

# Advances in HER2+ BC

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# Outline

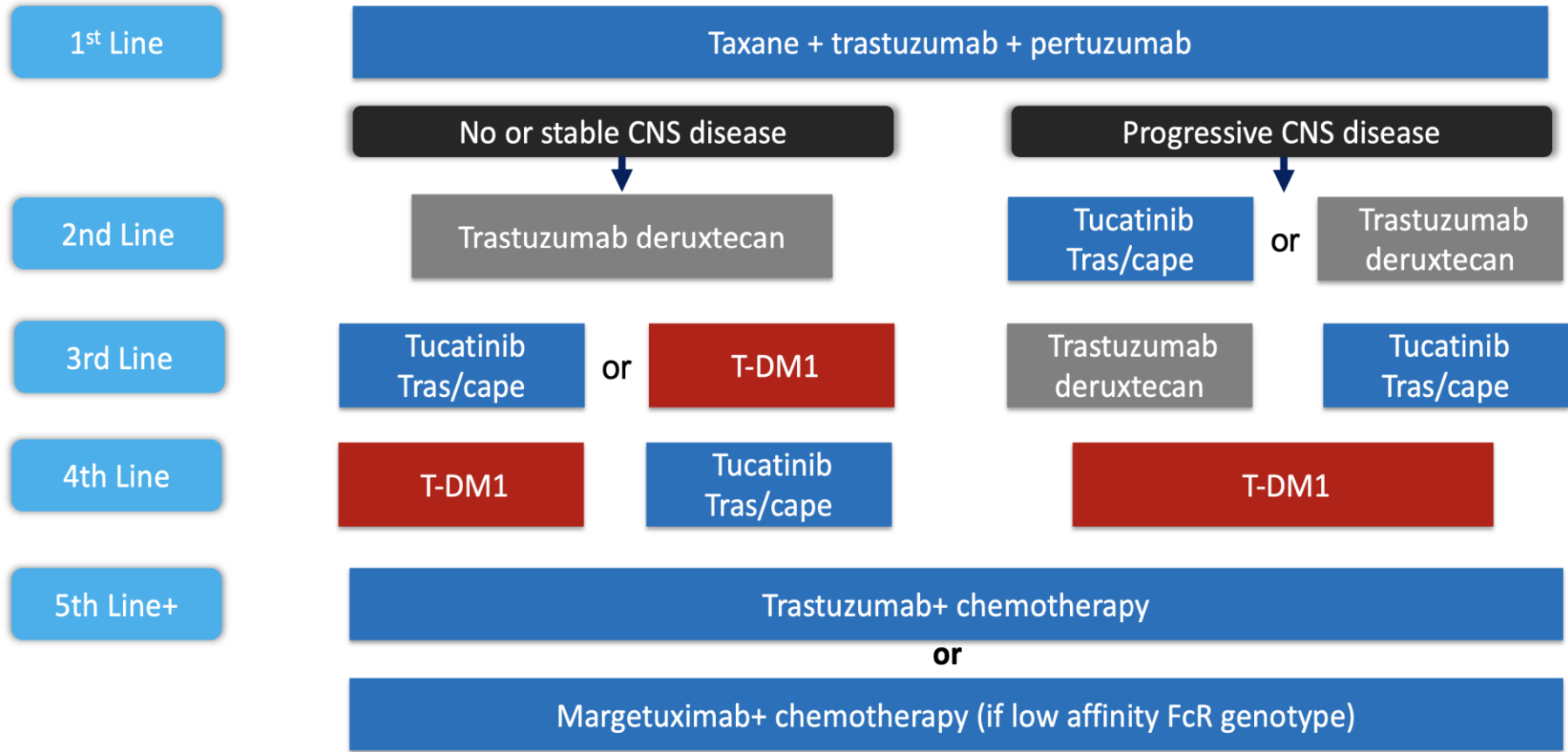
- MBC
  - Current standards for HER2+ MBC
  - Will TXD-d move up to 1<sup>st</sup> line?
  - Can the efficacy of tucantininib be enhanced with an ADC?
- Early stage BC
  - Current standards for EBC
    - De-escalating for lower risk disease
    - Duration
  - Managing residual dx after preop
    - Update of Katherine
    - Strategies for escalation or de-escalation based on response clinically, pathologically or by dynamic imaging



**SYSTEMIC THERAPY REGIMENS FOR RECURRENT UNRESECTABLE (LOCAL OR REGIONAL) OR STAGE IV (M1) DISEASE<sup>k</sup>**

HR-Positive or -Negative and HER2-Positive <sup>j,k</sup>	
Setting	Regimen
First Line <sup>l</sup>	Pertuzumab + trastuzumab + docetaxel (Category 1, preferred)
	Pertuzumab + trastuzumab + paclitaxel (preferred)
Second Line <sup>n</sup>	Fam-trastuzumab deruxtecan-nxki <sup>m</sup> (Category 1, preferred)
Third Line	Tucatinib + trastuzumab + capecitabine <sup>n</sup> (Category 1, preferred)
	Ado-trastuzumab emtansine (T-DM1) <sup>o</sup>
Fourth Line and Beyond (optimal sequence is not known) <sup>p</sup>	Trastuzumab + docetaxel or vinorelbine
	Trastuzumab + paclitaxel ± carboplatin
	Capecitabine + trastuzumab or lapatinib
	Trastuzumab + lapatinib (without cytotoxic therapy)
	Trastuzumab + other chemotherapy agents <sup>q,r</sup>
	Neratinib + capecitabine
	Margetuximab-cmkb + chemotherapy (capecitabine, eribulin, gemcitabine, or vinorelbine)
	Targeted Therapy Options <a href="#">BINV-Q (6)</a>

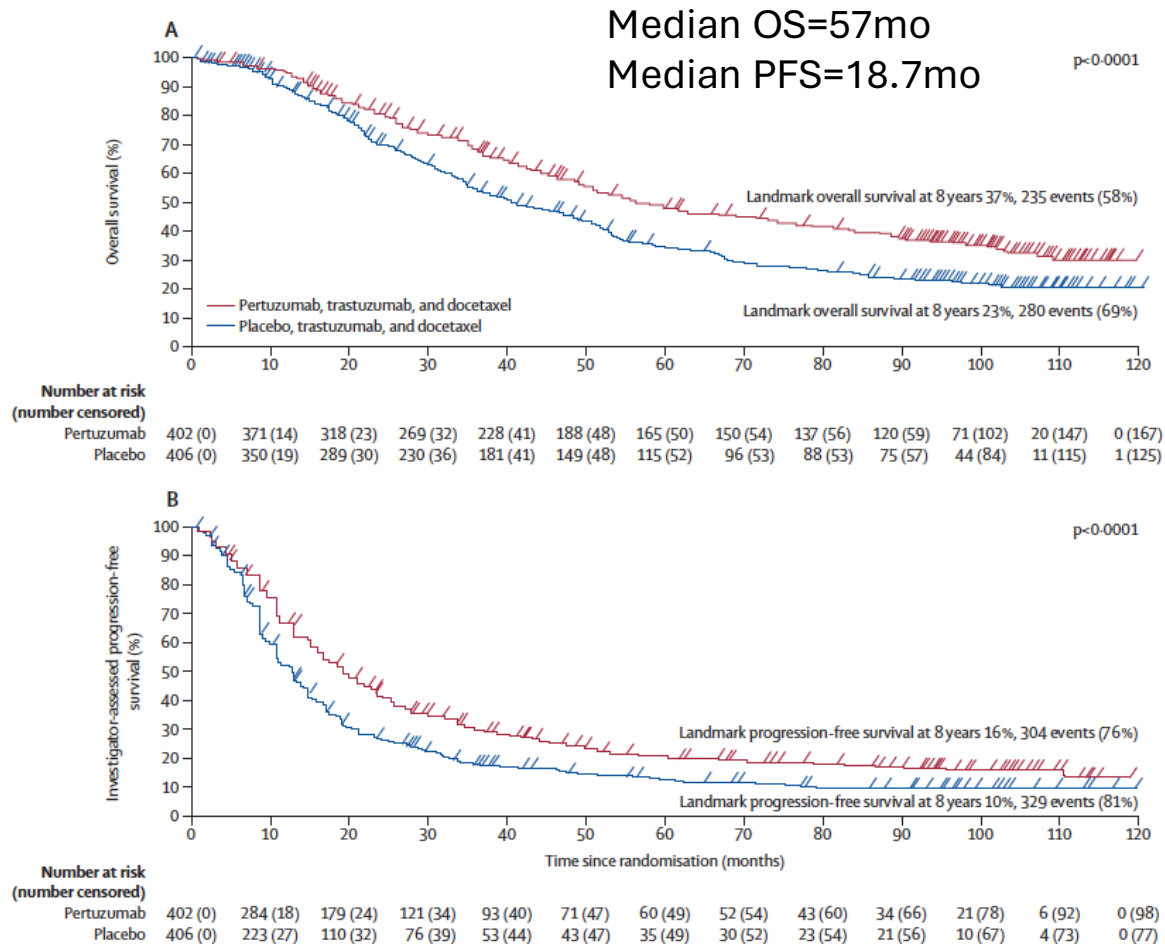
# Approach to Therapy for Metastatic HER2+ disease 2024



Multiple lines of concurrent CT with HER2-directed therapy offers clinical benefit for patients with recurrent HER2+ MBC, but optimal sequencing is not known

Adapted from Modi et al, ESMO 2021

# Long term responders from Cleopatra study



Long term responders: 37% alive and 16% progression free at 8yrs

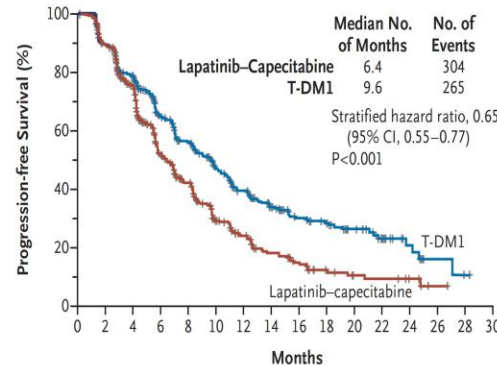
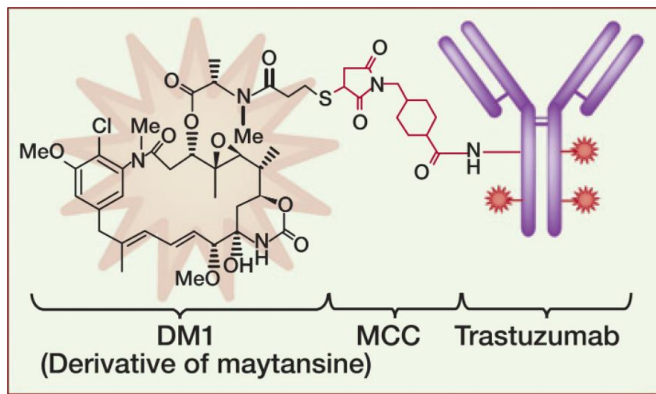
More likely to be

- PR+
- HER2 +3 IHC
- De novo presentation
- have non measurable, non-visceral disease (oligometastatic)
- Tumor *PIK3CA* WT
- Higher HER2 mRNA
- Higher TIL

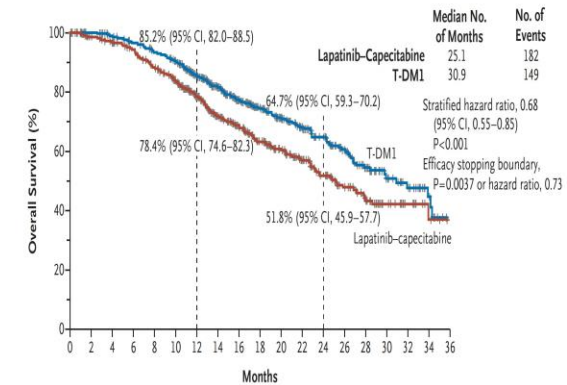
# Phase 3 EMILIA: T-DM1 in HER2+ MBC

In EMILIA, T-DM1 was superior to lapatinib + capecitabine in HER2+ MBC

- In 991 randomized patients, median PFS was 9.6 months with T-DM1 vs 6.4 months with lapatinib + capecitabine (HR 0.65; 95% CI, 0.55-0.77;  $P < .001$ ), and median OS was 30.9 months vs 25.1 months (HR, 0.68; 95% CI, 0.55-0.85;  $P < .001$ )

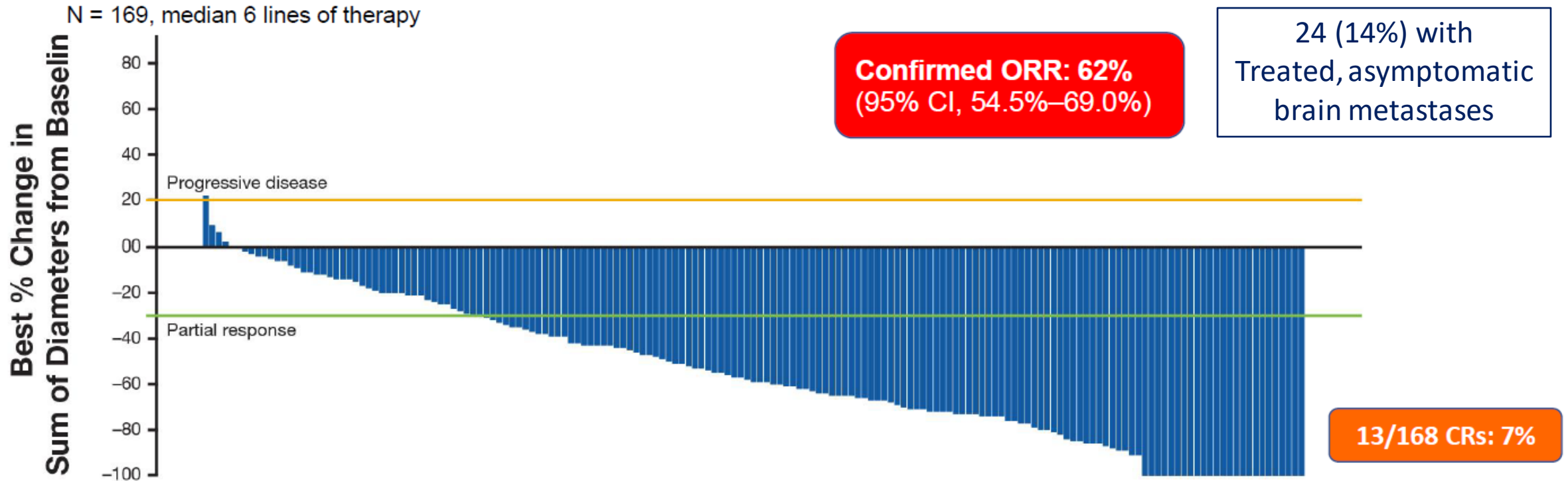


No. at Risk	0	2	4	6	8	10	12	14	16	18	20	22	24	26	28	30
Lapatinib-capecitabine	496	404	310	176	129	73	53	35	25	14	9	8	5	1	0	0
T-DM1	495	419	341	236	183	130	101	72	54	44	30	18	9	3	1	0



No. at Risk	0	2	4	6	8	10	12	14	16	18	20	22	24	26	28	30	32	34	36
Lapatinib-capecitabine	496	471	453	403	368	297	240	204	159	133	110	86	63	45	27	17	7	4	
T-DM1	495	485	474	457	439	418	349	293	242	197	164	136	111	86	62	38	28	13	5

# DESTINY-Breast01: Phase 2 Study of T-DXd in HER2+ MBC (Updated Results With 26.5 mo Follow-Up)



## Prior therapies:

Trastuzumab

100%

T-DM1 100%,

Pertuzumab 66%

**Median PFS was 19.4 months (95% CI, 14.1-25.0 months)**

**Median OS was 29.1 months (95% CI, 24.6-36.1 months)**

# Destiny Breast-03: mHER2+ TDXd vs TDM-1 Updated Analysis

## Demographics

- 50% HR+
- 15% baseline brain mets
- 70% visceral disease
- 61% prior pertuzumab
- Median 2 lines of prior therapy

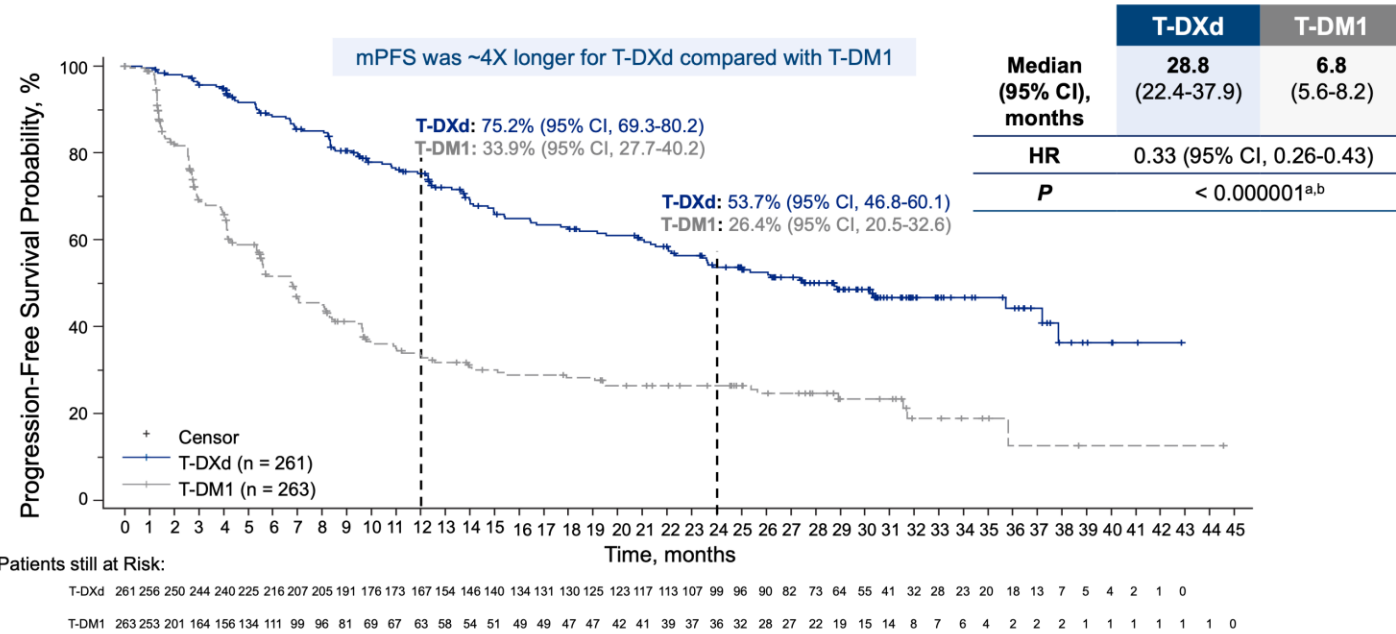
## Anti-cancer therapies in post-trial setting:

- **T-DXd arm:** 64/182 (35.2%) received T-DM1
- **T-DM1 arm:** 42/243 (17.3%) received T-DXd

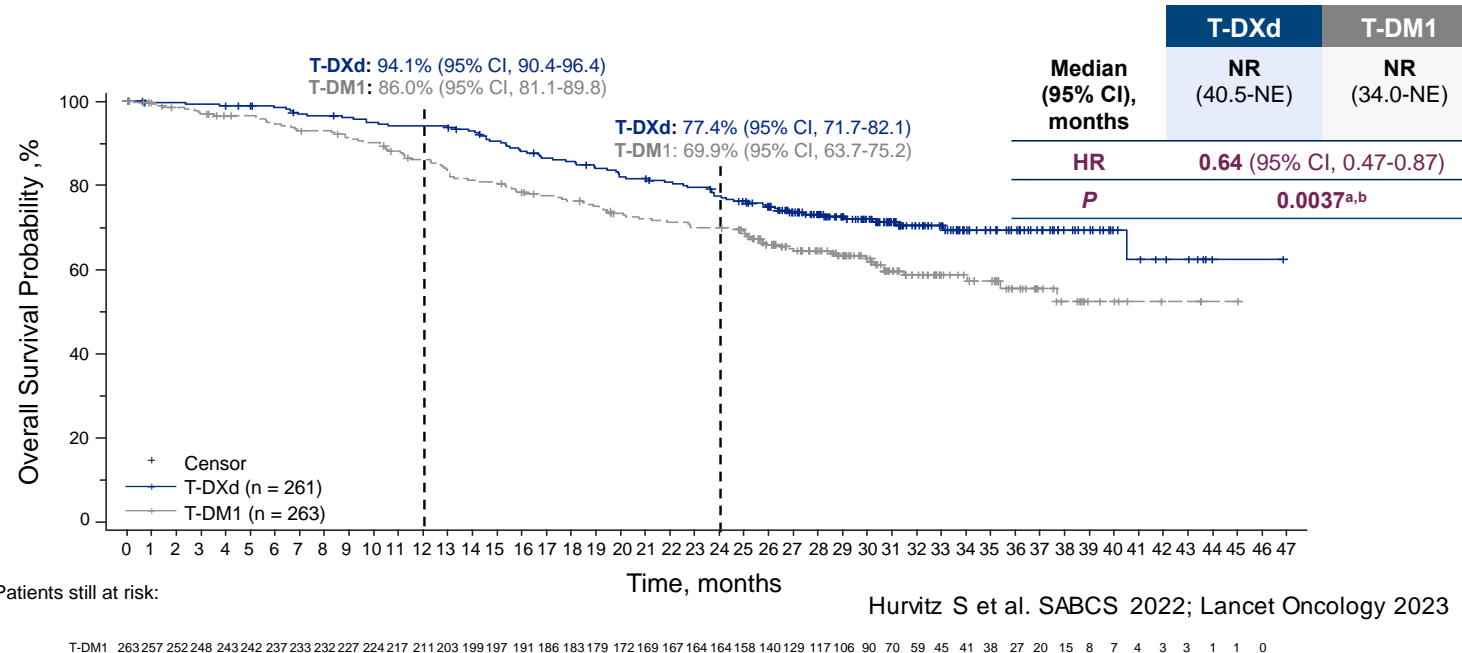
## Updated AEs

- ILD: 15.2%, no grade 4 or 5
- All grade AE
- Nausea: 77%
- Vomiting: 52%
- Alopecia 40%
- Neutropenia  $\geq$  grade 3: 16%

## Updated Primary Endpoint: PFS by BICR



## Key Secondary Endpoint: Overall Survival





ADCs in sequence?.....benefit?

