 17 (4.6%) patients in the T-DXd arm. One patient initially experienced ejection fraction decrease, then later developed cardiac failure. ^cBoth patients with cardiac failure were reported to have recovered.



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18

Critical Questions and Priorities

- What is the critical threshold of HER2 protein expression needed for antitumor activity with T-DXd?
 - DESTINY-Breast04: Outcomes were similar with a IHC score of 1+ and with a IHC score of 2+
 - Fernandez et al. JAMA Oncol 2022: less than 70% interrater agreement was found between ERBB2 scores of 0 and 1+ on 15 of 80 College of American Pathologists survey cases
 - DAISY trial: ORR = 30% in a cohort (n=38) HER2-negative breast cancer (HER2 IHC 0)
 - Were these tumors really 1+s?
 - Raises possibility that the level of HER2 expression required for activity of T-DXd is lower than the sensitivity of the IHC assay
 - Need reliable, sensitive quantitative assay to assess low levels of HER2 expression
- For pathology labs only checking FISH for HER2, now need to check IHC status.



Ongoing Trials in HER2-Low Metastatic Breast Cancer

| Drug/Sponsor | Compound | NCT# | Phase | Size | Regimen | |
|--|--|----------|-------|------|---|--|
| T-DXd Daiichi-Sankyo/AZ DESTINY Breast-08 | ADC Payload:DXd Topoisomerase I inhibitor | 04556733 | lb | 182 | T-DXd + capecitabine T-DXd + durvalumab + paclitaxel T-DXd + capivasertib T-DXd + anastrozole T-DXd + fulvestrant | |
| T-DXd Daiichi-Sankyo/AZ DESTINY Breast-06 | ADC Payload:DXd Topoisomerase I inhibitor | 04494425 | III | 850 | TDX-d vs Treatment of Physician's Choice (capecitabine, nab-paclitaxel, or paclitaxel) | |
| SYD985 Trastuzumab Duocarmazine Synthon ISPY-P1.01 | ADC Payload: Duocarmazine | 04602117 | l/lb | 27 | SYD985 + paclitaxel | |
| MRG002 Shanghai Miracogen | ADC Payload:MMAE | 04742153 | Ш | 66 | MRG002 | |
| ARX-788 Zheijang Medicine | ADC Payload: Microtubule inhibitor (AS269) | 05018678 | II | 54 | ARX-788 | |
| (S) Atrium Health | | | | | | |

DESTINY-Breast04 Trial: Take Home Points

- T-DXd is an active drug; provides a new treatment option for patients with HER2low metastatic disease.
 - ORR 52% vs 16.3% (T-Dxd vs TPC)
 - Doubling of progression-free survival
 - A 6-month gain in overall survival
 - 12% ILD (Interstitial lung disease)
- Becomes critical to know the HER2 IHC status of a metastatic tumor, i.e. status 0, 1+ and 2+.
 - We now have a new category of breast cancer—HER2-low—the trial results redefine how we classify breast cancer and will result in significantly expanding the population of patients who can benefit from HER2-targeted therapy.
- The next steps will be studies to explore the minimum threshold of HER2 expression that will respond to T-DXd.



KEYNOTE-522 Trial: Take Home Points

- Chemotherapy and pembrolizumab approved as neoadjuvant therapy for Stage II-III TNBC, followed by adjuvant pembrolizumab after surgery
 - Tumor size > 1 cm but ≤ 2 cm in diameter with nodal involvement or tumor size > 2 cm in diameter regardless of nodal involvement
- No PD-L1 testing requirement
- Most immune-mediated adverse events occurred in the neoadjuvant phase, low grade and manageable
- Improves pCR, improves EFS
- Await mature OS analysis



OlympiA Trial: Take Home Points

- Olaparib approved as adjuvant therapy for BRCA-mutated HER2-negative high-risk, early-stage breast cancer
 - Adjuvant olaparib for pts with TNBC and tumor > 2 cm or any involved axillary nodes
 - For HR+ disease, adjuvant olaparib in pts with at least 4 involved axillary lymph nodes
 - · For TNBC pts who receive NAC, adjuvant olaparib for residual disease
 - For HR+ pts who receive NAC, adjuvant olaparib for residual disease a CPS + EG score \geq 3
- Duration: 1 year therapy
- Improves DFS and OS



ASCENT Trial: Take Home Points

- Sacituzumab govitecan approved for metastatic TNBC
 - Can use second-line and beyond
- Higher ORR, longer PFS, and longer OS vs physician's choice chemotherapy
- Neutropenia and diarrhea common, but manageable
 - Management with dose reduction, growth factors, and anti-diarrheals



Summary

- Integration of immunotherapy, PARP inhibitors and antibody-drug conjugates into the treatment of breast cancer marks important milestones and has changed the SOC
- Biomarkers are needed to optimally identify patients that require the addition of immune checkpoint inhibitors to chemotherapy
- Determine what other breast cancer subtypes can benefit from PARP inhibitors i.e. tumors with aberrations in the DNA repair pathways
- Need a better understanding of the appropriate use of ADCs in metastatic breast cancer, i.e. whether ADCs carrying similar payloads can be used in sequence and what is the optimal sequencing of these agents