# DESTINY-Breast02:mHER2+ later line T-DXd vs TPC

Randomized phase 3, open-label, multicenter study (NCT03523585)

## Key eligibility criteria<sup>a</sup>

- Centrally confirmed HER2-positive (IHC 3+ or IHC 2+/ISH+) unresectable or metastatic breast cancer
- Documented radiographic progression after most recent treatment
- Previously treated with T-DM1 ←

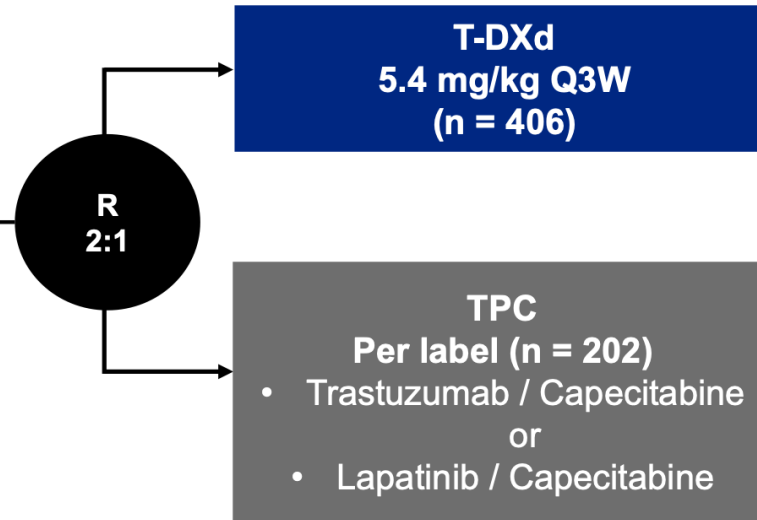
## Stratification factors

- Hormone receptor status
- Prior treatment with pertuzumab
- History of visceral disease

Majority with 2-3 lines of prior therapy

At data cutoff (June 30, 2022), the median duration of follow-up<sup>d</sup> was:

- 21.5 months** (range, 0.1-45.6 months) in the T-DXd arm
- 18.6 months** (range, 0-45.7 months) in the TPC arm



## Primary endpoint

- PFS (BICR<sup>b</sup>)

## Key secondary endpoint

- OS

## Secondary endpoints

- ORR (BICR<sup>b</sup>)
- DoR (BICR<sup>b</sup>)
- PFS (investigator)
- Safety

## Exploratory endpoints

- CBR (BICR<sup>b</sup>)
- PFS2<sup>c</sup> (investigator)

## Protocol-prespecified statistical analysis plan

- Primary analysis planned for ~372 BICR PFS events observed or 18 months from the last patient randomized, whichever came first
- Group sequential testing was used to compare OS between treatment groups hierarchically, provided PFS was significant

## PFS

Median (95% CI), months

T-DXd	TPC
17.8 (14.3-20.8)	6.9 (5.5-8.4)

HR (95% CI): 0.3589 (0.2840-0.4535)  
P < 0.000001

## OS

Median (95% CI), months

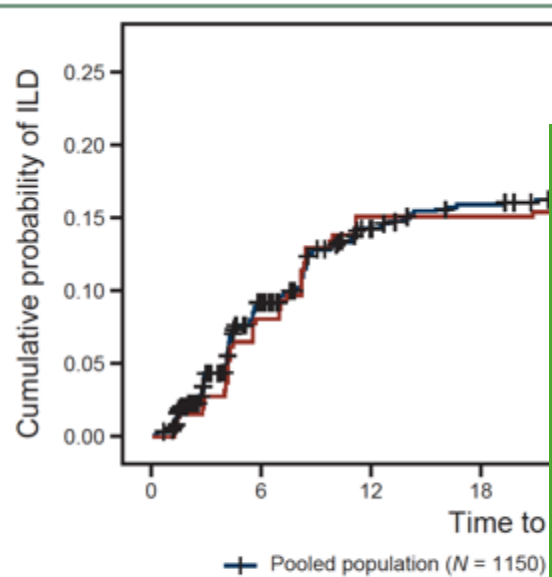
T-DXd	TPC
39.2 (32.7-NE)	26.5 (21.0-NE)

HR (95% CI): 0.6575 (0.5023-0.8605)  
P = 0.0021<sup>a</sup>

## Toxicity

- ILD 10.4% (0.5% gr 5)
- Nausea 72.5%
- Alopecia 37.1%

# Pooled Analysis of ILD/Pneumonitis in 9 Trastuzumab Deruxtecan Monotherapy Studies



**Interrupt trastuzumab deruxtecan and initiate corticosteroid treatment if ILD/pneumonitis is suspected**

**Promptly Investigate Evidence of ILD**

- Evaluate patients with suspected ILD by radiographic imaging
- Consider consultation with a pulmonologist

**For Asymptomatic ILD (Grade 1)**

- Consider corticosteroid treatment (eg,  $\geq 0.5$  mg/kg prednisone or equivalent)
- Withhold trastuzumab deruxtecan until recovery to Grade 0
  - If resolved in  $\leq 28$  days from date of onset, maintain dose
  - If resolved in  $> 28$  days from date of onset, reduce dose one level

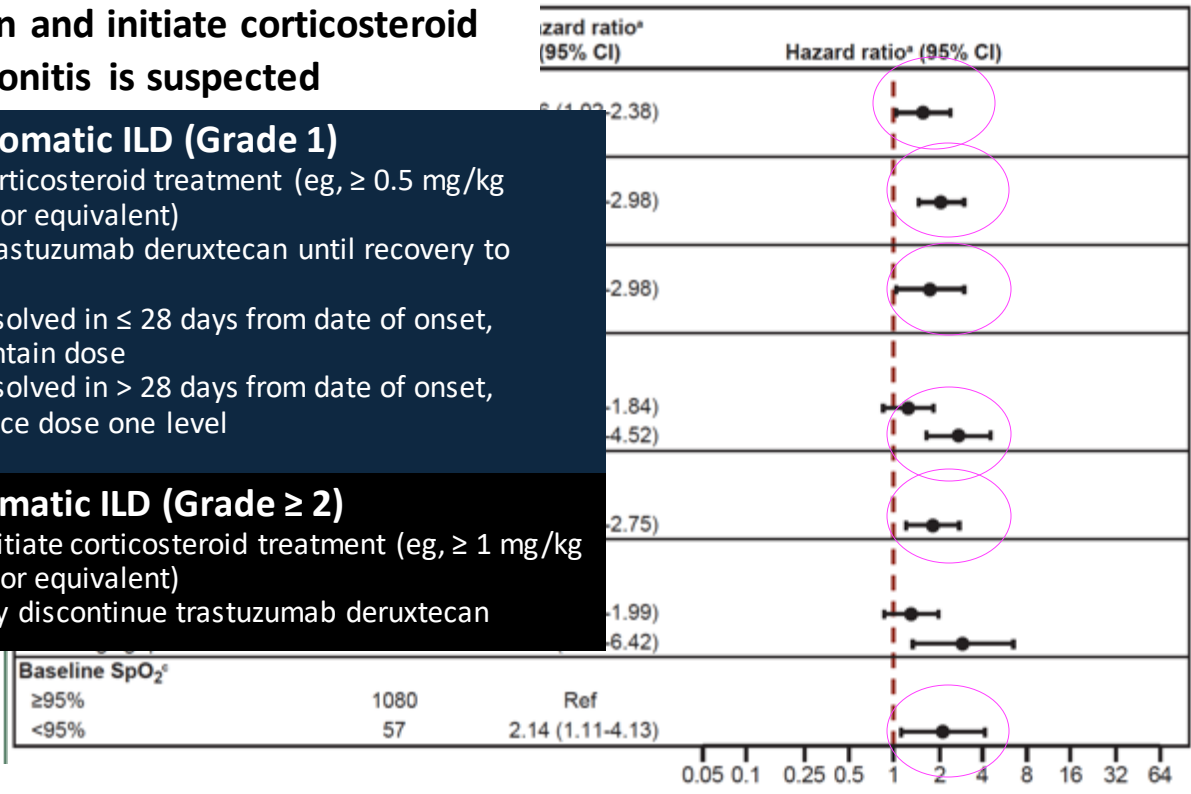
**For Symptomatic ILD (Grade  $\geq 2$ )**

- Promptly initiate corticosteroid treatment (eg,  $\geq 1$  mg/kg prednisone or equivalent)
- Permanently discontinue trastuzumab deruxtecan

No. at risk (events)				
	0	6	12	18
Pooled population	1150 (0)	547 (101)	262 (154)	142 (170)
HER2+ breast cancer	245 (0)	170 (20)	95 (37)	66 (37)

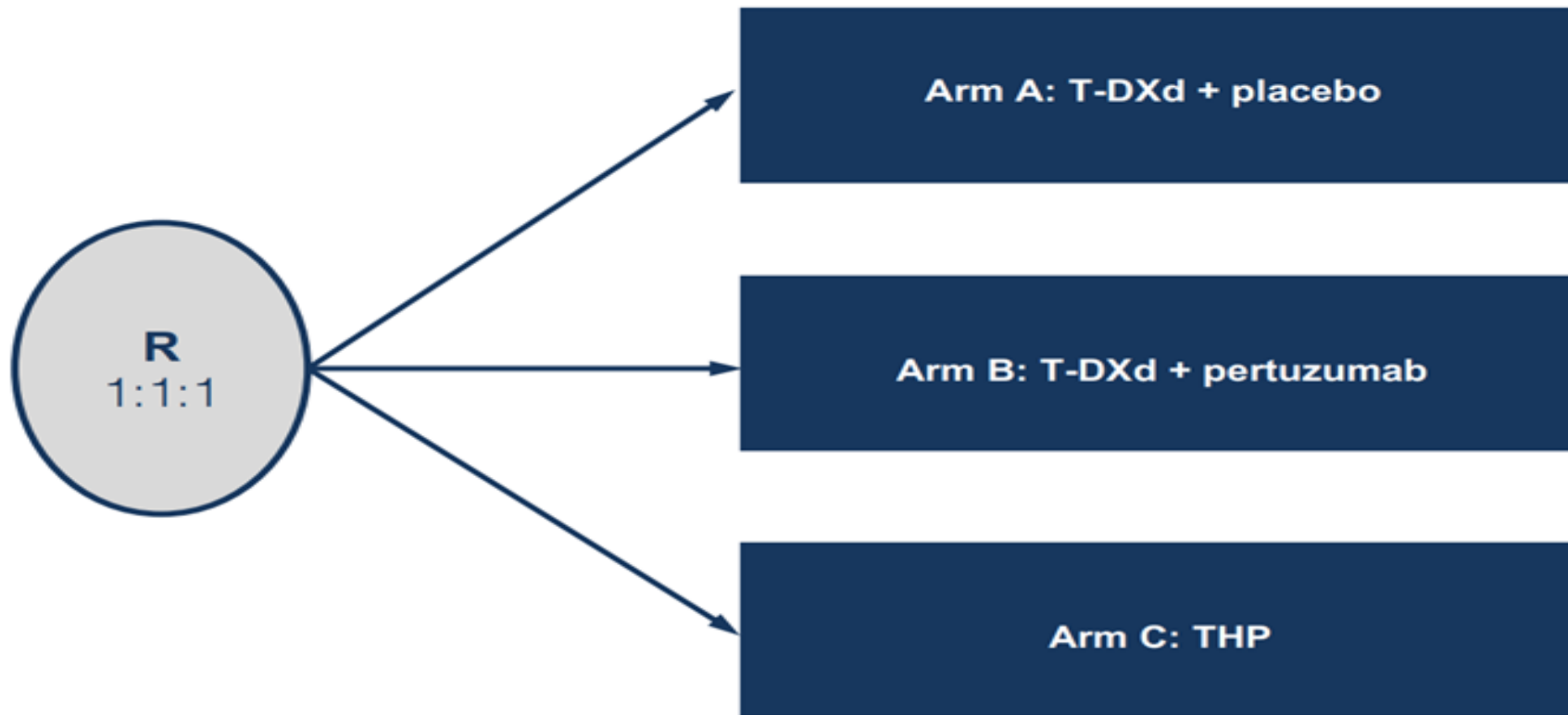
ILD rate										
	0	6	12	18	24	30	36	42	48	54
Pooled population	0	9.2%	14.3%	16.0%	16.4%	16.6%	16.6%	16.6%	17.5%	17.5%
HER2+ breast cancer	0	8.2%	15.1%	15.1%	15.5%	16.3%	16.3%	16.3%	16.3%	16.3%



- 1150 pts (44.3% breast cancer) with a median treatment duration 5.8 mo (0.7-56.3)
- Overall incidence: 15.4% (grade 5: 2.2%); grade 1-2: 77.4%
- 87% had their first event within 12 months of their first dose

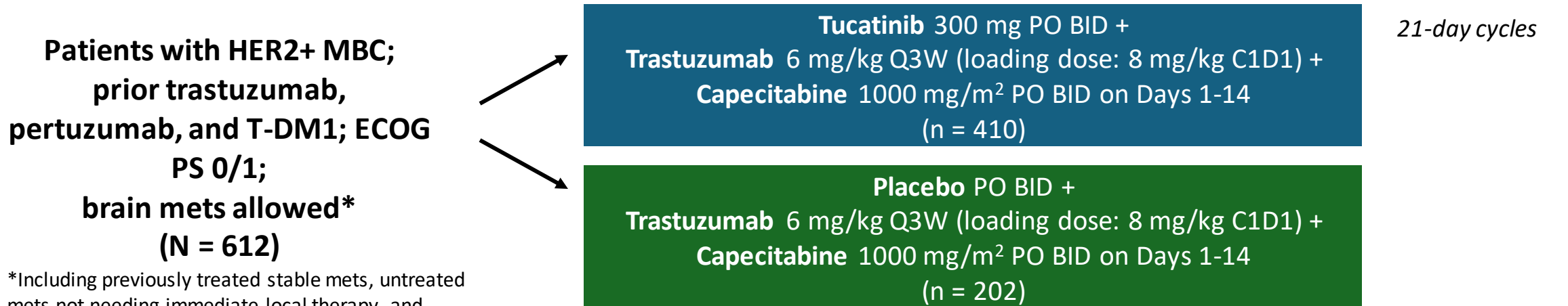
## T-DXd as first line therapy?

DESTINY-Breast09: A Phase 3 Trial of T-DXd Alone or in Combination With Pertuzumab in First-Line HER2+ MBC



# HER2CLIMB: Tucatinib + Trastuzumab + Capecitabine in Previously Treated HER2+ MBC

- Randomized, double-blind, placebo-controlled, active comparator phase II trial



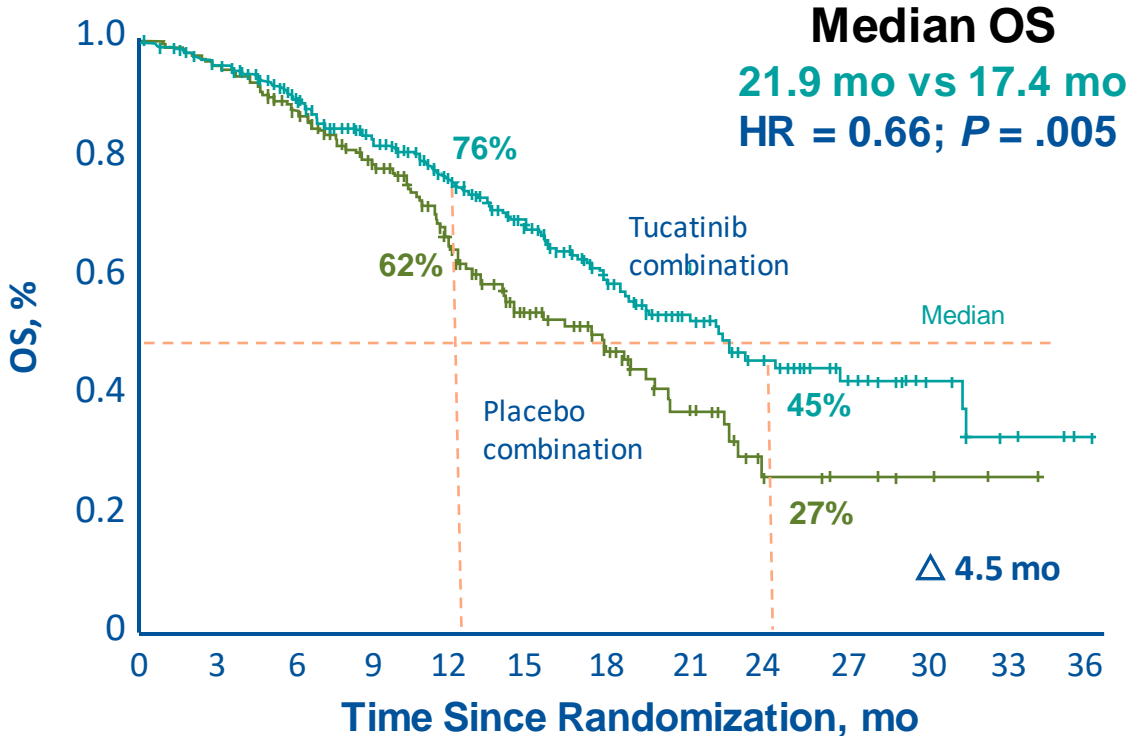
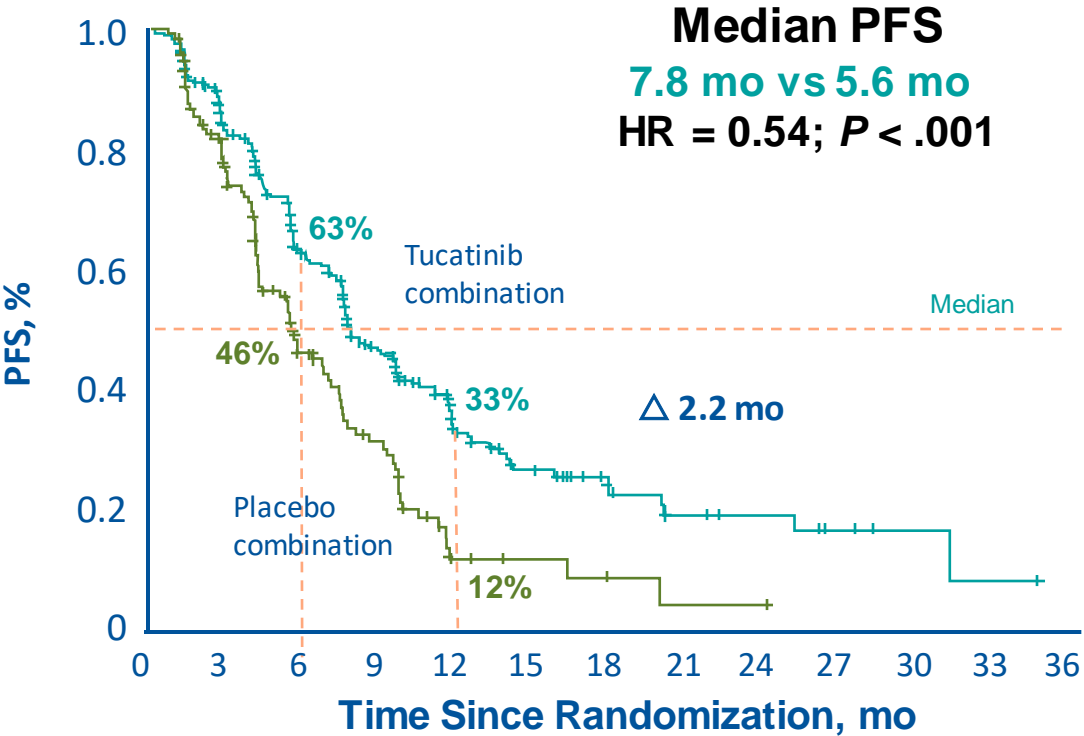
\*Including previously treated stable mets, untreated mets not needing immediate local therapy, and previously treated progressing mets not needing immediate local therapy.

- Primary endpoint: PFS (RECIST v 1.1 by BICR) among first 480 randomized patients
- Secondary endpoints (total population): OS, PFS in patients with brain mets, ORR in patients with measurable disease, safety in patients who received  $\geq 1$  dose of study tx

# HER2CLIMB: Randomized Phase 2 Trial of Tucatinib<sup>1</sup>

Tucatinib + Capecitabine + Trastuzumab vs Capecitabine + Trastuzumab

**Tucatinib Improves PFS and OS**

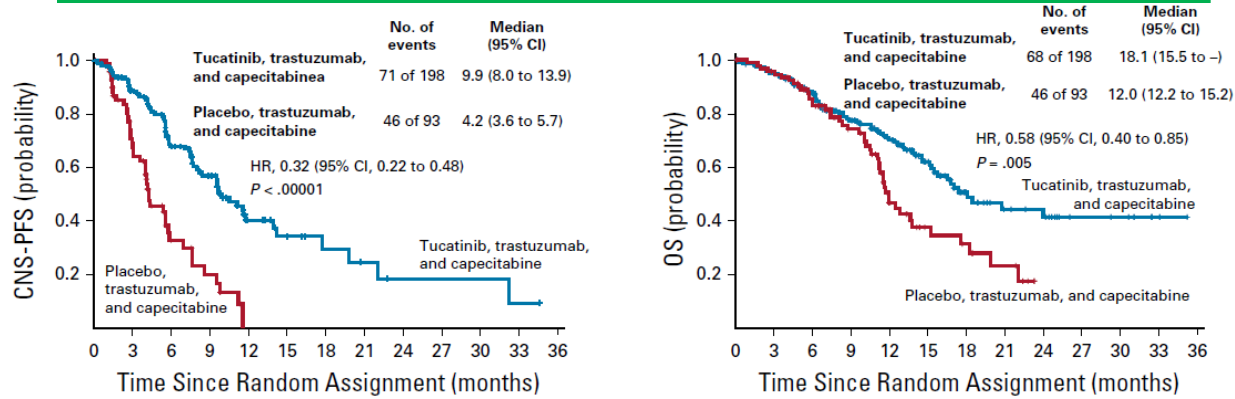


No. at Risk	0	3	6	9	12	15	18	21	24	27	30	33	36
Tuc + tras + cape	320	235	152	96	40	29	15	10	8	4	2	1	0
Pbo + tras + cape	160	94	45	27	6	4	2	1	1	0	0	0	0

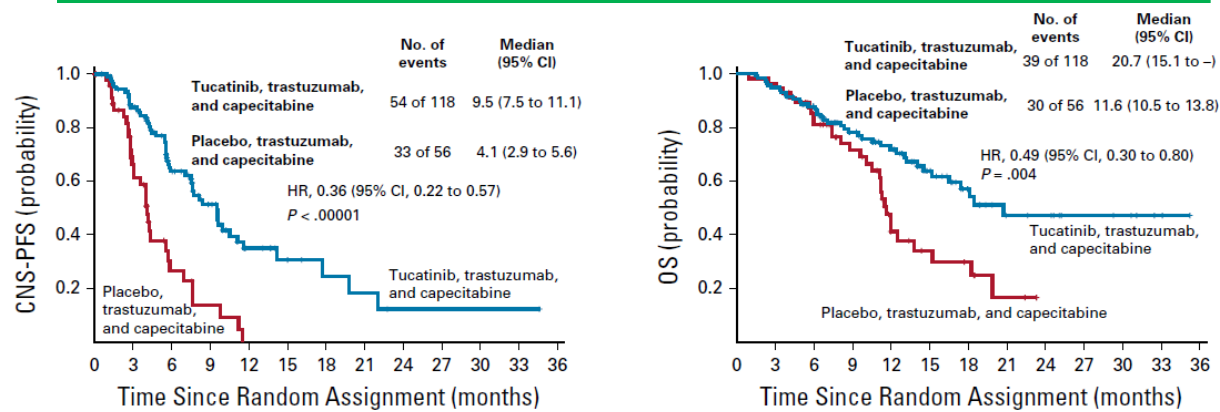
No. at Risk	0	3	6	9	12	15	18	21	24	27	30	33	36
Tuc + tras + cape	410	388	322	245	178	123	80	51	34	20	10	4	0
Pbo + tras + cape	202	191	160	119	77	48	32	19	7	5	2	1	0

# Intracranial CNS-Specific Outcomes: HER2CLIMB Study Results

Patient with Brain Metastases (active or treated/stable)



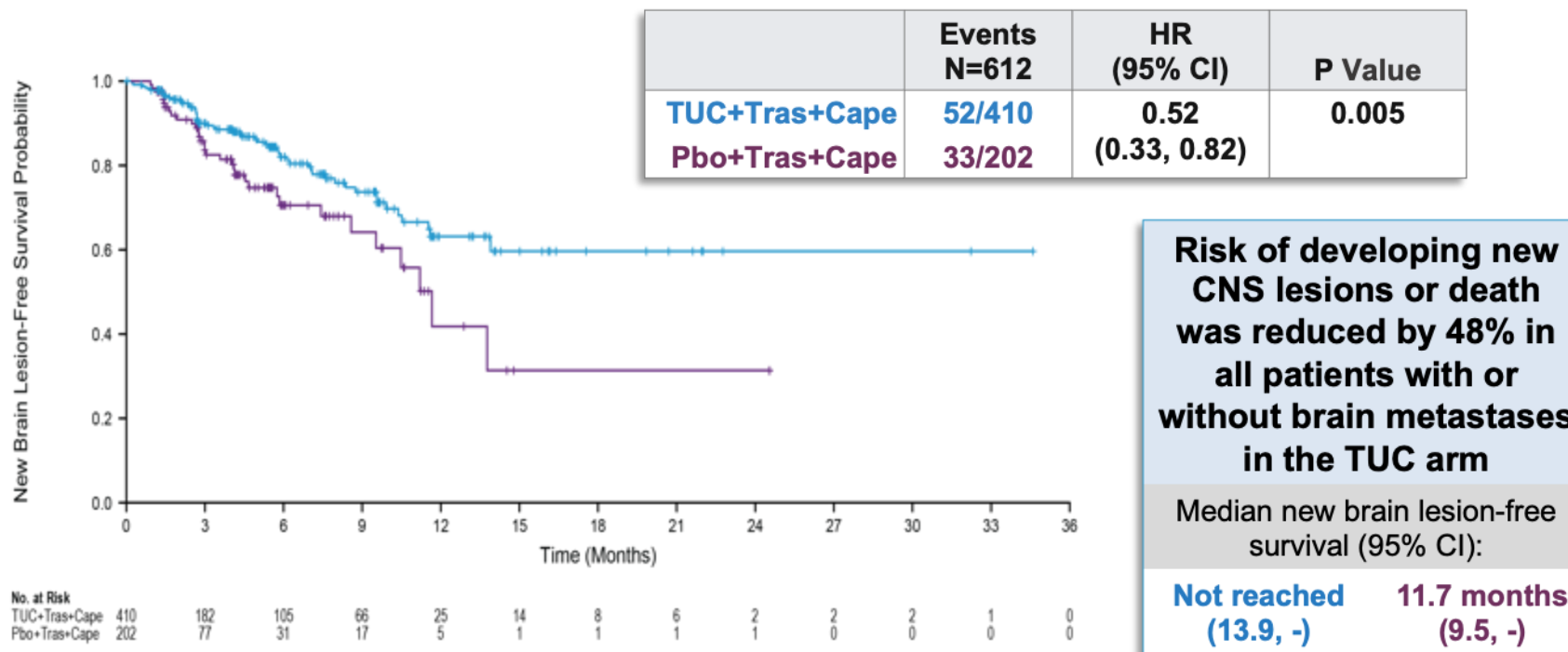
Patient with Brain Metastases (active)



Intra-Cranial CNS Response (RECIST) N=75	Tucatinib N=55 N (%)	Placebo N=20 N (%)
CR	3 (5.5)	1 (5.0)
PR	23 (41.8)	3 (15.0)
SD	24 (43.6)	16 (80.0)
PD	2 (3.6)	0
Not Available	3 (5.5)	0
Confirmed ORR	26 (47.3)	4 (20.0)
95% CI	33.7-61.2%	5.7-43.7%
Stratified p-value	0.03	
DOR (months)	6.8	3.0

CR=complete response; PR=partial response; SD=stable disease; PD=progressive disease; ORR=objective response rate (CR+PR); DOR=duration of intracranial response

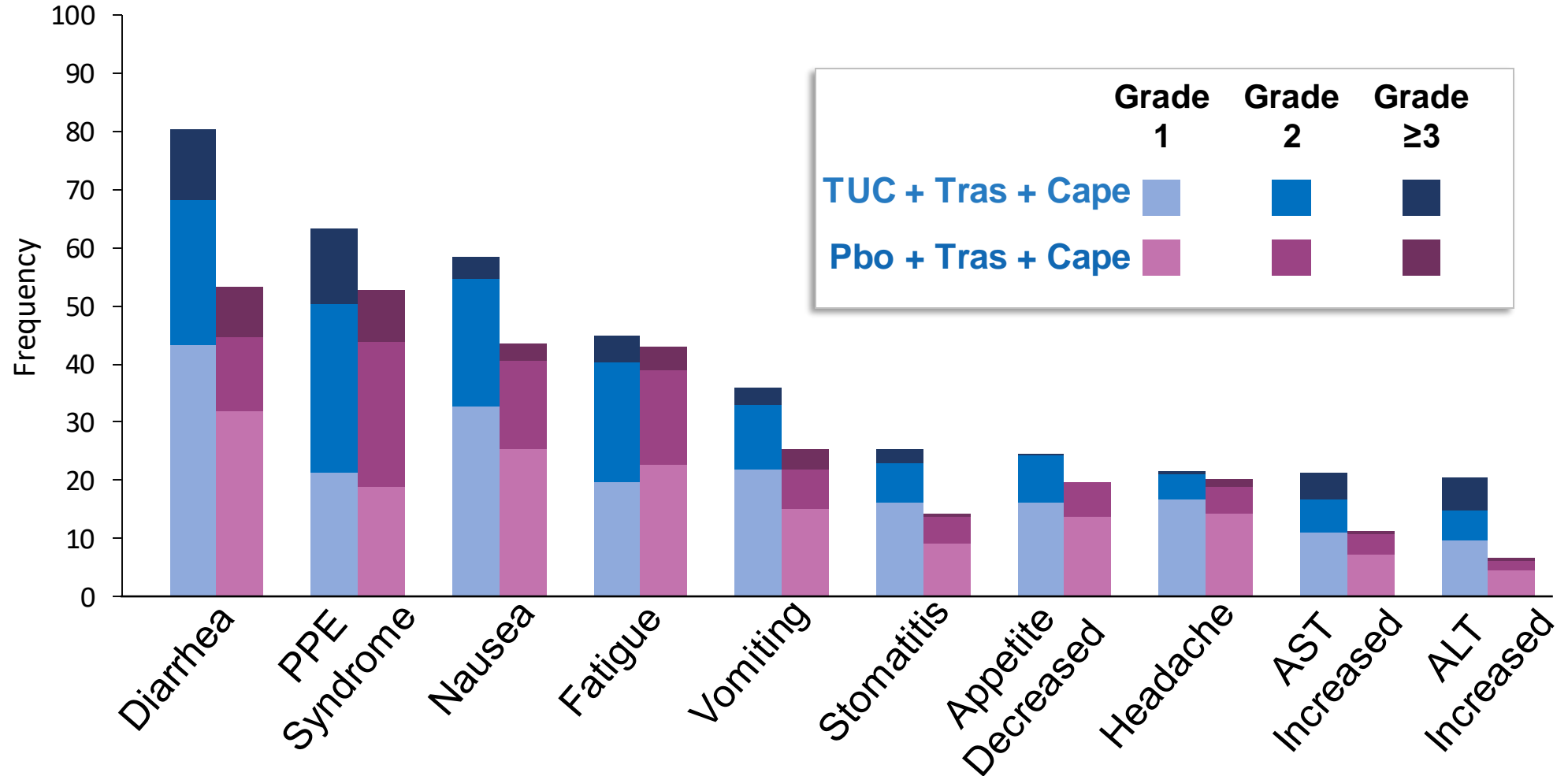
## Time to New Brain Lesions or Death in All HER2CLIMB Patients



- Time to new brain lesion-free survival was defined as time from randomization to new lesion in the brain or death by investigator assessment.



# HER2CLIMB: Common Adverse Events ( $\geq 20\%$ in the Tucatinib Arm)

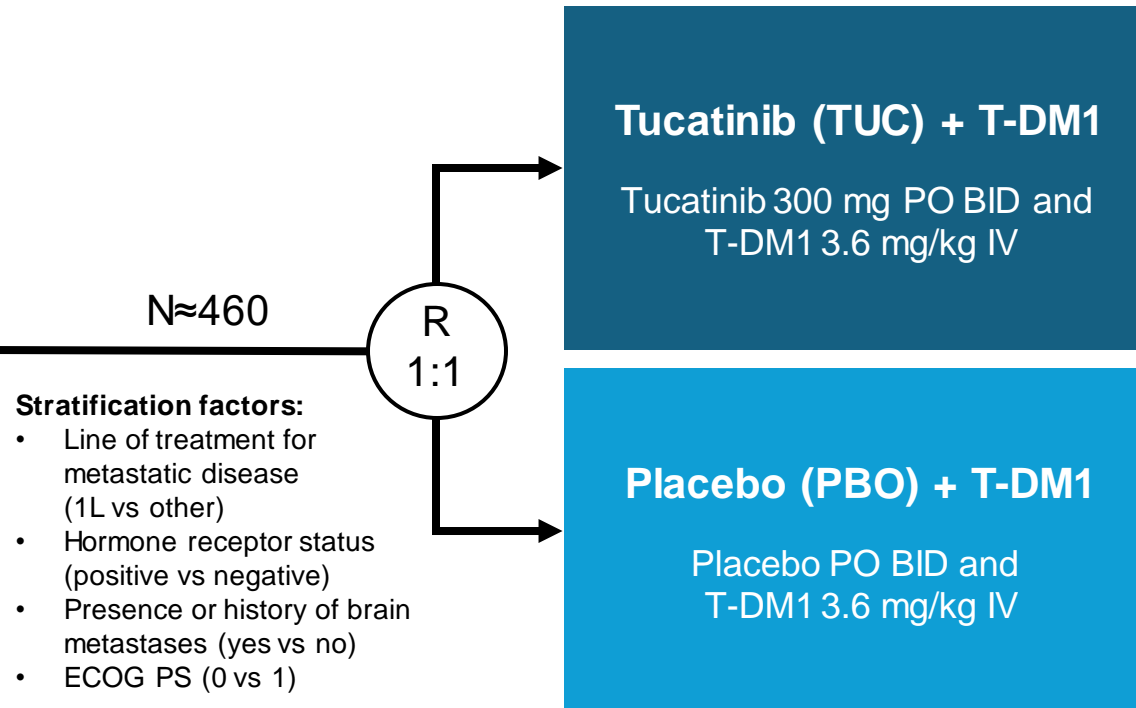


PPE: palmar-plantar erythrodysesthesia, AST: aspartate transaminase, ALT: alanine transaminase

Curigliano G, et al. ESMO Breast 2020. Abstract 137O.

# HER2CLIMB-02 Study Design

- HER2+ LA/MBC with progression after trastuzumab and taxane in any setting<sup>a</sup>
- ECOG PS ≤1
- Previously treated stable, progressing, or untreated brain metastases not requiring immediate local therapy



## Outcomes

### Primary

- PFS by investigator assessment per RECIST v1.1

### Key Secondary (hierarchical)

- OS
- PFS in patients with brain metastases
- cORR per RECIST v1.1
- OS in patients with brain metastases

The primary analysis for PFS was planned after ≈331 PFS events to provide 90% power for hazard ratio of 0.7.  
The first of two interim analysis for OS was planned at the time of the primary PFS analysis, if the PFS result was significantly positive.<sup>b</sup>

Hurvitz S, et al. SABCS2023

NCT03975647. <https://www.clinicaltrials.gov/study/NCT03975647>. Accessed Oct 5, 2023. Date of data cutoff: Jun 29, 2023. Patients were enrolled from Oct 8, 2019, to Jun 16, 2022.

<sup>a</sup> Patients who received prior tucatinib, afatinib, T-DXd, or any investigational anti-HER2, anti-EGFR, or HER2 TKIs were not eligible. Patients who received lapatinib and neratinib were ineligible if the drugs were received within 12 months of starting study treatment, and patients who received pyrotinib for recurrent or metastatic breast cancer were not eligible. These patients were eligible if the drugs were given for ≤21 days and were discontinued for reasons other than disease progression or severe toxicity.

<sup>b</sup> Subsequent OS analyses are planned upon 80% and 100% of events. 1L, first-line; BID, twice daily; cORR, confirmed objective response rate; ECOG PS, Eastern Cooperative Oncology Group performance status; IV, intravenously; LA/MBC, locally advanced or metastatic breast cancer; OS, overall survival; PBO, placebo; PFS, progression-free survival; PO, orally; R, randomization; RECIST, Response Evaluation Criteria in Solid Tumors; T-DM1, trastuzumab emtansine; T-DXd, trastuzumab deruxtecan; TKIs, tyrosine kinase inhibitors; TUC, tucatinib.

# HER2CLIMB-02: Demographics and Baseline Characteristics

	TUC + T-DM1 (N=228)	PBO + T-DM1 (N=235)
<b>Median age, years (range)</b>	55.0 (26-83)	53.0 (27-82)
<b>Female sex, n (%)</b>	226 (99.1)	235 (100)
<b>Geographic region, n (%)</b>		
North America	105 (46.1)	93 (39.6)
Europe/Israel	53 (23.2)	77 (32.8)
Asia-Pacific	70 (30.7)	65 (27.7)
<b>Hormone-receptor status, n (%)</b>		
Positive	137 (60.1)	140 (59.6)
Negative	91 (39.9)	95 (40.4)
<b>ECOG performance status score, n (%)</b>		
0	137 (60.1)	141 (60.0)
1	91 (39.9)	94 (40.0)

	TUC + T-DM1 (N=228)	PBO + T-DM1 (N=235)
<b>Presence or history of brain metastases, n (%)</b>		
Yes	99 (43.4)	105 (44.7)
Active	50 (21.9)	57 (24.3)
Treated stable	49 (21.5)	48 (20.4)
No <sup>a</sup>	129 (56.6)	130 (55.3)
<b>Stage at initial diagnosis, n (%)<sup>b</sup></b>		
0-III	120 (52.6)	130 (55.3)
IV	103 (45.2)	98 (41.7)

Hurvitz S, et al. SABCS 2023

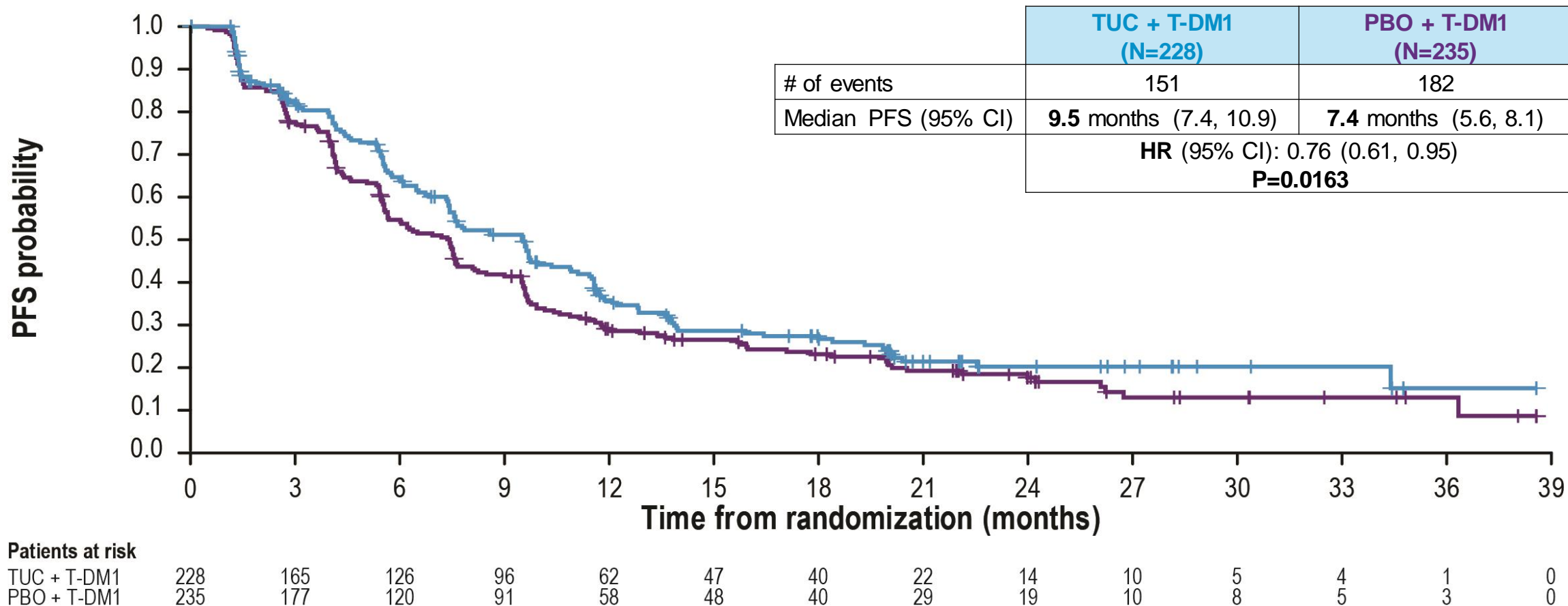
<sup>a</sup> Includes 2 patients with missing brain metastases data.  
<sup>b</sup> Five patients in TUC + T-DM1 arm and 7 patients in PBO + T-DM1 arm had unknown stage.  
 ECOG, Eastern Cooperative Oncology Group; PBO, placebo; T-DM1, trastuzumab emtansine; TUC, tucatinib.  
 Date of data cutoff: Jun 29, 2023.

# HER2CLIMB-02: Prior Systemic Therapies

	TUC + T-DM1 (N=228)	PBO + T-DM1 (N=235)
Median prior lines of systemic therapy in metastatic setting (range)	1 (0-8)	1 (0-6)
Prior lines of systemic therapy in metastatic setting, n (%)		
0	29 (12.7)	33 (14.0)
1	146 (64.0)	150 (63.8)
2	36 (15.8)	31 (13.2)
≥3	17 (7.5)	21 (8.9)
Received prior pertuzumab treatment, n (%)	202 (88.6)	214 (91.1)
Received prior anti-HER2 TKIs, n (%)	3 (1.3)	5 (2.1)

Hurvitz S, et al. SABCS 2023

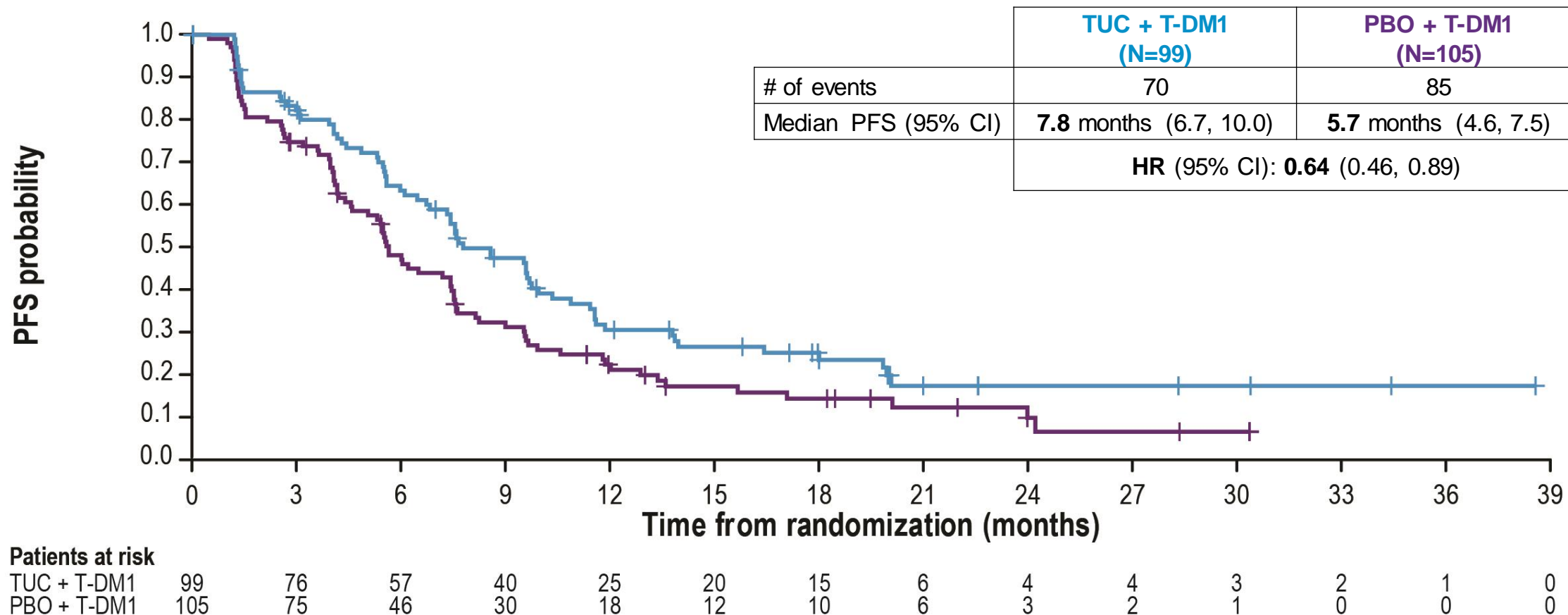
# HER2CLIMB-02: Progression-Free Survival



HR, hazard ratio; PBO, placebo; PFS, progression-free survival; T-DM1, trastuzumab emtansine; TUC, tucatinib.  
Date of data cutoff: Jun 29, 2023.

Hurvitz S, et al. SABCS 2023

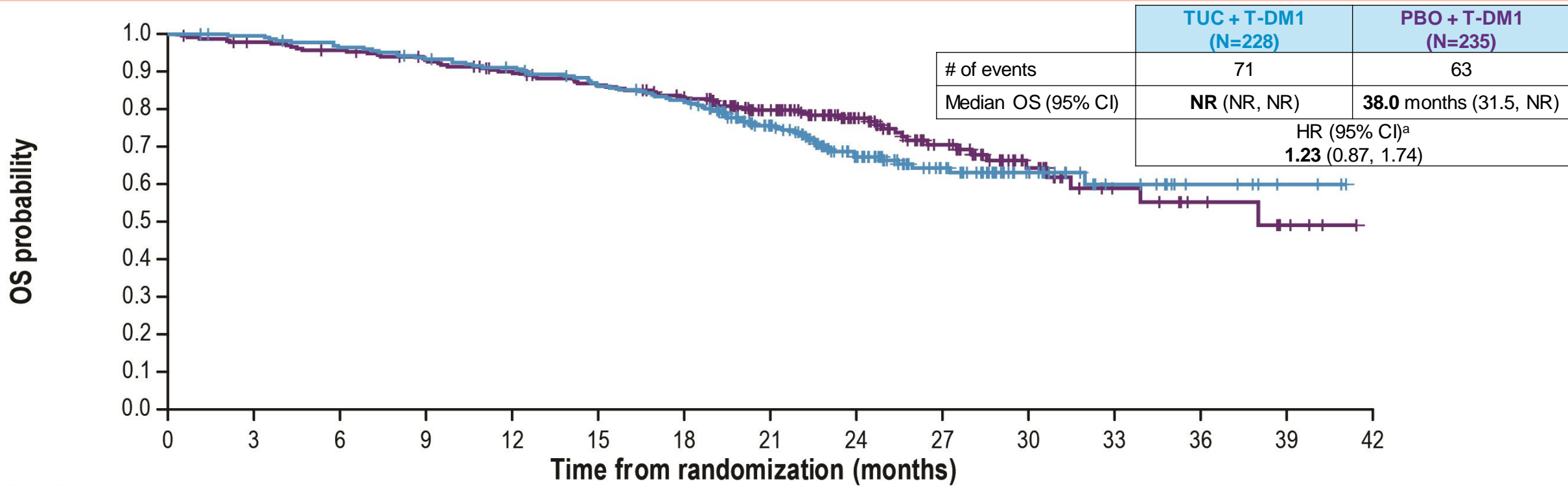
# HER2CLIMB-02: PFS in Patients with Brain Metastases<sup>a</sup>



<sup>a</sup> The outcome was not formally tested.  
 HR, hazard ratio; PBO, placebo; PFS, progression-free survival; T-DM1, trastuzumab emtansine; TUC, tucatinib.  
 Date of data cutoff: Jun 29, 2023.

Hurvitz S, et al. SABCS 2023

# HER2CLIMB-02: Overall Survival



## Patients at risk

TUC + T-DM1	228	225	217	209	202	189	180	132	89	55	30	16	7	3	0
PBO + T-DM1	235	227	221	212	201	191	180	135	90	58	32	16	10	4	0

Median follow-up was 24.4 months. As of data cutoff, 134 out of 253 (53%) prespecified events for the OS final analysis were observed. Interim OS results did not meet the prespecified crossing boundary of  $P=0.0041$ .

<sup>a</sup> The proportional hazard assumption was not maintained post-18 months, with heavy censoring on both arms. HRs, hazard ratios; NR, not reached; OS, overall survival; PBO, placebo; T-DM1, trastuzumab emtansine; TUC, tucatinib. Date of data cutoff: Jun 29, 2023.

# HER2CLIMB-02: Adverse Events of Interest

## Hepatic TEAEs

- Grade ≥3 hepatic TEAEs greater in TUC + T-DM1 arm (**28.6% vs 7.3%**), primarily due to AST/ALT elevations
- No Hy's law cases were identified
- 85% of all-grade hepatic TEAEs in TUC + T-DM1 arm resolved or returned to grade 1, with median of 22 days to resolution<sup>a</sup>

### Dose modifications Due to Hepatic TEAEs

	TUC + T-DM1 (N=231) n (%)	PBO + T-DM1 (N=233) n (%)
TUC/PBO dose holds	76 (32.9)	26 (11.2)
TUC/PBO dose reductions	46 (19.9)	12 (5.2)
<b>Treatment discontinuation</b>		
TUC/PBO	16 (6.9)	5 (2.1)
T-DM1	18 (7.8)	5 (2.1)

## Diarrhea

- Grade ≥3 events reported in 4.8% of TUC + T-DM1 arm and 0.9% of PBO + T-DM1 arm

### Dose modifications Due to Diarrhea

	TUC + T-DM1 (N=231) n (%)	PBO + T-DM1 (N=233) n (%)
TUC/PBO dose holds	9 (3.9)	2 (0.9)
TUC/PBO dose reductions	9 (3.9)	1 (0.4)
<b>Treatment discontinuation</b>		
TUC/PBO	1 (0.4)	0
T-DM1	0	0

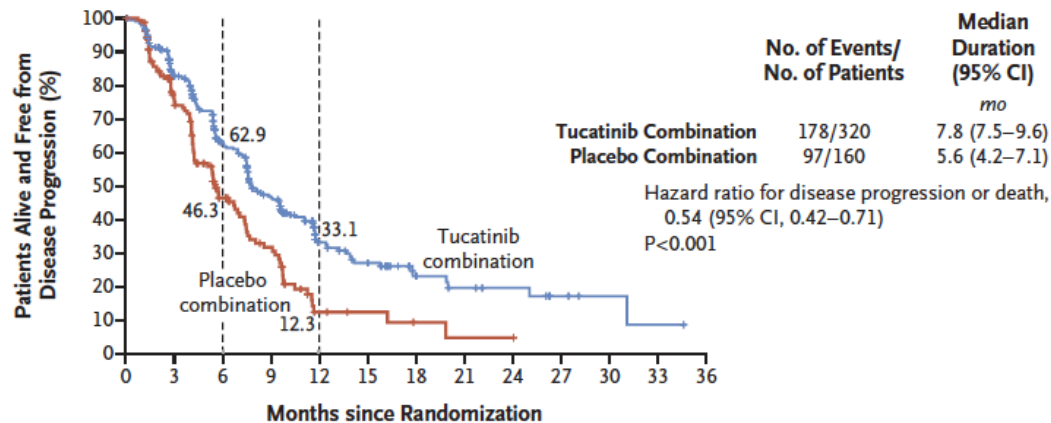
<sup>a</sup> For PBO + T-DM1 arm, 75% of all-grade hepatic TEAEs resolved or returned to grade 1, with median of 22 days to resolution.

ALT, alanine aminotransferase; AST, aspartate aminotransferase; PBO, placebo; T-DM1, trastuzumab emtansine; TEAEs, treatment-emergent adverse events; TUC, tucatinib. Date of data cutoff: Jun 29, 2023.



## Tucatinib, Trastuzumab, and Capecitabine for HER2-Positive Metastatic Breast Cancer

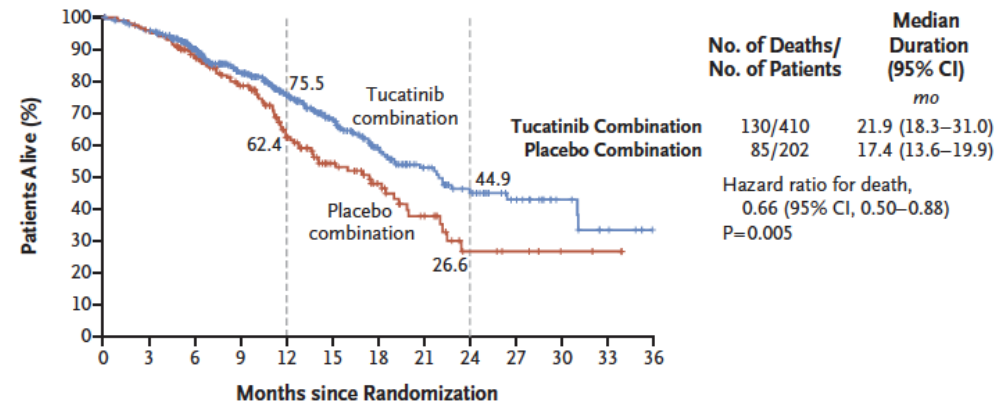
A Kaplan–Meier Estimates of Progression-free Survival



No. at Risk

Tucatinib combination	320	235	152	98	40	29	15	10	8	4	2	1	0
Placebo combination	160	94	45	27	6	4	2	1	1	0	0	0	0

A Kaplan–Meier Estimates of Overall Survival



No. at Risk

Tucatinib combination	410	388	322	245	178	123	80	51	34	20	10	4	0
Placebo combination	202	191	160	119	77	48	32	19	7	5	2	1	0

## Results HER2climb-02 How to incorporate?

100% prior pertuzumab, trastuzumab and T-DM1

Active untreated brain metastases was eligible, including those >2cm

# Current algorithm: where will HER2CLIMB-02 fit in?

1L

Trastuzumab + Pertuzumab + Taxane

2L

T-DXd

Active CNS involvement

Tucatinib-trastuzumab-capecitabine

Tucatinib + T-DM1?

3L+

Tucatinib-trastuzumab-capecitabine

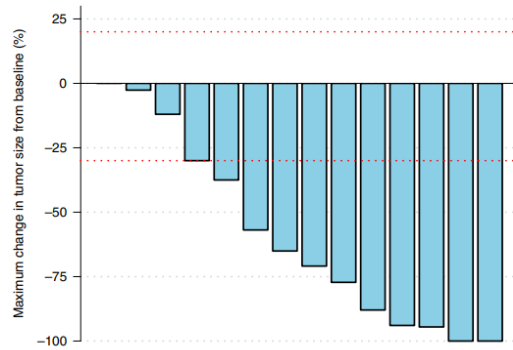
Tucatinib + T-DM1?

T-DM1

T-DXd

# Trastuzumab Deruxtecan in pts with active brain mets

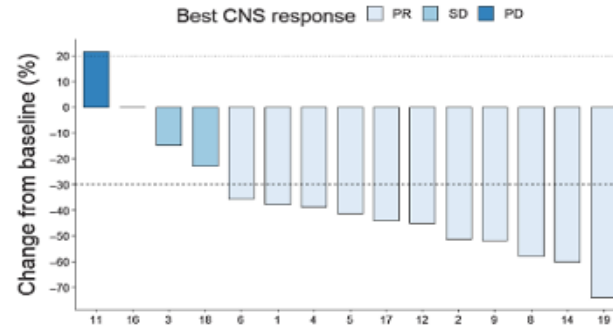
## TUXEDO-1 study (n=15)



Intracranial RR = 73.3%

## DFCI/MDACC/Duke (n=15\*)

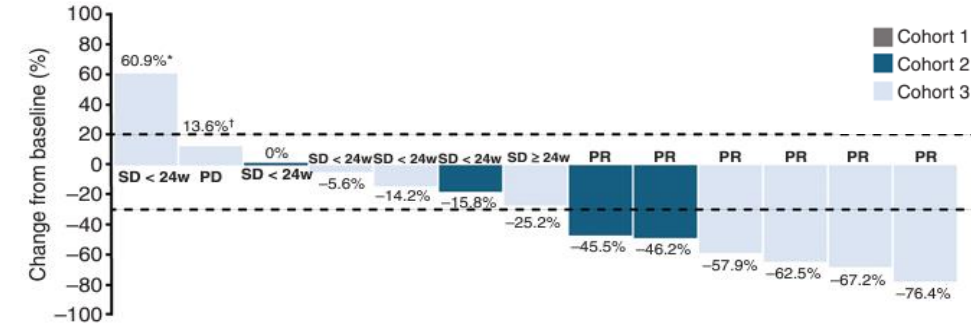
\*15/17 with evaluable intracranial RR



Intracranial RR = 73%

## DEBBRAH (n=13\*)

\*active BM cohorts (2 and 3)



Overall intracranial RR = 46.2%  
(asymptomatic untreated + progressing BMs)



## A Pooled Analysis of Trastuzumab Deruxtecan in Patients With HER2-Positive Metastatic Breast Cancer With Brain Metastases (BMs) from DESTINY-Breast01, -02, and -03

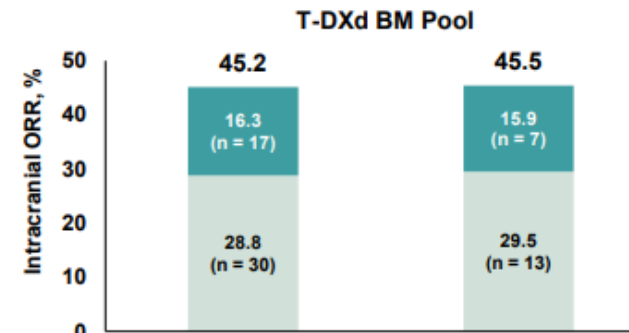
Presentation 3770

Sara A. Hurvitz<sup>1</sup>, Shanu Modi, Wei Li, Yeon Hee Park, Wei-Pang Chung, Sung-Bae Kim, Javier Cortes, Toshinari Yamashita, Jose Luiz Pedrini, Seock-Ah Im, Ling-Ming Tseng, Nadia Harbeck, Ian Krop, Giuseppe Curigliano, Elton Mathias, Jillian Cathcart, Antonio Cagnazzo, Shahid Ashfaq, Anton Egorov, Fabrice André

On behalf of the DESTINY-Breast01, -02, and -03 pooled investigators

Complete response  
Partial response

In T-DXd pool, 29.7% of pts had untreated/active BMs



	Treated/stable BMs (n = 104)	Untreated/active BMs (n = 44)
<b>Best overall IC response, n (%)</b>		
Stable disease	48 (46.2)	15 (34.1)
Progressive disease	3 (2.9)	1 (2.3)
Not evaluable/Missing	6 (5.8)	8 (18.2)
<b>IC-DoR, median, months (95% CI)</b>	12.3 (9.1-17.9)	17.5 (13.6-31.6)

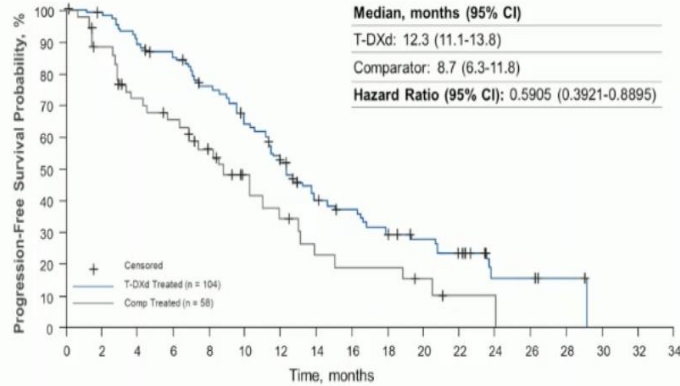
Bartsch R et al, Nature Medicine 2022; Kabraji S et al, CCR 2023; Pérez-García JM et al, Neuro-Oncology 2023; Hurvitz S et al, ESMO 2023



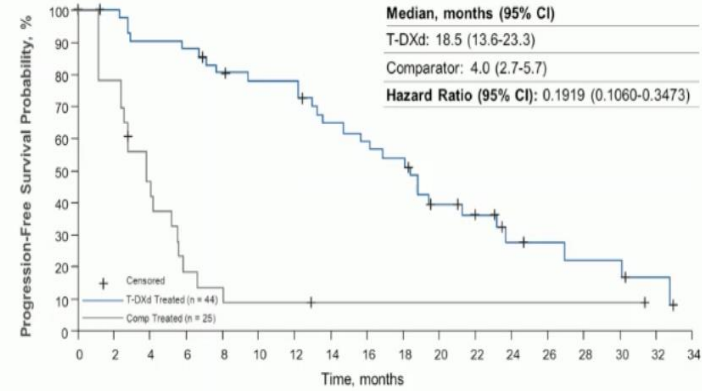
DESTINY-Breast01, -02, and -03

# Exploratory CNS-PFS per BICR

## Treated/Stable BMs



## Untreated/Active BMs



Patients still at risk

T-DXd Treated (n = 104)	104	100	89	83	72	58	46	32	28	21	18	12	4	4	2	0	0	0
Comparator Treated (n = 58)	58	44	33	29	22	14	10	6	5	5	3	1	0	0	0	0	0	0

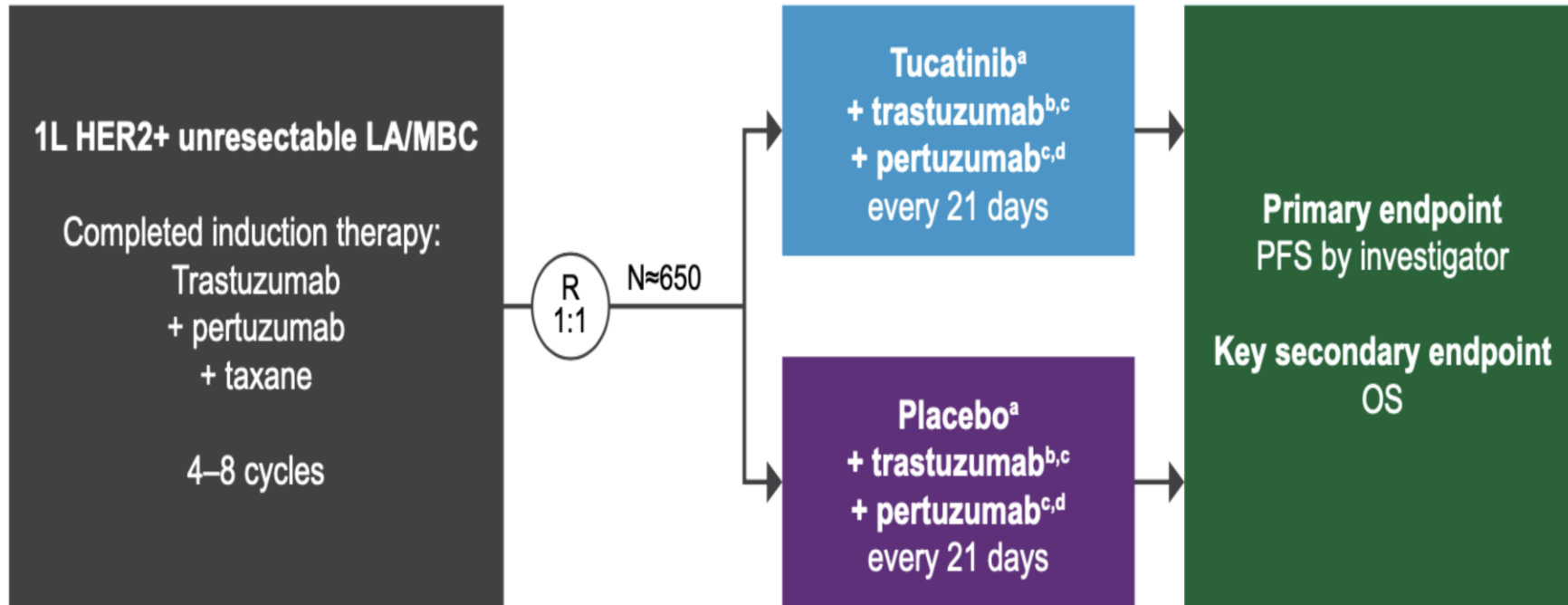
Patients still at risk

T-DXd Treated (n = 44)	44	41	37	36	32	30	30	24	22	20	13	11	6	5	4	4	2	0
Comparator Treated (n = 25)	25	18	11	5	3	2	2	1	1	1	1	1	1	1	1	1	0	0

- T-DXd demonstrated a trend towards prolonged CNS-PFS over comparator, with a noticeably greater advantage for patients with untreated/active BMs

BICR, blinded independent central review; BM, brain metastasis; CNS, central-nervous system; PFS, progression-free survival; T-DXd, trastuzumab deruxtecan. CNS-PFS was defined by BICR as only radiological progression.

# HER2CLIMB-05



HER2CLIMB-05 (NCT05132582) is a phase 3, randomized, double-blind study evaluating tucatinib or placebo in combination with trastuzumab plus pertuzumab as maintenance therapy in the 1L setting for patients with unresectable LA or metastatic HER2+ breast cancer following SOC induction therapy

# Brain metastases in metastatic breast cancer: prevalence per line of treatment and cumulative incidence in a cohort of 18075 real-world patients

**Sarah L. Sammons<sup>1</sup>, Jose Pablo Leone<sup>1</sup>, Thibaut Sanglier<sup>2</sup>, Peter Lambert<sup>3</sup>, Filippo Montemurro<sup>2</sup>, Raf Poppe<sup>2</sup>, Eleonora Restuccia<sup>2</sup>, Sara M. Tolaney<sup>4</sup>, Nancy U. Lin<sup>4</sup>**

*<sup>1</sup>Dana-Farber Cancer Institute, Boston, MA; <sup>2</sup>F. Hoffmann-La Roche Ltd, Basel, Switzerland; <sup>3</sup>Genentech, Inc., South San Francisco, CA; <sup>4</sup>Dana-Farber Cancer Institute and Harvard Medical School, Boston, MA*

Sarah L. Sammons, MD

Dana-Farber Cancer Institute, Boston, MA

I have the following relevant financial relationships to disclose:

Research funding from: AstraZeneca, AbbVie, Bristol Myers Squibb, Eli Lilly, Seagen, and Sermonix.

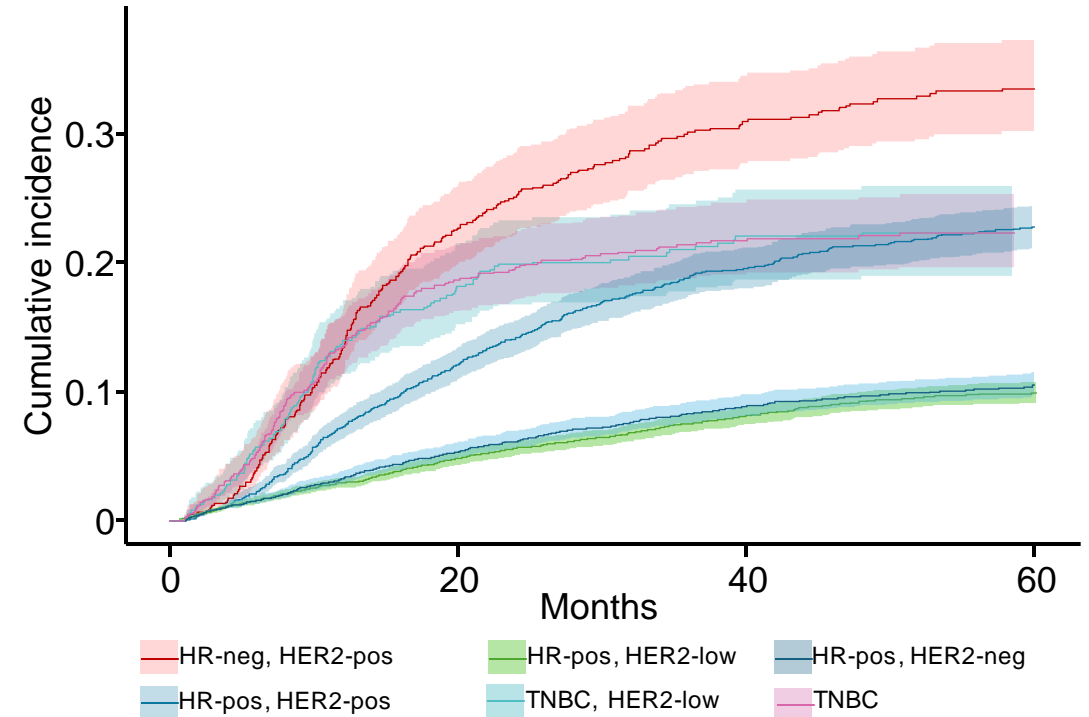
Consulting fees from: Foundation Medicine, Inc., AstraZeneca, Daiichi Sankyo, Eli Lilly, Incyclix, Merck, Pfizer, Seagen, Sermonix, and Novartis. Please refer to the abstract for all author conflicts of interest. All authors have received research support in the form of third-party writing assistance for this Spotlight Session presentation from F. Hoffmann-La Roche Ltd. This analysis was funded by F. Hoffmann-La Roche Ltd.

# Results

Overall, 18075 patients were included; 1102 (6.1%) had a BM at the index date; CIF was run on the remaining 16973.

Cumulative incidence of BM at 60 months was 23% in HR+/HER2+, 34% HR-/HER2+, 10% in HR+/HER2-, and 22% in TNBC

Prevalence of BM per line of therapy,%	HR-pos, HER2-pos (1L N=3062)	HR-neg, HER2-pos (1L N=902)	HR-pos, HER2-neg [HER2-low] (1L N=12331) [1L n=7062]	TNBC [HER2-low] (1L N=1780) [1L n=725]
1	6.3	11.2	2.7 [2.8]	11.1 [12.1]
2	17.6	31.2	5.2 [5.8]	17.5 [17.3]
3	21.5	36.3	6.7 [7.4]	21.5 [20.8]
4	26.1	37.1	8.5 [9.4]	26.1 [27.9]
<b>5+</b>	<b>26.5</b>	<b>36.9</b>	<b>9.7 [10.5]</b>	<b>29.1 [25.7]</b>



BM, brain metastasis; CIF, cumulative incidence function; HR, hormone receptor; mBC, metastatic breast cancer; pts, patients; TNBC, triple-negative breast cancer.

# Analysis of HER2 Expression Changes from Breast Primary to Brain Metastases Including HER2 Low and Impact on Overall Survival

**Alyssa M. Pereslete**, Melissa E. Hughes, Alyssa Patterson, Janet Files, Kyleen Nguyen, Lauren Buckley, Ashka Patel, Abigail Moore, Eric P. Winer, Tianyu Li, Sara M. Tolaney, Nancy U. Lin, Sarah L. Sammons

Herbert Wertheim College of Medicine, Miami FL

Dana-Farber Cancer Institute, Boston MA

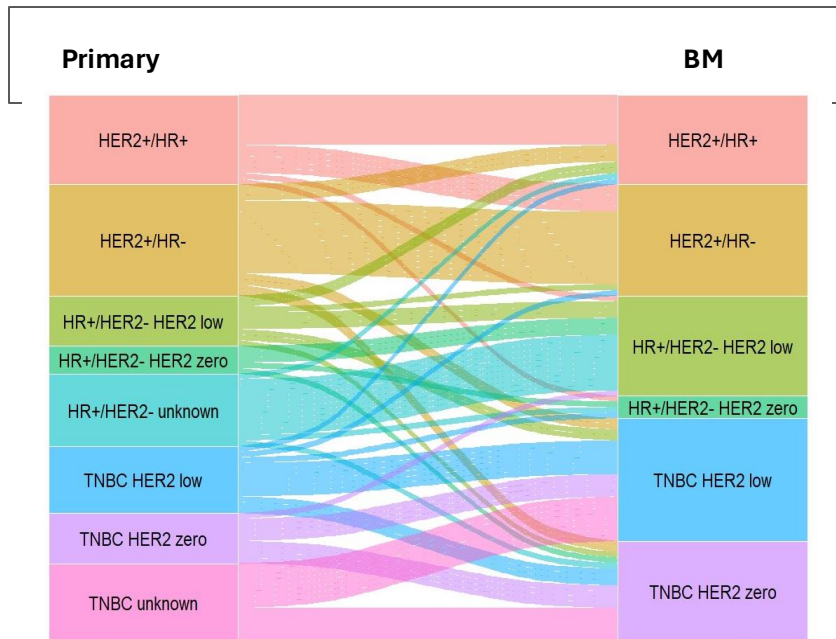
I have the following relevant financial relationships to disclose:

Research support from: 2023 AOA Carolyn L. Kuckein Student Research Fellowship, Breast Cancer Research Foundation, NCI SPORE grant in Breast Cancer to DF/HCC 1P50CA168504



# Subtype between Primary and Metastasis

Clinical subtypes by clinical IHC (n=100)		
	Primary	Brain metastasis
HR+/HER2-	26 (26%)	23 (23%)
HR+/HER2+	17 (17%)	16 (16%)
HER2+/HR-	21 (21%)	20 (20%)
TNBC	35 (35%)	41 (41%)
UNK	3 (3%)	0 (0%)



Of 265 resected brain metastases: **72% were HER2 expressing** (57% HER2+ (n=112), 24% HER2-Low (n=48), 19% HER2-0 (n=37)).

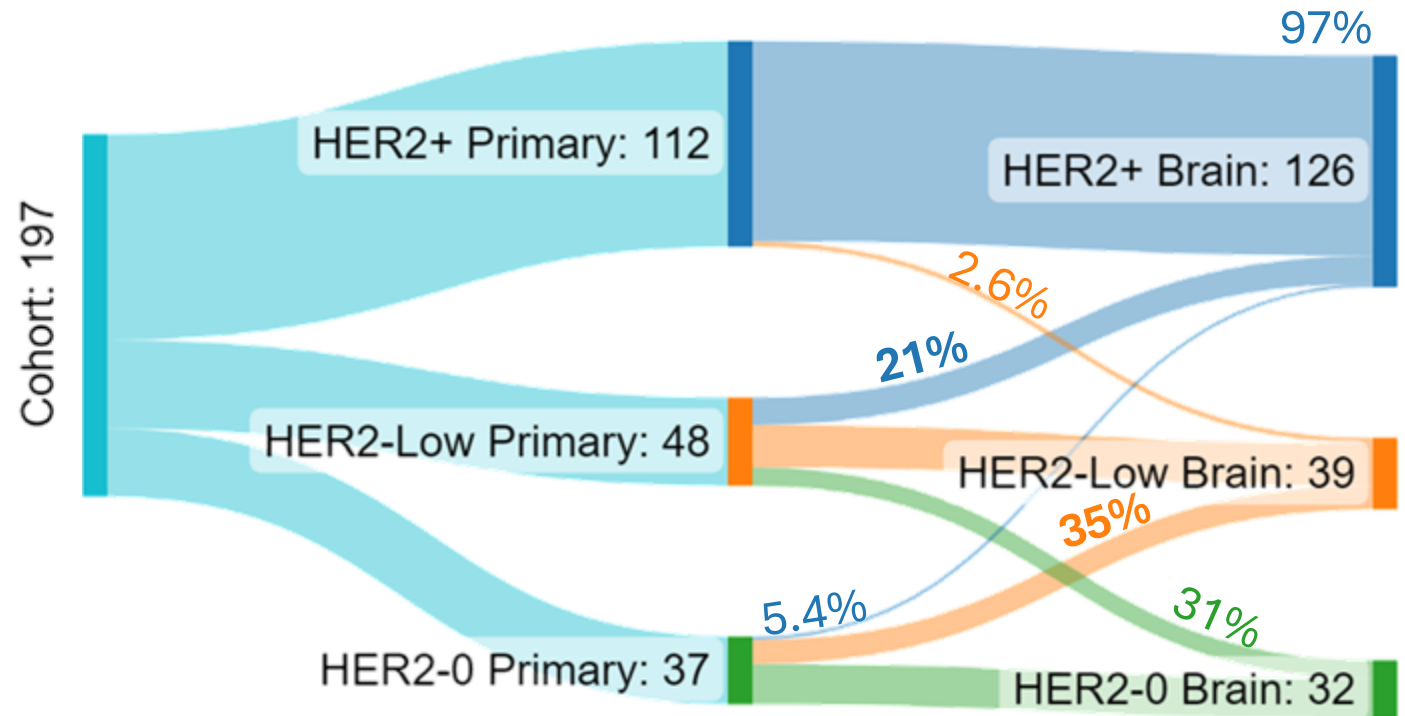


Fig. 2 Subtype Switching From Primary to Brain Metastases (N=197 pairs)

Alyssa M. Pereslete , SABCS2023

Guadalupe A. Garcia, SABCS2023

# Results

- Patients with **HER2+ BMs had a statistically significant lower risk of death at time of follow up vs HER2-Low BMs** ( $p= 0.0006$ )
- Risk of death between patients with **HER2-0** and **HER2-Low BMs** was similar after adjusting for ER and age. ( $p= 0.9$ )
- Patients with HER2+ BMs have a better prognosis

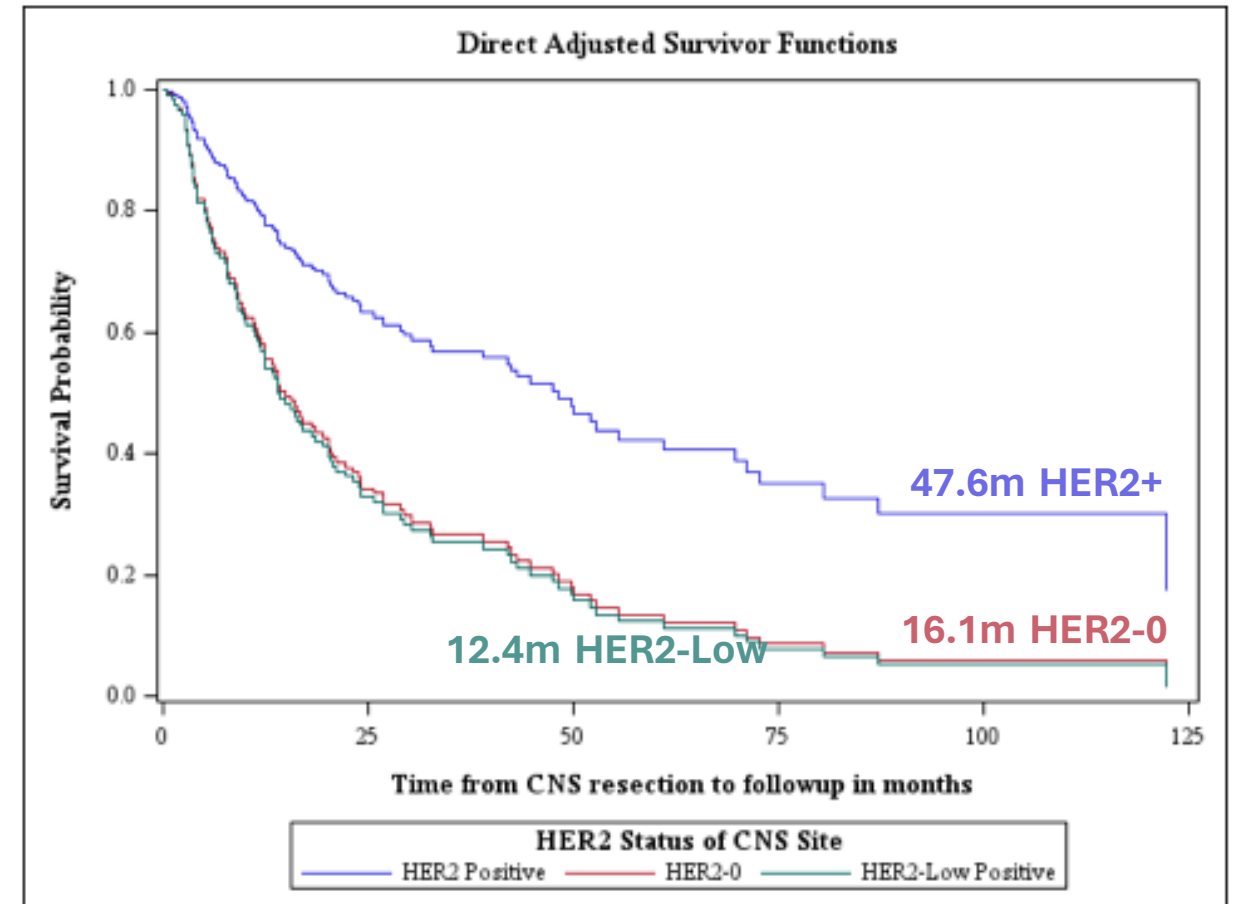


Fig 3. Cox Proportional Hazard Adjusted Survival Curves of HER2+, HER2-0, and HER2-Low

# Conclusions

- Since 1998, which marked the beginning of the anti-HER2 targeted therapy era, survival rates of patients with metastatic disease have dramatically and progressively improved
- Dual HER2 targeting once again proves successful, and HER2CLIMB-02 paves the way for potential combinations, including with the new ADCs
- Optimal sequencing strategy is the challenge, being attrition rate significant even in the context of clinical trials
- CNS events remain big problem and unmet need



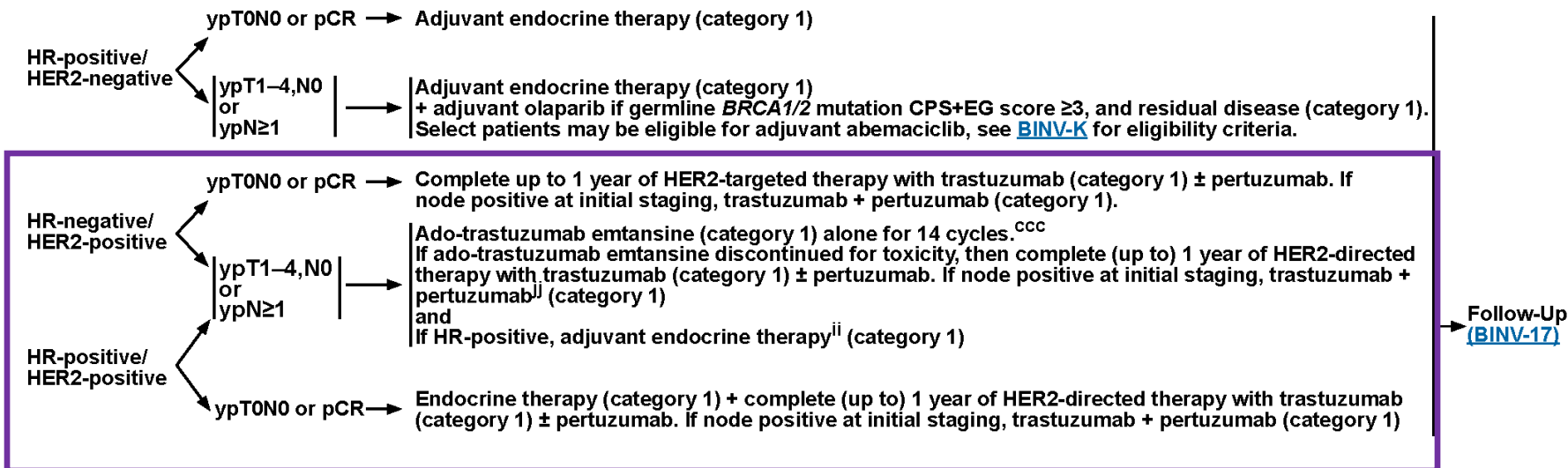
PREOPERATIVE/ADJUVANT THERAPY REGIMENS<sup>a</sup>

<u>HER2-Positive</u>	
<p><b>Preferred Regimens:</b></p> <ul style="list-style-type: none"> <li>• Paclitaxel + trastuzumab<sup>f</sup></li> <li>• TCH (docetaxel/carboplatin/trastuzumab)</li> <li>• TCHP (docetaxel/carboplatin/trastuzumab/pertuzumab)</li> <li>• If no residual disease after preoperative therapy or no preoperative therapy: Complete up to one year of HER2-targeted therapy with trastuzumab<sup>l</sup> (category 1) ± pertuzumab.</li> <li>• If residual disease after preoperative therapy: Ado-trastuzumab emtansine (category 1) alone. If ado-trastuzumab emtansine discontinued for toxicity, then trastuzumab (category 1) ± pertuzumab to complete one year of therapy.<sup>g,h</sup> If node positive at initial staging, trastuzumab + pertuzumab (category 1)<sup>i</sup></li> </ul>	
<p><b>Useful in Certain Circumstances:</b></p> <ul style="list-style-type: none"> <li>• Docetaxel + cyclophosphamide + trastuzumab</li> <li>• AC followed by T<sup>b</sup> + trastuzumab<sup>h</sup> (doxorubicin/cyclophosphamide followed by paclitaxel plus trastuzumab, various schedules)</li> <li>• AC followed by T<sup>b</sup> + trastuzumab + pertuzumab<sup>h</sup> (doxorubicin/cyclophosphamide followed by paclitaxel plus trastuzumab plus pertuzumab, various schedules)</li> <li>• Neratinib<sup>g</sup> (adjuvant setting only)</li> <li>• Paclitaxel + trastuzumab + pertuzumab<sup>h</sup></li> <li>• Ado-trastuzumab emtansine (TDM-1) (adjuvant setting only)</li> </ul>	<p><b>Other Recommended Regimens:</b></p> <ul style="list-style-type: none"> <li>• AC followed by docetaxel<sup>b</sup> + trastuzumab<sup>h</sup> (doxorubicin/cyclophosphamide followed by docetaxel + trastuzumab)</li> <li>• AC followed by docetaxel<sup>b</sup> + trastuzumab + pertuzumab<sup>h</sup> (doxorubicin/cyclophosphamide followed by docetaxel + trastuzumab + pertuzumab)</li> <li>• Paclitaxel/carboplatin + trastuzumab + pertuzumab</li> </ul>

ADJUVANT SYSTEMIC THERAPY AFTER PREOPERATIVE SYSTEMIC THERAPY<sup>hh</sup>

RESPONSE/PATHOLOGIC STAGE  
AFTER PREOPERATIVE THERAPY

ADJUVANT SYSTEMIC THERAPY<sup>dd,ee,hh,ii</sup>



<sup>dd</sup> [Principles of Adjuvant Endocrine Therapy \(BINV-K\)](#).

<sup>ee</sup> [Preoperative/Adjuvant Therapy Regimens \(BINV-L\)](#).

<sup>hh</sup> Consider adjuvant bisphosphonate therapy for risk reduction of distant metastasis for 3–5 years in postmenopausal patients (natural or induced) with high-risk node-negative or node-positive tumors.

<sup>ii</sup> Consider extended adjuvant neratinib following adjuvant trastuzumab-containing therapy for patients with HR-positive, HER2-positive disease with a perceived high risk of recurrence. The benefit or toxicities associated with extended neratinib in patients who have received pertuzumab or ado-trastuzumab emtansine is unknown.

<sup>jj</sup> Updated results from the adjuvant APHINITY trial in HER2-positive early breast cancer, with a median follow-up of 8.4 years, have confirmed the benefit of adding pertuzumab to trastuzumab plus chemotherapy in preventing recurrences.

<sup>ccc</sup> Recommendations do not apply to residual DCIS (ypTis).

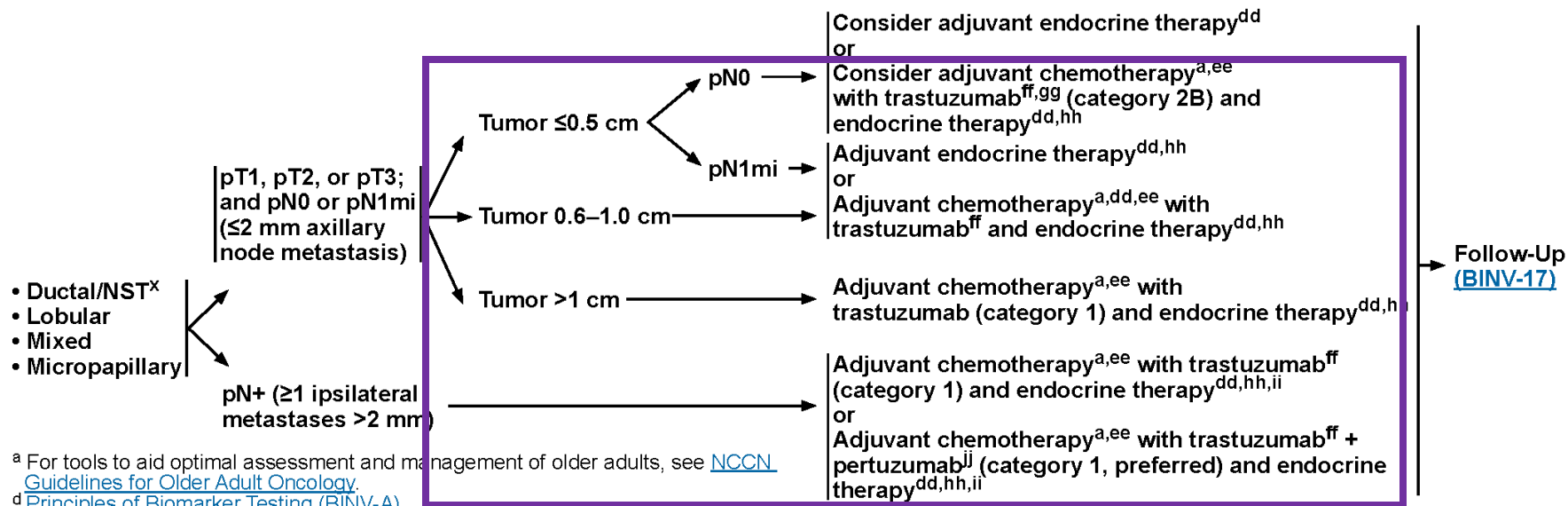
<sup>ddd</sup> High-risk criteria include stage II–III TNBC. The use of adjuvant pembrolizumab (category 2A) may be individualized.

<sup>eee</sup> There are no data on sequencing or combining adjuvant capecitabine, pembrolizumab and/or olaparib in patients who meet criteria for treatment with one or more of these agents. However, their sequential/combined use may be considered in certain patients with high-risk of recurrence.

**Note:** All recommendations are category 2A unless otherwise indicated.

**Clinical Trials:** NCCN believes that the best management of any patient with cancer is in a clinical trial. Participation in clinical trials is especially encouraged.

SYSTEMIC ADJUVANT TREATMENT: HR-POSITIVE – HER2-POSITIVE DISEASE<sup>d,t,bb</sup>



<sup>a</sup> For tools to aid optimal assessment and management of older adults, see [NCCN Guidelines for Older Adult Oncology](#).

<sup>d</sup> [Principles of Biomarker Testing \(BINV-A\)](#).

<sup>t</sup> [Special Considerations for Breast Cancer in Males \(Sex Assigned at Birth\) \(BINV-J\)](#).

<sup>x</sup> According to WHO, carcinoma of NST encompasses multiple patterns including medullary pattern, cancers with neuroendocrine expression, and other rare patterns.

<sup>bb</sup> Although patients with cancers with 1%–100% ER IHC staining are considered ER-positive and eligible for endocrine therapies, there are more limited data on the subgroup of cancers with ER-low-positive (1%–10%) results. The ER-low-positive group is heterogeneous with reported biologic behavior often similar to ER-negative cancers; thus, individualized consideration of risks versus benefits of endocrine therapy and additional adjuvant therapies should be incorporated into decision-making. See [Principles of Biomarker Testing \(BINV-A\)](#).

<sup>dd</sup> See [Adjuvant Endocrine Therapy and Principles of Adjuvant Endocrine Therapy \(BINV-K\)](#).

<sup>ee</sup> [Preoperative/Adjuvant Therapy Regimens \(BINV-L\)](#).

<sup>ff</sup> The prognosis of patients with pT1a and pT1b tumors that are pN0 is uncertain even when HER2 is amplified or overexpressed. This is a population of patients with breast cancer that was not studied in the available randomized trials. The decision for use of trastuzumab therapy in this cohort of patients must balance the known toxicities of trastuzumab, such as cardiac toxicity, and the uncertain, absolute benefits that may exist with trastuzumab therapy.

<sup>gg</sup> Adjuvant chemotherapy with weekly paclitaxel and trastuzumab can be considered for pT1,N0,M0, HER2-positive cancers, particularly if the primary cancer is HR-negative. The absolute benefit of HER2-based systemic chemotherapy is likely negligible in patients with HR-positive cancers and tumor size bordering on T1mic (<1 mm), when the estimated recurrence risk is less than 5% and endocrine therapy remains a viable option for systemic treatment.

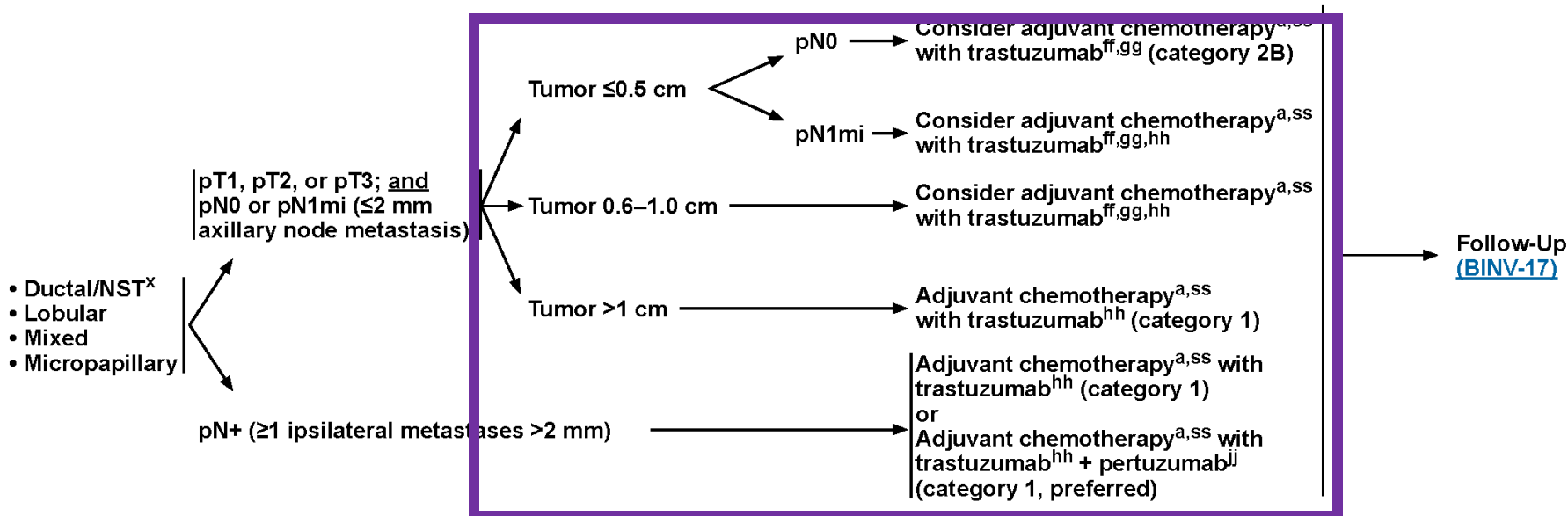
<sup>hh</sup> Consider adjuvant bisphosphonate therapy for risk reduction of distant metastasis for 3–5 years in postmenopausal patients (natural or induced) with high-risk node-negative or node-positive tumors.

<sup>ii</sup> Consider extended adjuvant neratinib following adjuvant trastuzumab-containing therapy for patients with HR-positive, HER2-positive disease with a perceived high risk of recurrence. The benefit or toxicities associated with extended neratinib in patients who have received pertuzumab is unknown.

<sup>jj</sup> Updated results from the adjuvant APHINITY trial in HER2-positive early breast cancer, with a median follow-up of 8.4 years, have confirmed the benefit of adding pertuzumab to trastuzumab plus chemotherapy in preventing recurrences.

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SYSTEMIC ADJUVANT TREATMENT: HR-NEGATIVE – HER2-POSITIVE DISEASE<sup>d,t</sup>



<sup>a</sup> For tools to aid optimal assessment and management of older adults, see [NCCN Guidelines for Older Adult Oncology](#).

<sup>d</sup> [Principles of Biomarker Testing \(BINV-A\)](#).

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<sup>x</sup> According to WHO, carcinoma of NST encompasses multiple patterns including medullary pattern, cancers with neuroendocrine expression, and other rare patterns.

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<sup>ss</sup> [Preoperative/Adjuvant Therapy Regimens \(BINV-L\)](#).

**Note: All recommendations are category 2A unless otherwise indicated.  
Clinical Trials: NCCN believes that the best management of any patient with cancer is in a clinical trial. Participation in clinical trials is especially encouraged.**

**CAN ANTHRACYCLINES BE SUBSTITUTED  
BY TAXANES?**



# IS ANTHRACYCLINE-BASED CHEMOTHERAPY NECESSARY?

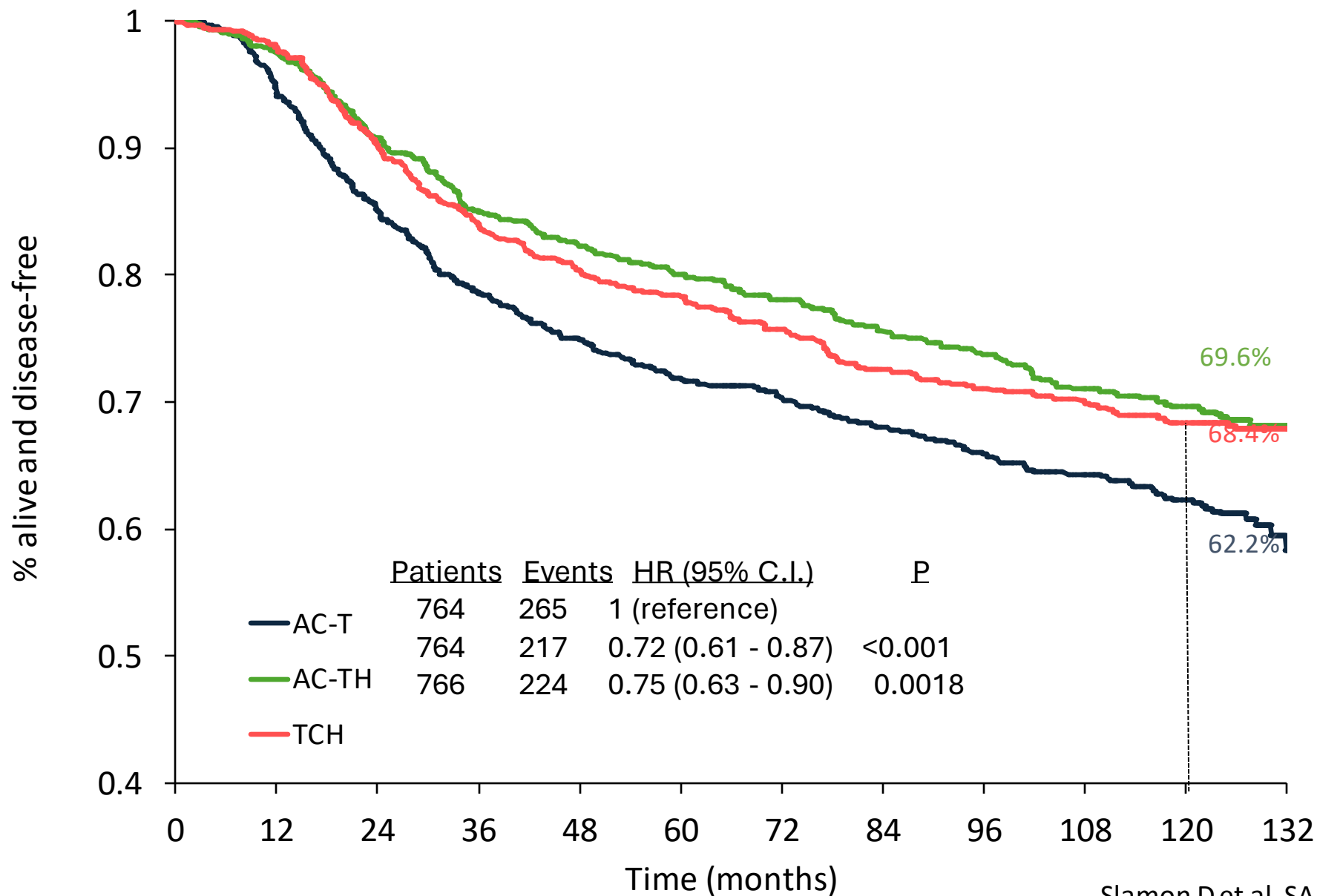
## BCIRG006: 10.3 YRS FOLLOW-UP

Outcome	AC → T (n = 1073)	AC → TH (n = 1074)	TCH (n = 1075)
DFS, % (n/N)	67.9 (328/1073)	74.6 (269/1074)	73.0 (279/1075)
HR (95% CI)	1	0.72 (0.61-0.85); <i>P</i> < .0001	0.77 (0.65-0.90); <i>P</i> = .0011
OS, % (n/N)	78.7 (203/1073)	85.9 (141/1074)	83.3 (167/1075)
HR (95% CI)	1	0.63 (0.51-0.79); <i>P</i> < .0001	0.76 (0.62-0.93); <i>P</i> = .0075
DFS in LN+ pts, % (n/N)	62.2 (265/764)	69.6 (217/764)	68.4 (224/766)
HR (95% CI)	1	0.72 (0.61-0.87); <i>P</i> < .001	0.75 (0.63-0.90); <i>P</i> = .0018

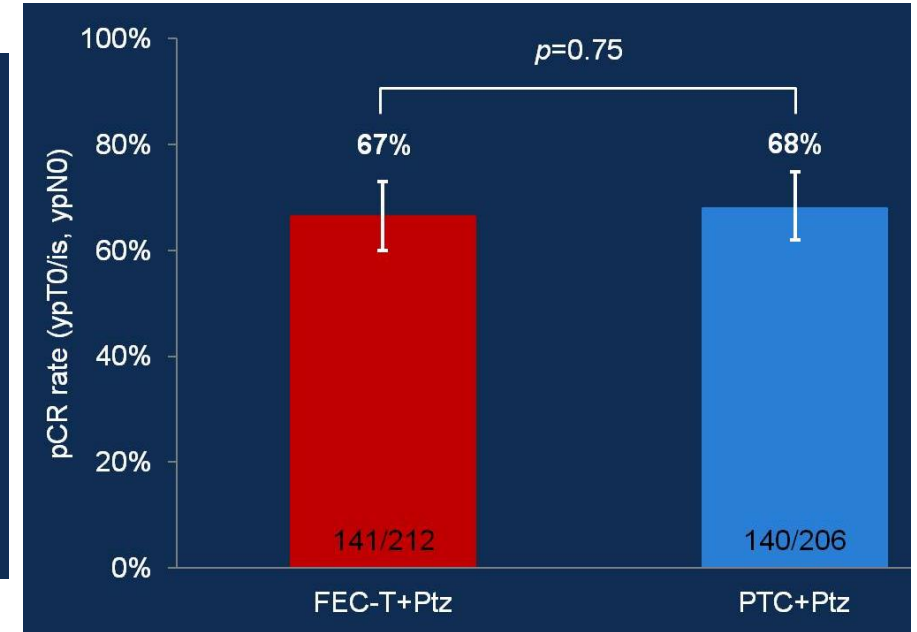
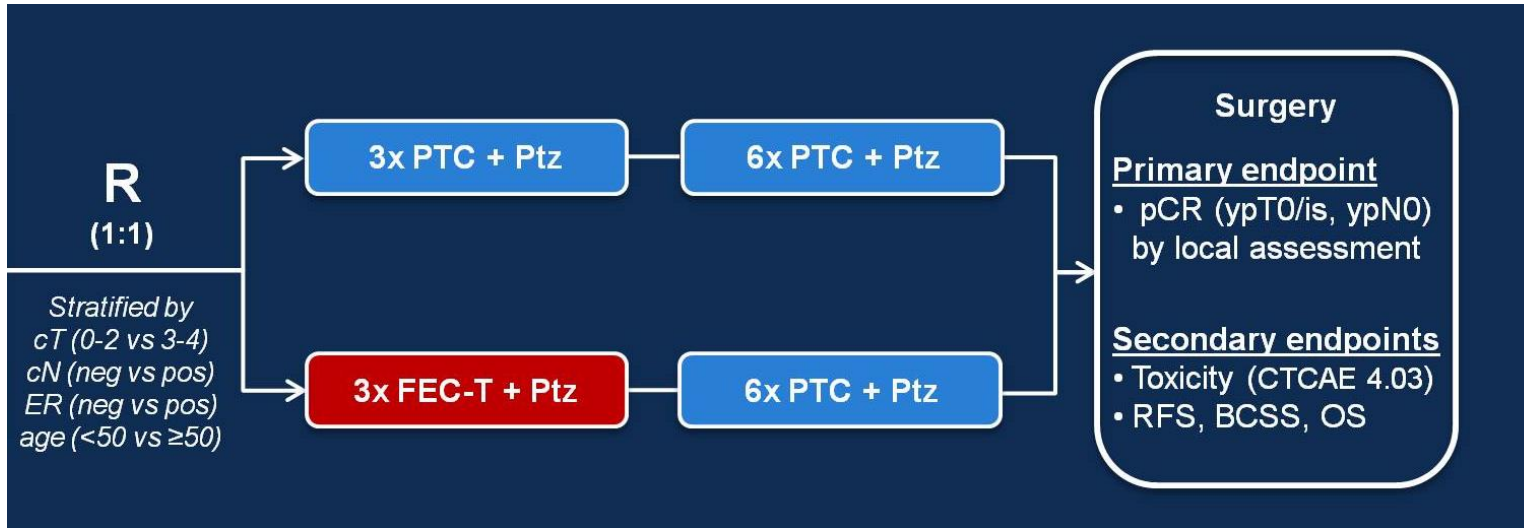
**TCH ASSOCIATED WITH LESS CARDIAC TOXICITY AND NUMERICALLY FEWER CASES OF SECONDARY LEUKEMIA**

# BCIRG 006: DFS LYMPH NODE POSITIVE

## NO ADVANTAGE FOR ANTHRACYCLINES EVEN IN THE HIGH RISK GROUP

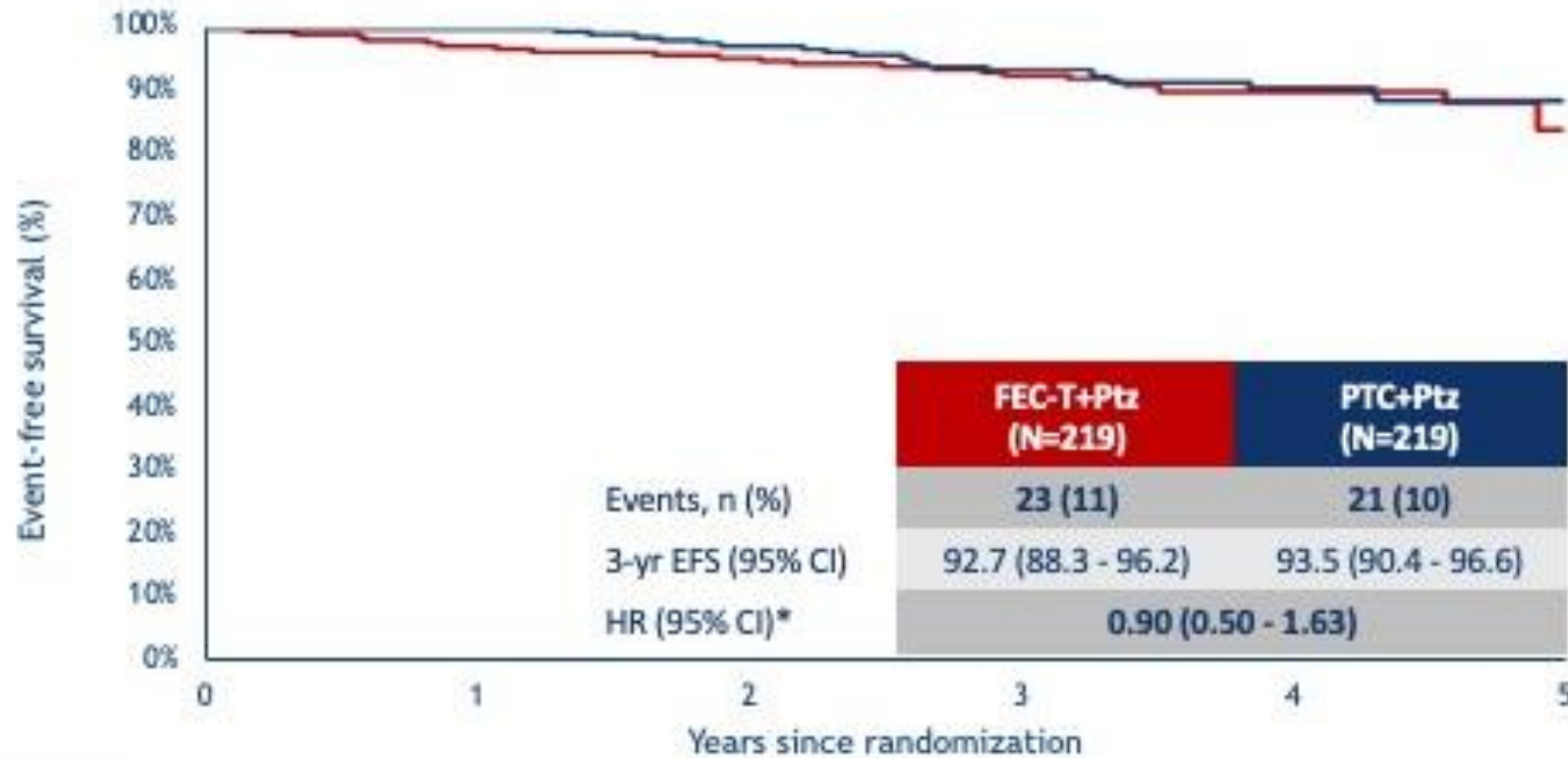


# SUBSTITUTING ANTHRACYCLINE WITH TAXANE: TRAIN-2



- 64% node positive, 42% HR negative
- pCR was consistent across all subgroups
- More pts completed 1 year trastuzumab in PTC/Ptz arm (97% vs 89%)
- Significantly more grade 3/4 febrile neutropenia (10% vs 1%) in anthracycline arm

# TRAIN-2: EFS

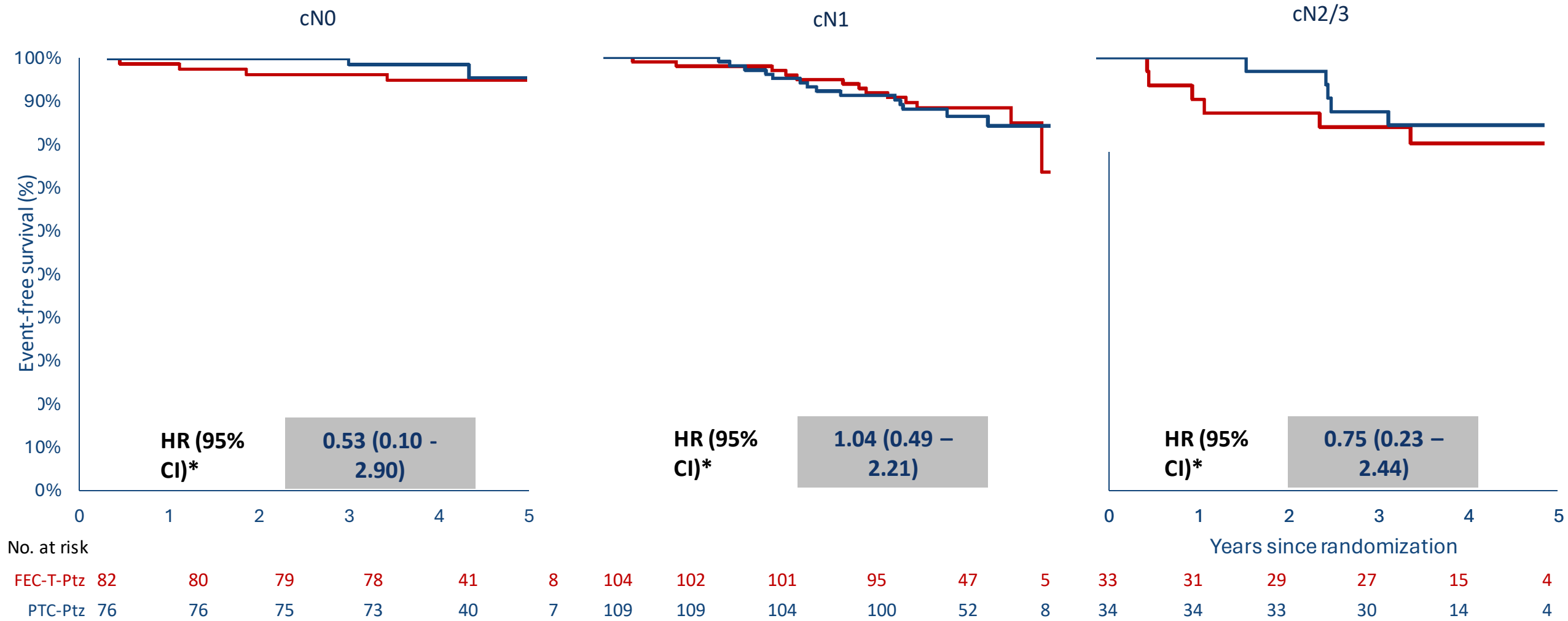


- Significantly less cardiac toxicity PTC+Ptz
- 2 leukemia in FEC-arm

No. at risk

	0	1	2	3	4	5
FEC-T+Ptz	219	213	209	200	103	17
PTC+Ptz	219	219	212	203	106	19

# EFS TRAIN-2 BY NODAL STATUS

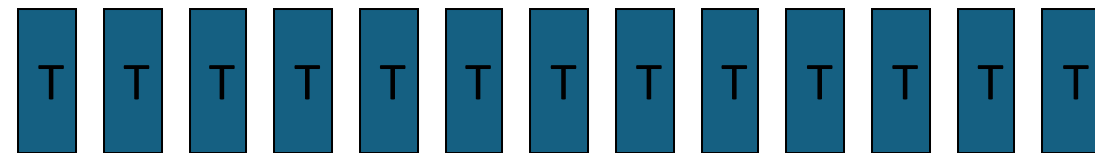
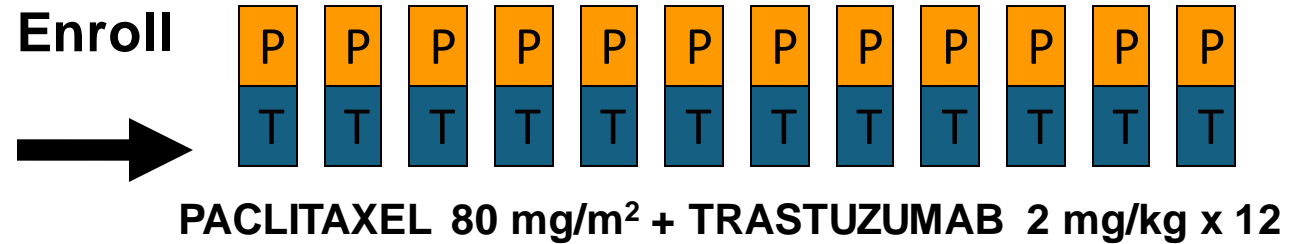


\*HR <1 favors PTC+Ptz

# APT TRIAL: STUDY DESIGN

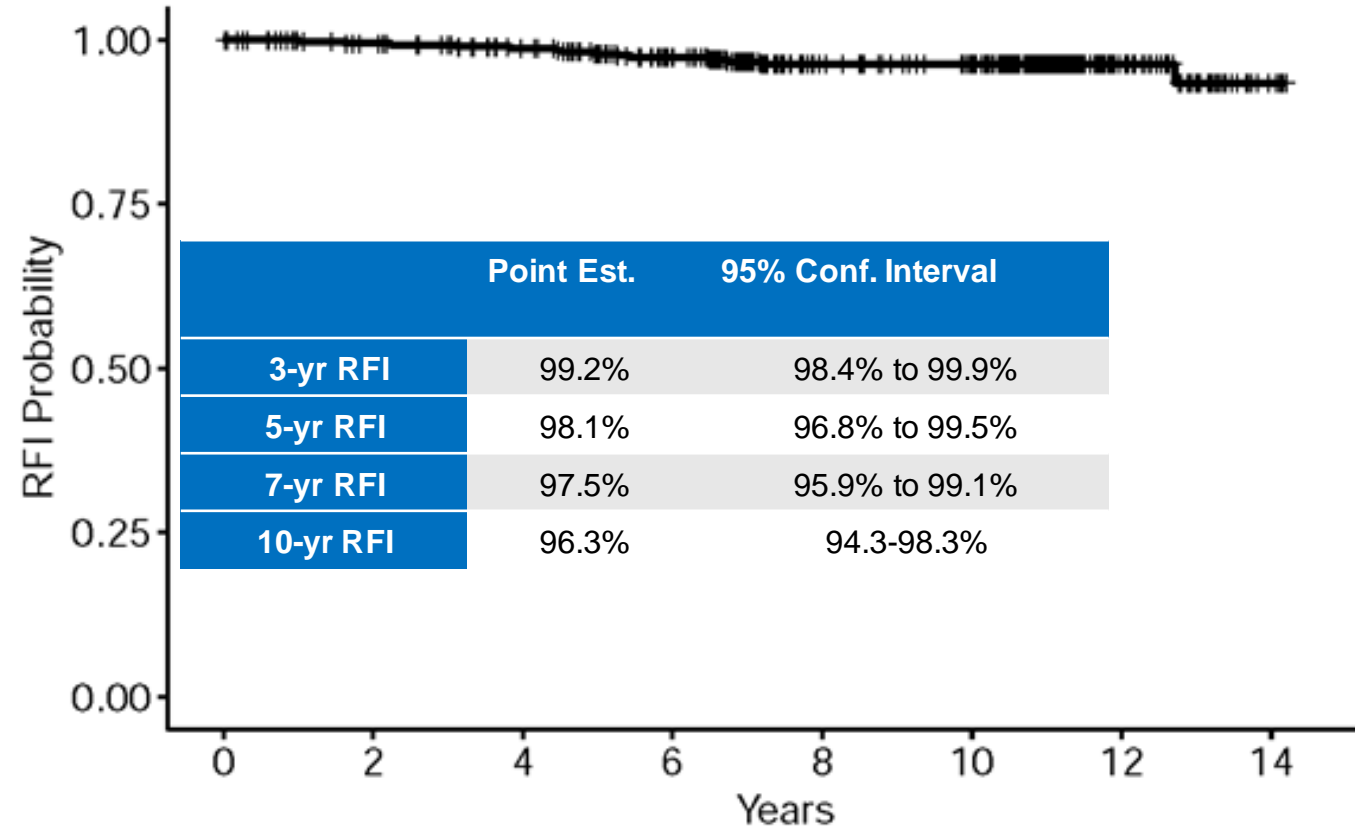
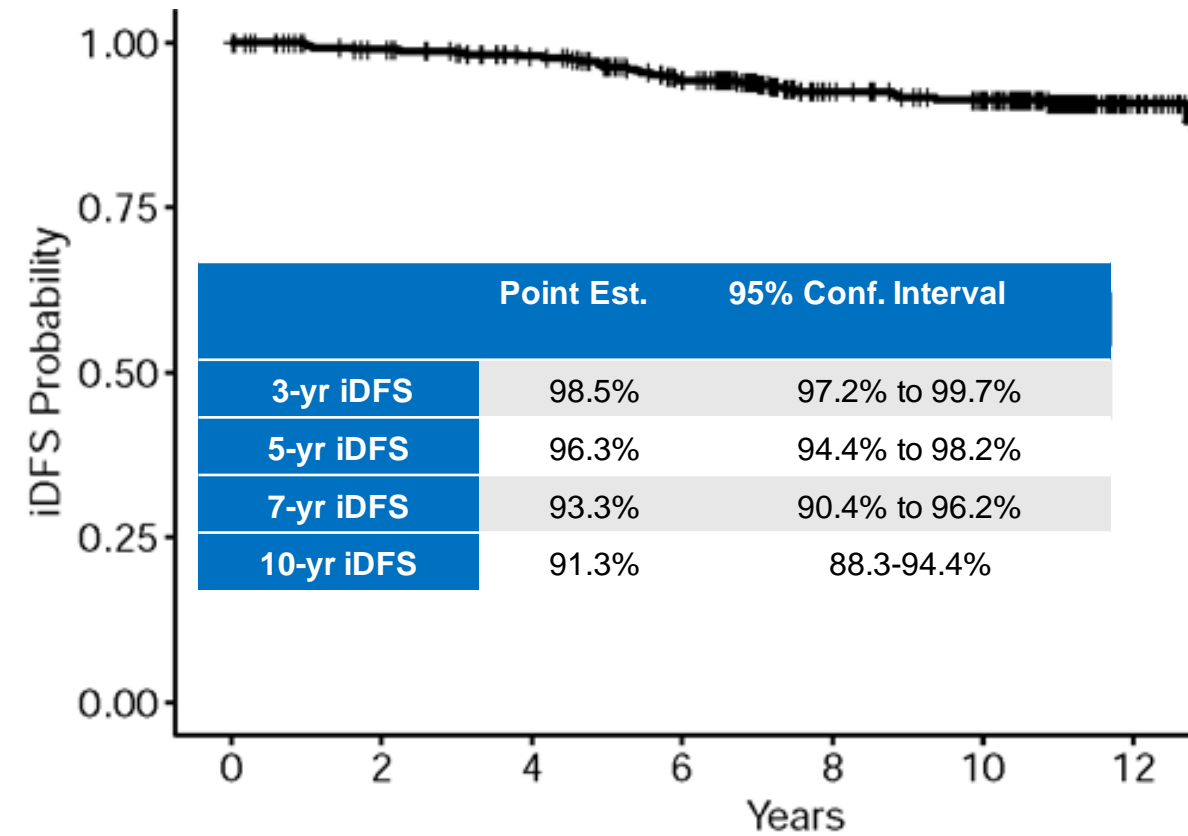
HER2+  
ER+ or ER-  
Node Negative  
≤ 3 cm

Planned N=400



FOLLOWED BY 13 EVERY 3 WEEK DOSES  
OF TRASTUZUMAB (6 mg/kg)\*

# APT: 10 year RESULTS



Number at risk  
 — 406    385    363    321    234    216    52

Number at risk  
 — 406    385    364    322    237    220    52    5

**6 Distant Events**

**RFI Events=**

- Invasive Local/Regional Recurrence
- Distant Recurrence
- Death from Breast Cancer

Tolaney SM et al. SABCS 2022. Abstract PD18-02.

Tolaney SM et al. *Lancet Oncol.* 2023;24(3):273-285.

# Does T-DM1 have a role for Stage I HER2+ Disease? ATEMPT Trial

## Key Eligibility Criteria

- Stage 1 HER2+ breast cancer
  - HER2 centrally tested (ASCO CAP 2013 guidelines)
- N0 or N1mic
- Left Ventricular EF  $\geq$  50%
- No prior invasive breast cancer
- $\leq$ 90 days from last surgery

N = 497



3

1

N = 383

**T-DM1**

3.6 mg/kg IV q3 wks x 17

N = 114

**TH**

Paclitaxel 80 mg/m<sup>2</sup> IV + Trastuzumab 2 mg/kg IV wkly x12 → Trastuzumab 6 mg/kg every 3 wks x13

## Stratification factors:

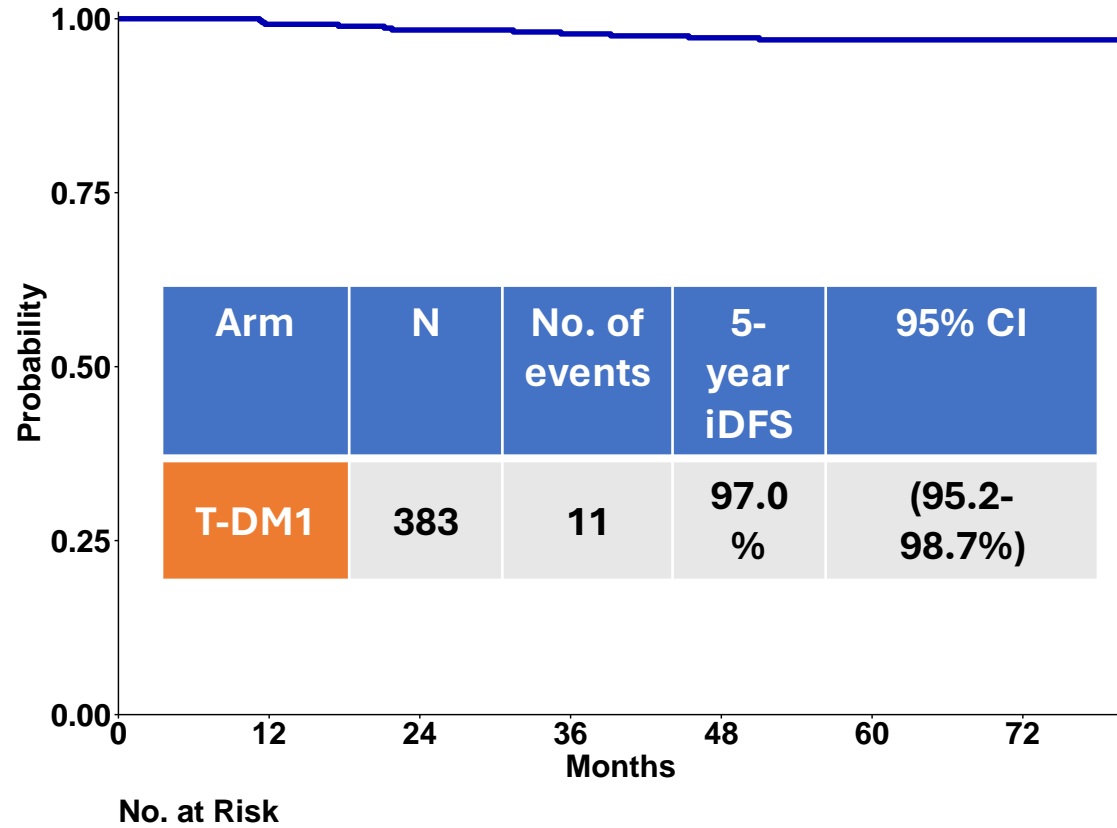
- Age (<55,  $\geq$ 55)
- Planned radiation (Yes/No)
- Planned hormonal therapy (Yes/No)

\*Radiation and endocrine therapy could be initiated after 12 weeks on study therapy



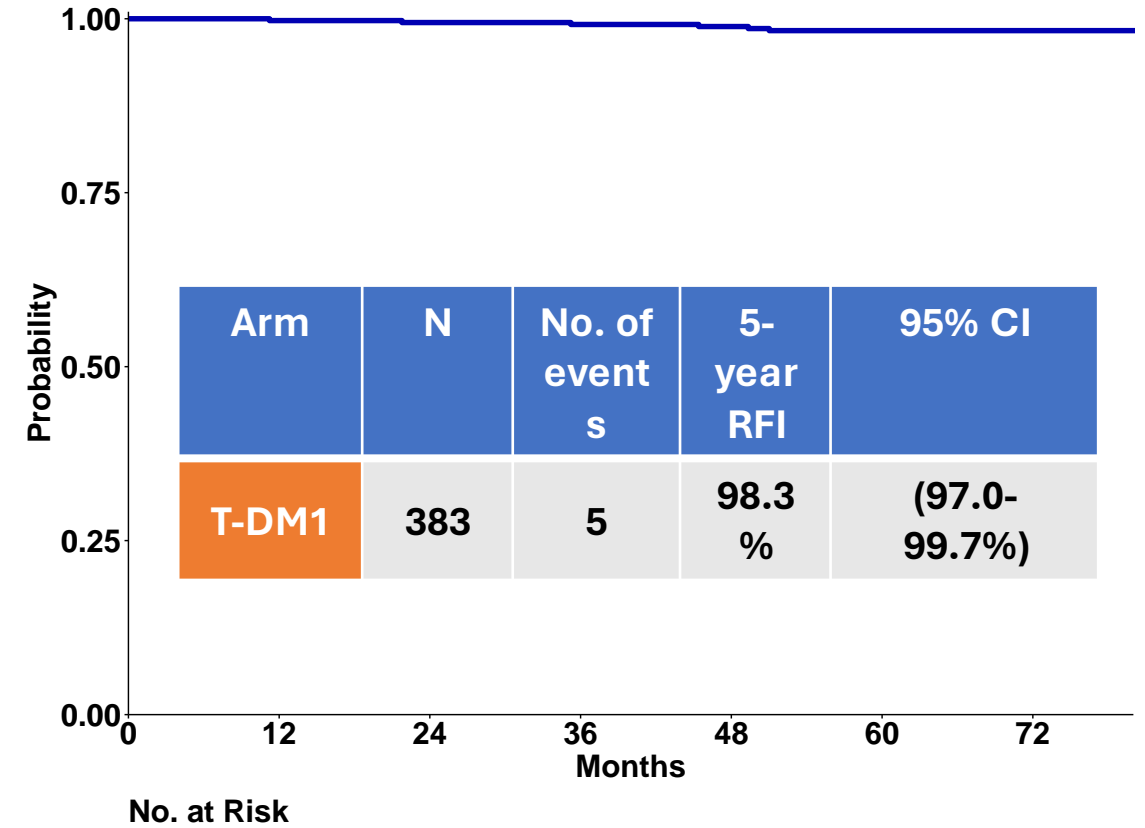
# 5-year outcomes with T-DM1: iDFS and RFI

## 5-year iDFS



**3 Distant Events**

## 5-year RFI



# ATEMPT: CLINICALLY RELEVANT TOXICITY

Clinically Relevant Toxicity	T-DM1 (n = 383) N (%)	TH (n = 114) N (%)
Grade $\geq 3$ non-hematologic toxicity	37 (10%)	13 (11%)
Grade $\geq 2$ neurotoxicity	42 (11%)	26 (23%)
Grade $\geq 4$ hematologic toxicity	4 (1%)	0 (0%)
Febrile neutropenia	0 (0%)	2 (2%)
Any toxicity requiring dose delay	106 (28%)	30 (26%)
Any toxicity requiring early discontinuation	67 (17%)	7 (6%)
Total	176 (46%)	53 (46%)

p=0.91

# ONGOING STUDY: ATEMPT 2.0

## Key Eligibility Criteria

- Stage 1 HER2+ breast cancer
  - HER2 centrally tested (ASCO CAP 2013 guidelines)– **HER2 3+**
- N0 or N1mic
- Left Ventricular EF  $\geq$  50%
- No prior invasive breast cancer
- $\leq$ 90 days from last surgery

N = 500



3

1

N = 375

**T-DM1  $\rightarrow$  H**

3.6 mg/kg IV q3 wks x 6 cycles  $\rightarrow$  **SQ** Trastuzumab every 3 wks x 11

N = 125

**TH**

Paclitaxel 80 mg/m<sup>2</sup> IV + Trastuzumab every 3 wks x4  $\rightarrow$  **SQ** Trastuzumab every 3 wks x13

## Stratification factors:

- Age (<55,  $\geq$ 55)
- Planned radiation (Yes/No)
- Planned hormonal therapy (Yes/No)

\*Radiation and endocrine therapy could be initiated after 12 weeks on study therapy

PI: Sara Tolaney

# Non-Inferiority RCTs of trastuzumab duration



**SOLD**

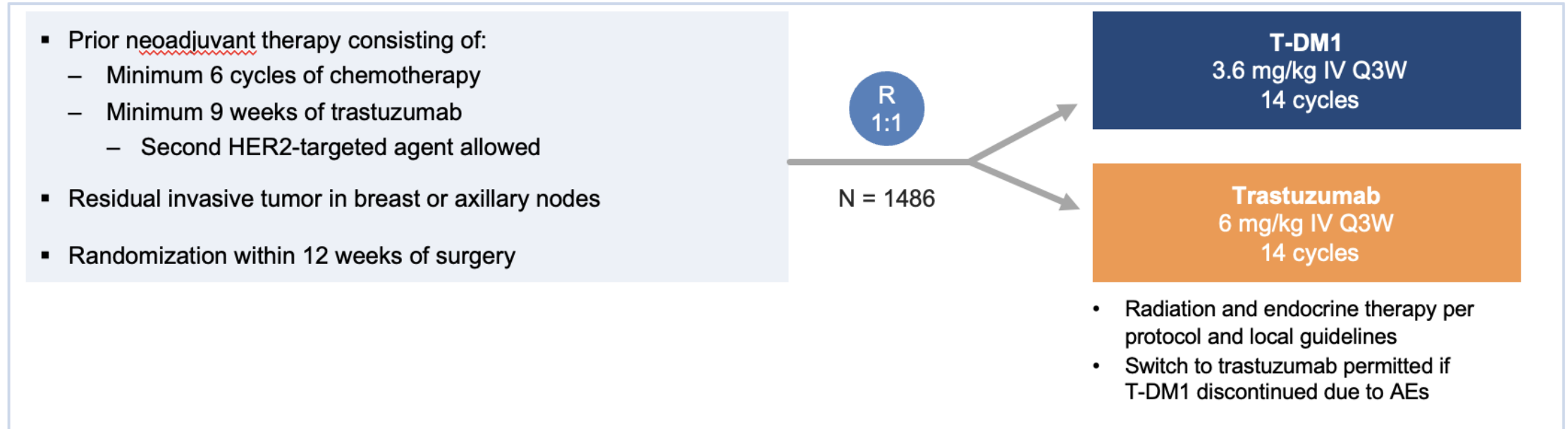


Trial	Duration	Patients
PERSEPHONE	12m v 6m	4088
PHARE	12m v 6m	3380
HORG	12m v 6m	493
<b>Subtotal</b>		<b>7,961</b>
SOLD	12m v 9w	2174
Shorther	12m v 9w	1254
<b>Subtotal</b>		<b>3428</b>
<b>TOTAL</b>		<b>11,389</b>

# Can we give less than 1 year of trastuzumab?

- Only regimen where one year of trastuzumab alone is given currently is with paclitaxel + trastuzumab
  - No data with less intensive chemotherapy that we can do shorter duration trastuzumab
- Modern era regimens use dual HER2-directed therapy in stage 2/3 breast cancer, and T-DM1 in patients with residual disease, so these data are not applicable with these regimens
- 1 year remains the standard
  - More work needed for stage 1 patients to see if shorter duration therapy would be equally efficacious
  - Work needed in patients who achieve pCR to standard regimens to know if a full year of HER2-directed therapy is really needed

# KATHERINE Study Update

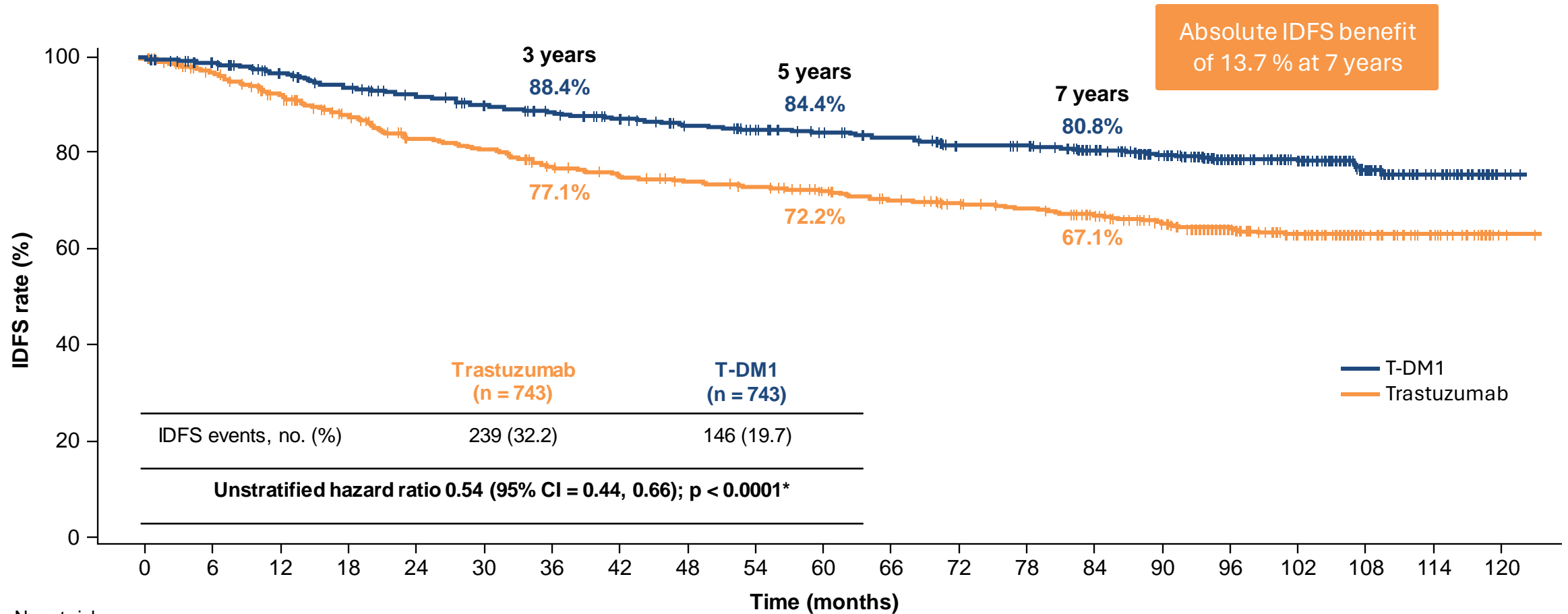


- **Primary endpoint:** IDFS
- **Secondary endpoints:** IDFS with second primary non-breast cancers included, DFS, OS, DRFI, safety, and QoL
- **Stratification factors:** Clinical stage at presentation (inoperable vs operable), HR status, preoperative HER2-directed therapy, pathologic nodal status after preoperative therapy

AE, adverse event; DFS, disease-free survival; DRFI, distant recurrence-free interval; HR, hormone receptor; IDFS, invasive disease-free survival; IV, intravenous; OS, overall survival; Q3W, every 3 weeks; QoL, quality of life; R, randomized; T-DM1, ado-trastuzumab emtansine.

Adapted from *N Engl J Med*, von Minckwitz *et al.*, Trastuzumab emtansine for residual invasive HER2-positive breast cancer, Vol. 380, Pages 617–628. Copyright© (2019) Massachusetts Medical Society.

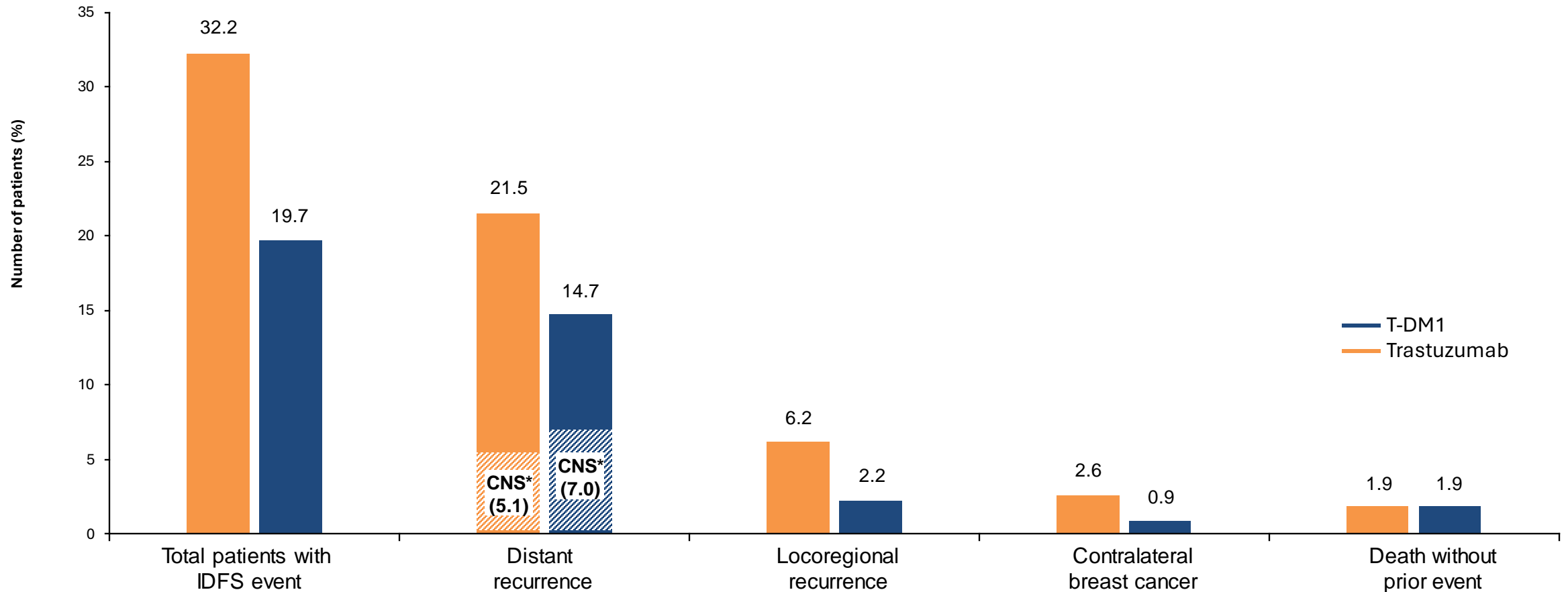
# KATHERINE IDFS final analysis; median follow-up 8.4 years





No. at risk	0	6	12	18	24	30	36	42	48	54	60	66	72	78	84	90	96	102	108	114	120
Trastuzumab	743	677	636	595	556	540	511	495	485	475	460	444	431	421	397	368	238	187	74	42	2
T-DM1	743	708	682	658	637	620	605	591	574	561	548	537	521	516	481	443	281	236	89	50	3

\* p-value for IDFS is now exploratory given the statistical significance was established at the primary analysis. CI, confidence interval; IDFS, invasive disease-free survival; T-DM1, ado-trastuzumab emtansine.

# Site of first occurrence of an IDFS event

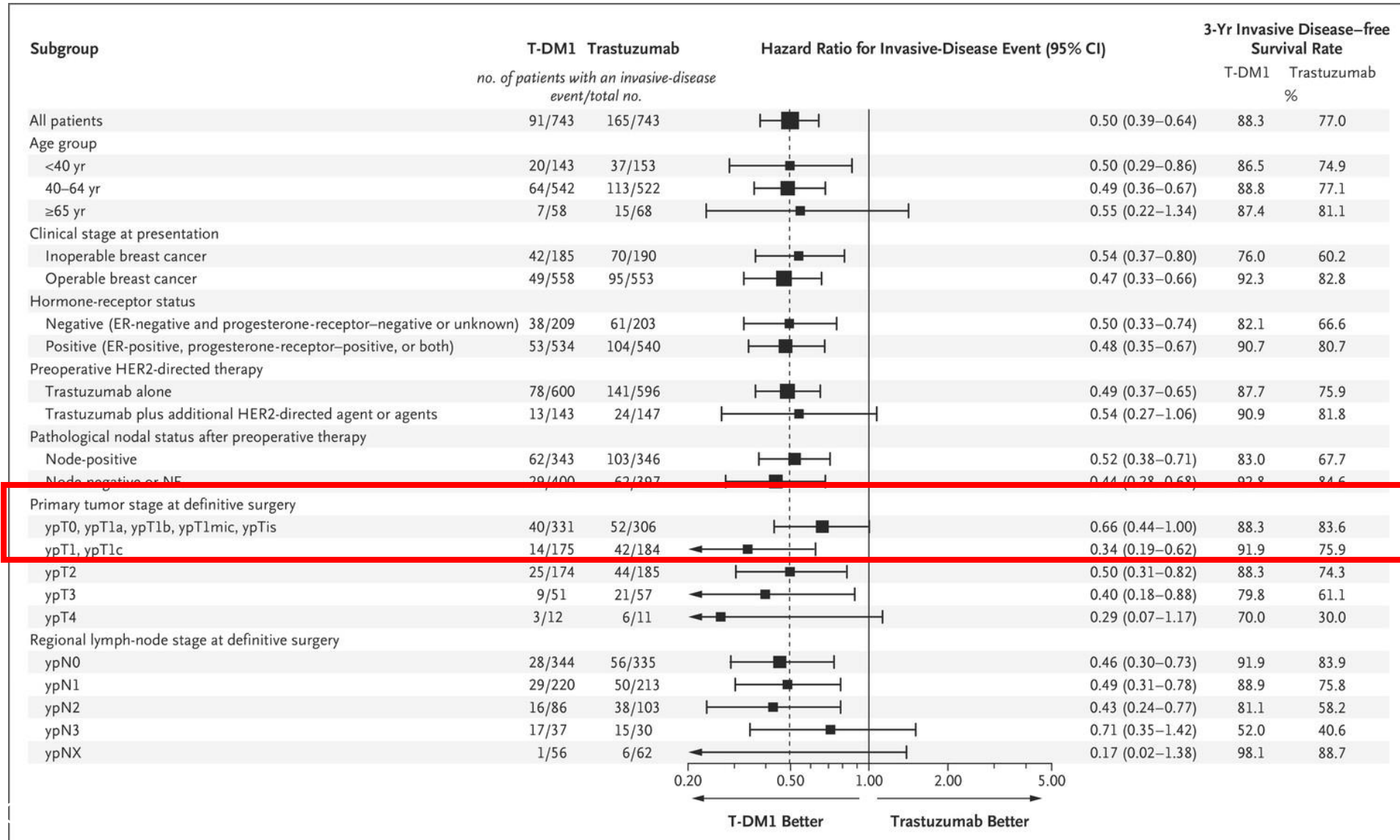


\* CNS metastases as component of distant recurrence (isolated or with other sites).  Trastuzumab  T-DM1  
 CNS recurrence after first IDFS event: 19 patients (2.6%) in the trastuzumab arm and four patients (0.5%) in the T-DM1 arm.  
 CNS, central nervous system; IDFS, invasive disease-free survival; T-DM1, ado-trastuzumab emtansine.



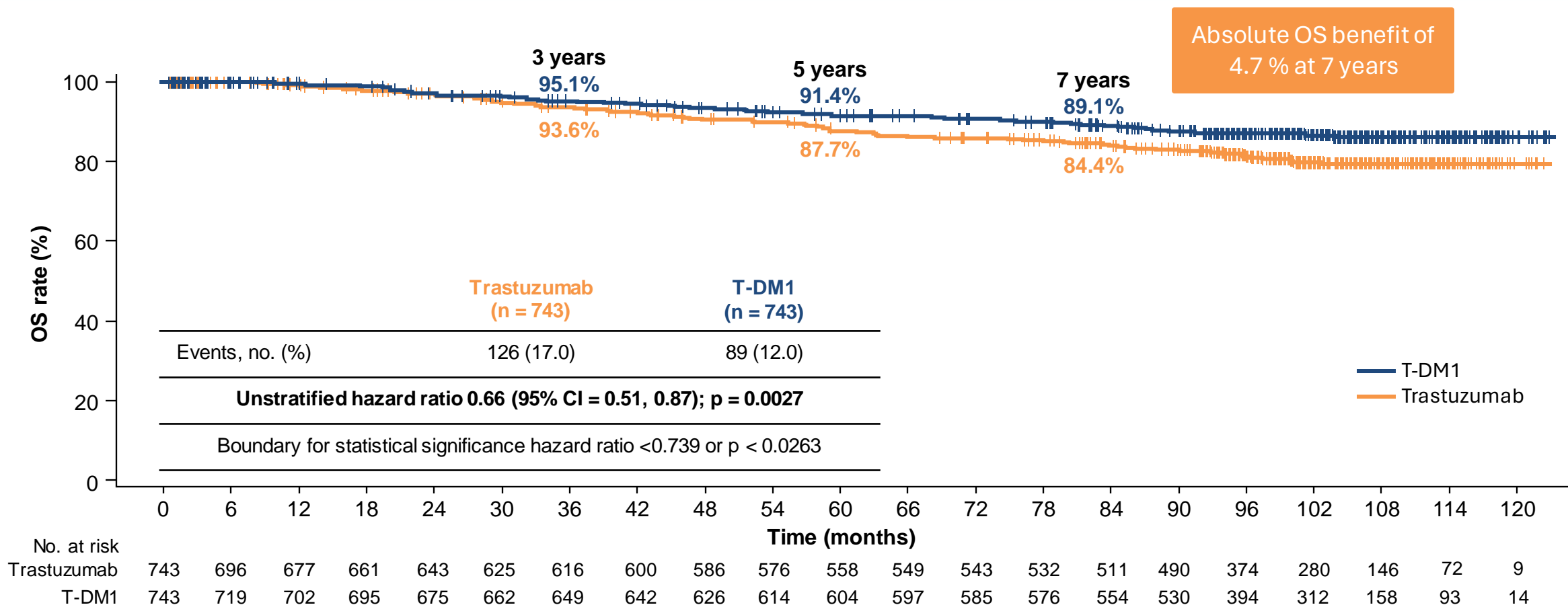
# KATHERINE:

All patients benefit even those with small amounts of residual tumor



Loibl S, et al.  
ESMO Breast 2020

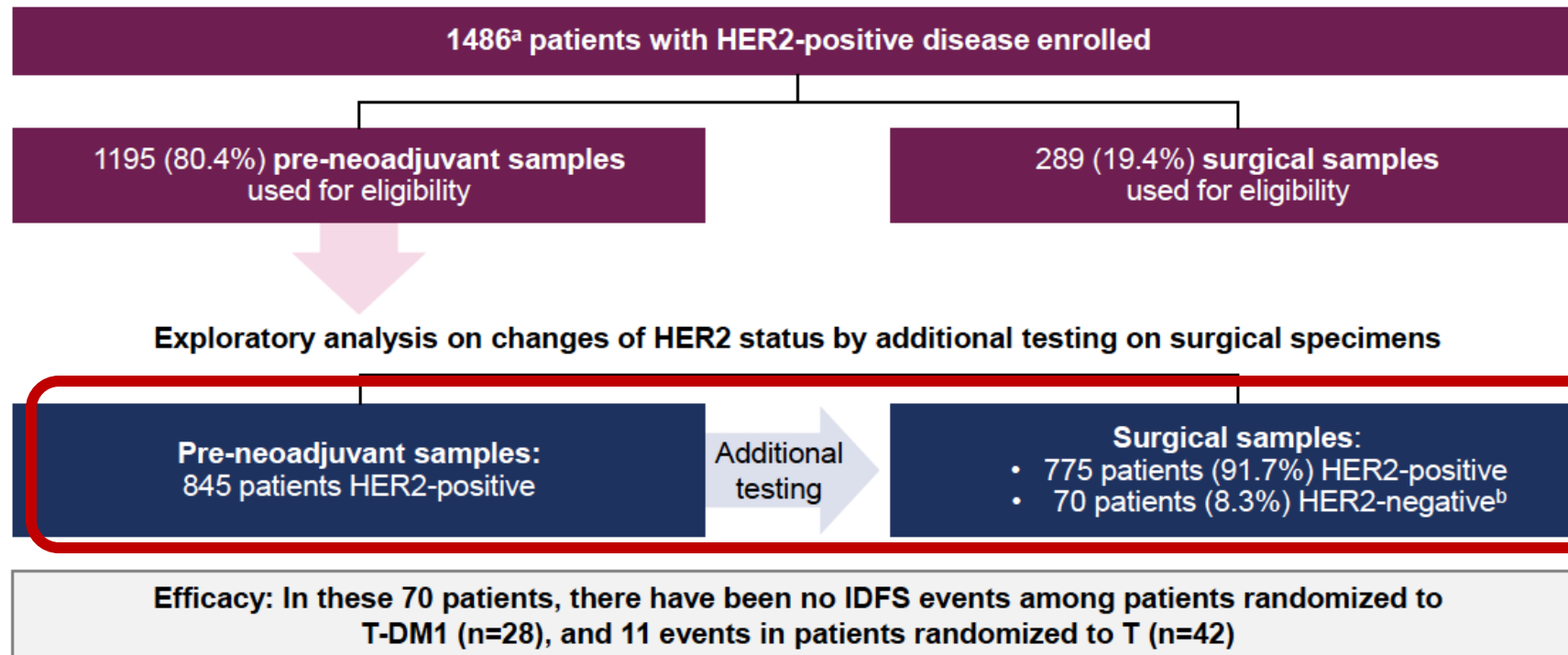
# KATHERINE OS at median follow-up 8.4 years



**Significant reduction in risk of death by 34% with T-DM1**

# KATHERINE: What about those with HER2- residual disease?

## PATIENTS WITH HER2-NEGATIVE DISEASE AT SURGERY



**TREAT PATIENTS WITH HER2+ PRIMARY TUMORS WITH ADJUVANT T-DM1  
EVEN IF RESIDUAL DISEASE IS HER2-NEGATIVE**

# CAN WE IMPROVE UPON KATHERINE?

- 3 yr iDFS for N+ pts: 83%.
- No improvement in rates of CNS recurrence
- May want to consider further treatment escalation with future studies:
  - Add on strategies: T-DM1 + tucatinib
  - Substitution strategies: Trastuzumab deruxtecan

# COMPASSHER2 TRIALS



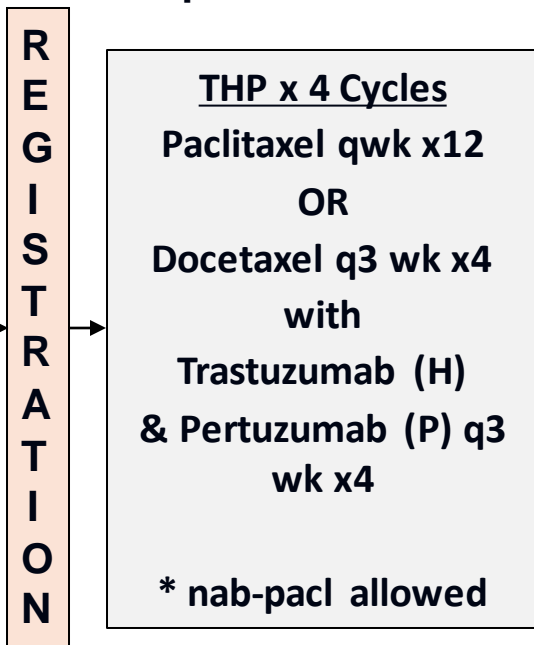
## Preoperative Phase: all patients

## Arm A: pCR (no invasive disease)

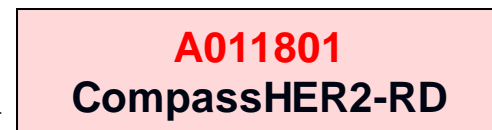
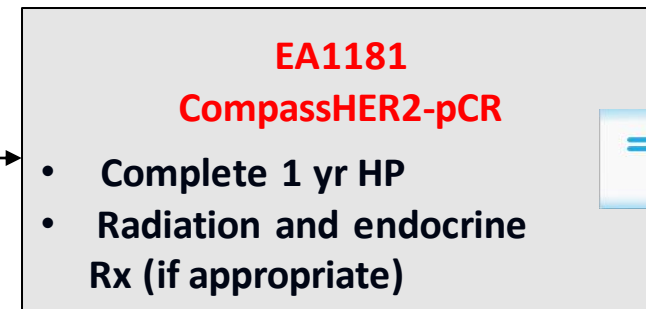
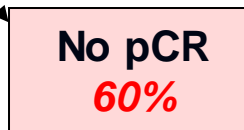
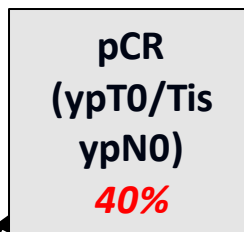
### Eligibility:

Stage II or IIIA HER2+ BC (T2-3, N0-2)

- cN0 eligible if  $\geq 2.0$  cm
- cN1-2 eligible  $\geq 1.5$ cm
- ER+ and ER- eligible

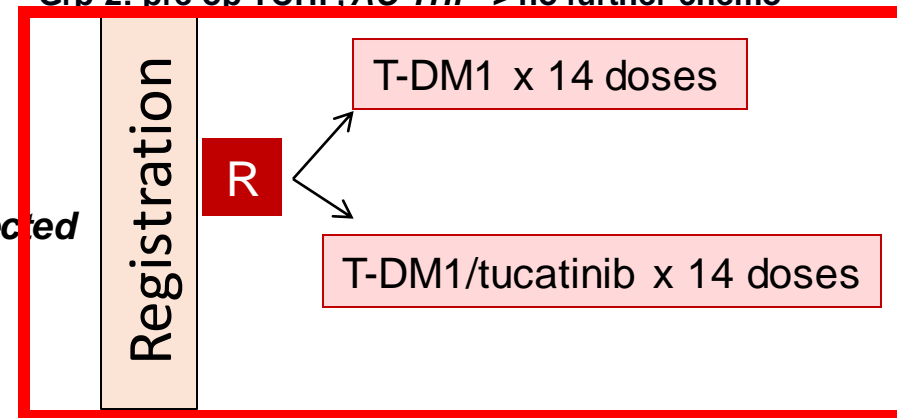


**SURGERY**



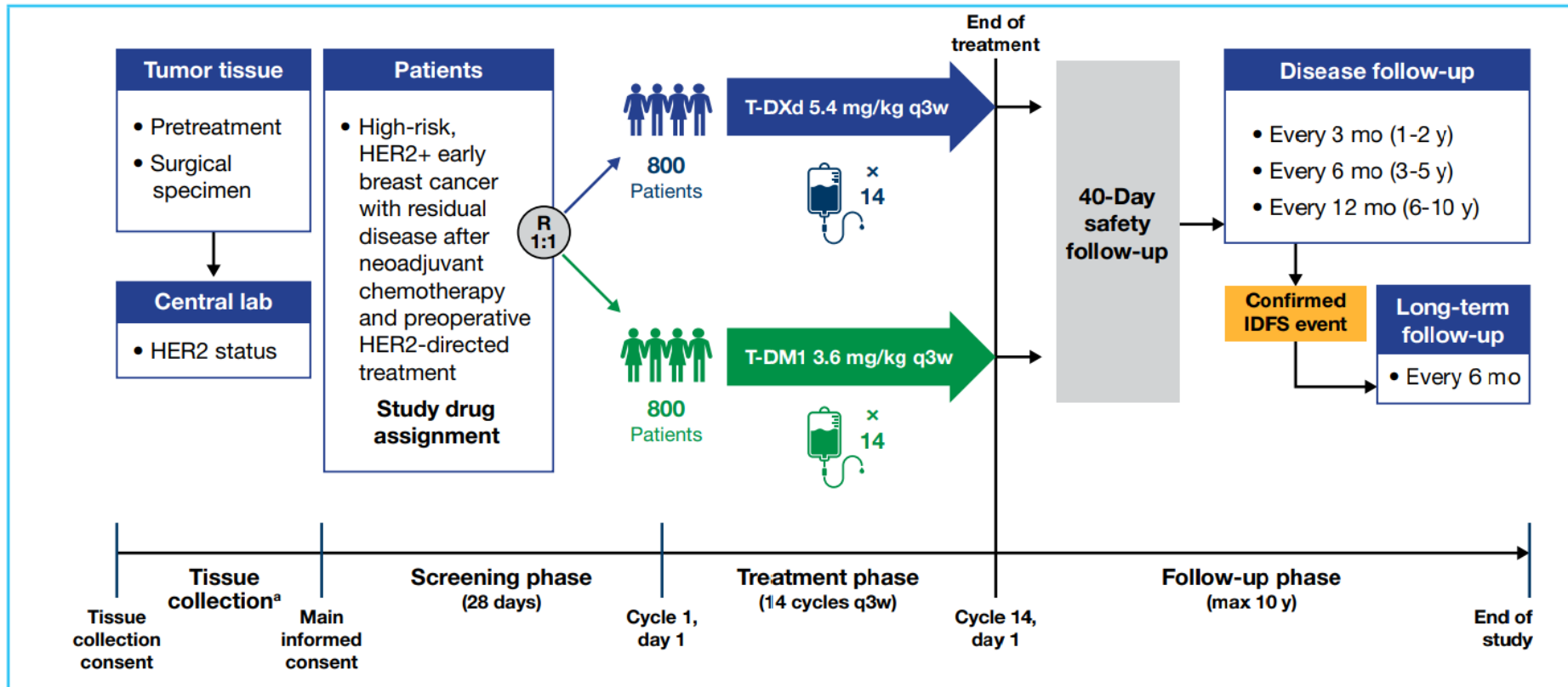
Grp 1: pre-op THP-> AC, Cb/HP x 4  
 Grp 2: pre-op TCHP, AC-THP-> no further chemo

**Eligibility**  
 HER2+ RD  
 ER- & ER+  
 (ER+ must be N+ )  
 (~30% of A011801 expected to come from EA1181)



# DESTINY-Breast05 phase 3 trial

DESTINY-Breast05: A Multicenter, Open-Label, Randomized Phase 3 Trial Comparing the Efficacy and Safety of T-DXd vs T-DM1 in High-Risk Patients With HER2-Positive, Residual, Invasive Breast Cancer After Neoadjuvant Therapy (N≈1600)



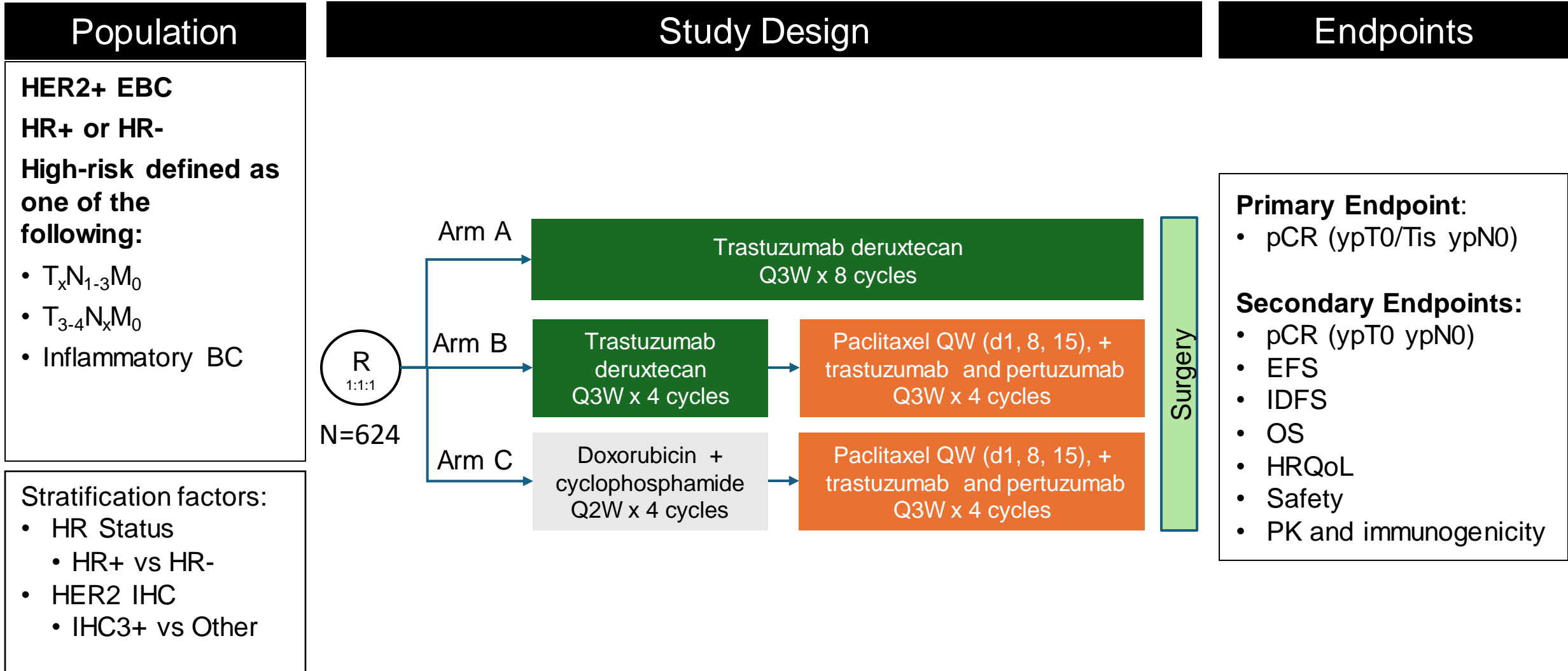
– **Inoperable** breast cancer at presentation

– Operable breast cancer at presentation with **node-positive (ypN1-3)** disease after neoadjuvant therapy

HER2, human epidermal growth factor receptor 2; IDFS, invasive disease-free survival; lab, laboratory; max, maximum; q3w, every 3 weeks; R, randomization; T-DM1, trastuzumab emtansine; T-DXd, trastuzumab deruxtecan.

<sup>a</sup> Patients may move into the main screening phase before HER2 status results are available from the central laboratory.

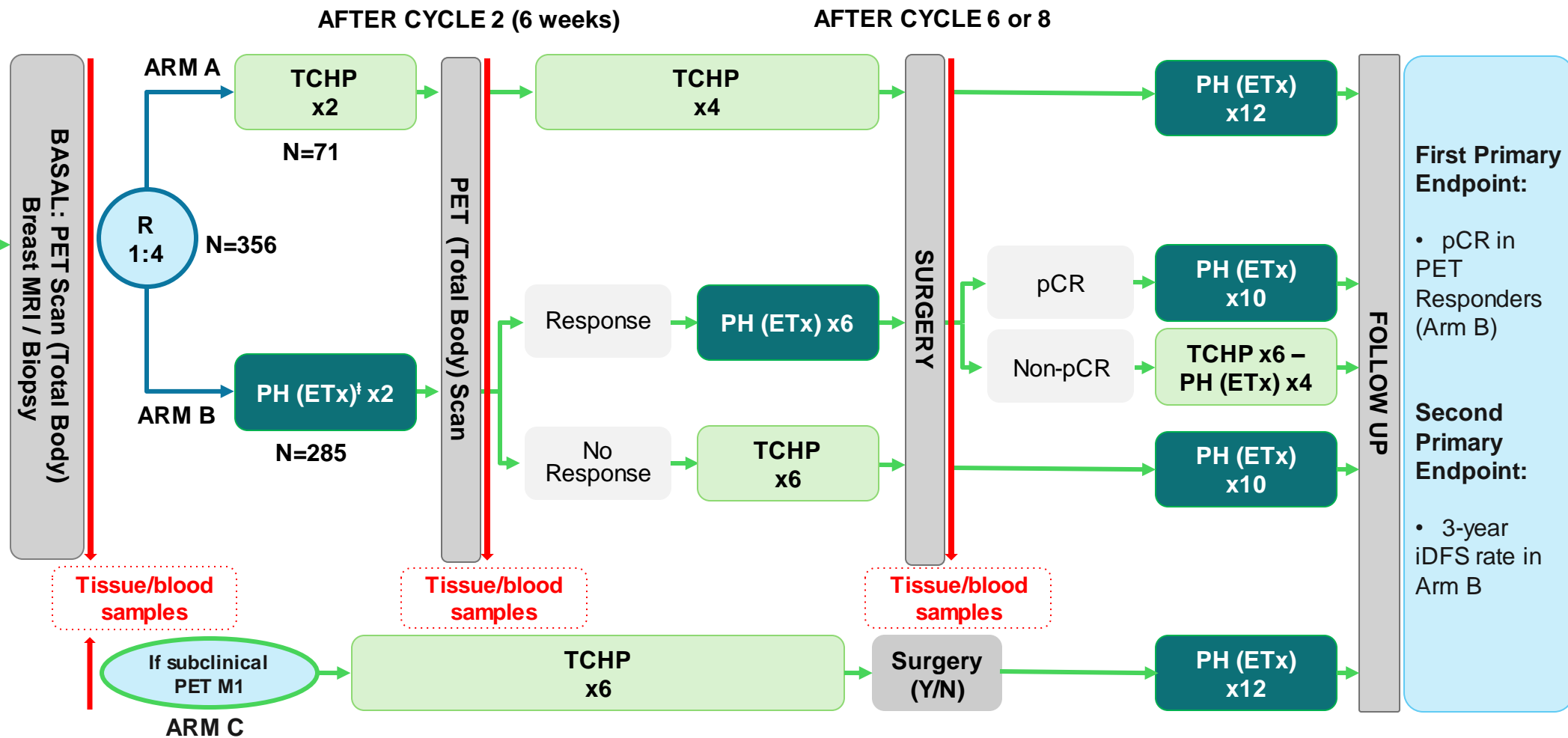
# Escalation based on clinical risk: DESTINY-Breast11 Trial



# PHERGAIN Study Design

- Key Eligibility Criteria**
1. Centrally confirmed HER2[+] stage I-III A EBC.
  2. Tumor diameter  $\geq$  1.5 cm by MRI or ultrasound.
  3. Presence of a breast PET-evaluable lesion.

- Stratification factors**
- Hormonal receptor status (+/-)



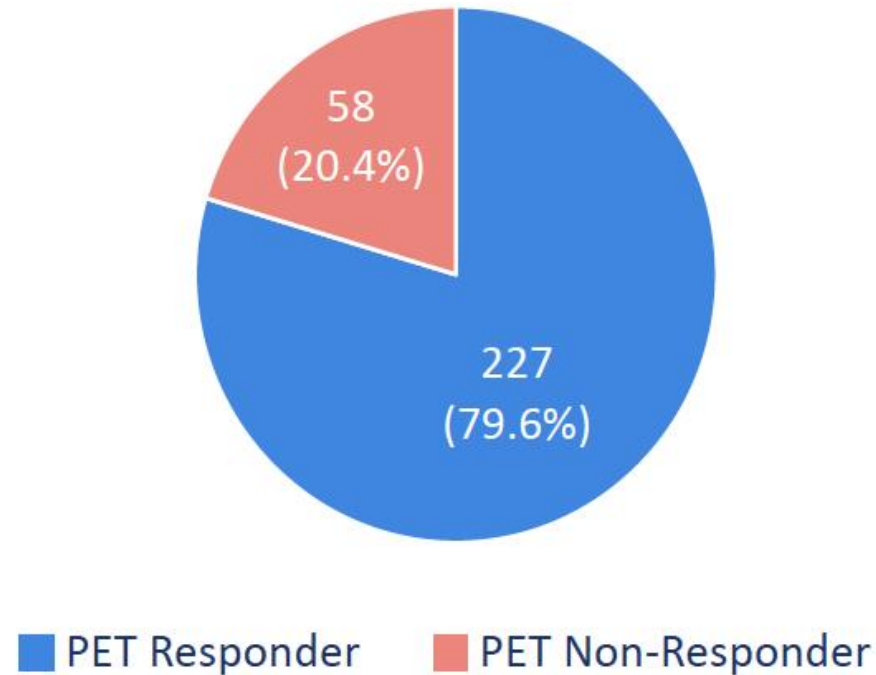
C: Carboplatin; D: Docetaxel; EBC: Early breast cancer; ETx: Endocrine therapy (letrozole post-menopausal/tamoxifen pre-menopausal) Adjuvant ETx up to 3 years from surgery; PET: <sup>18</sup>F-fluorodeoxyglucose positron emission tomography/computed tomography; H: Trastuzumab SC; HER2: Human Epidermal Growth Factor Receptor 2; iDFS: Invasive disease-free survival; MRI: Magnetic resonance Imaging; P: Pertuzumab IV; R: Randomization; TCHP: Trastuzumab, pertuzumab, docetaxel, and carboplatin. <sup>†</sup> All hormonal receptor-positive patients will receive ETx concomitantly with PH (except on chemotherapy).

- PET RESPONDERS: RECIST responders after cycle 2 with  $SUV_{max}$  reduction  $\geq$ 40%.
- pCR, Pathological complete response (ypT0/isN0).

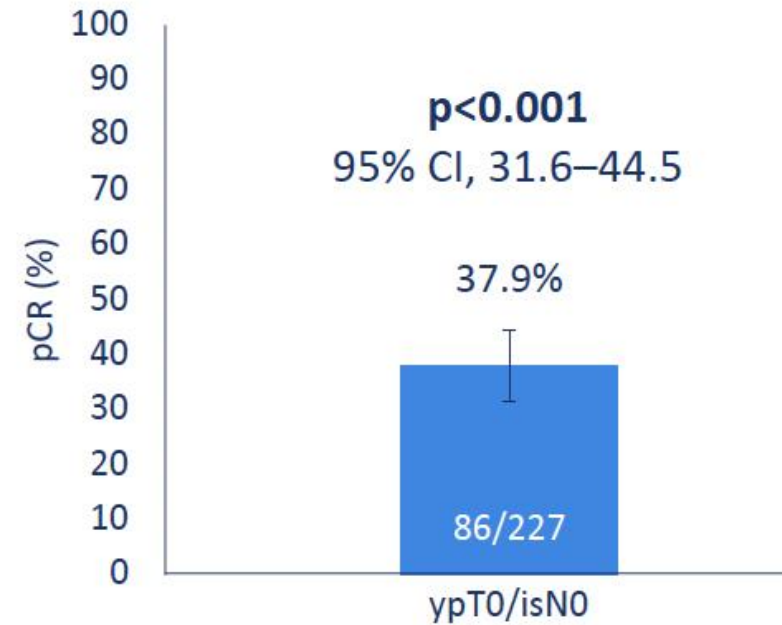


# Primary Endpoint: pCR in $^{18}\text{F}$ -FDG-PET responders in group B

## PET Responders and Non-Responders



## pCR rate



Null hypothesis: pCR  $\leq$  20%

pCR was observed in patients with both HER2++ and HER2+++; pts with stage II and stage III; and pts ER+ and ER-.

Pérez-García, JM, et al. (2021). *Lancet Oncol*, 22(6), 858-871.

CI: Confidence interval; PET:  $^{18}\text{F}$ -fluorodeoxyglucose positron emission tomography/computed tomography; pCR: Pathological complete response (ypT0/isN0).

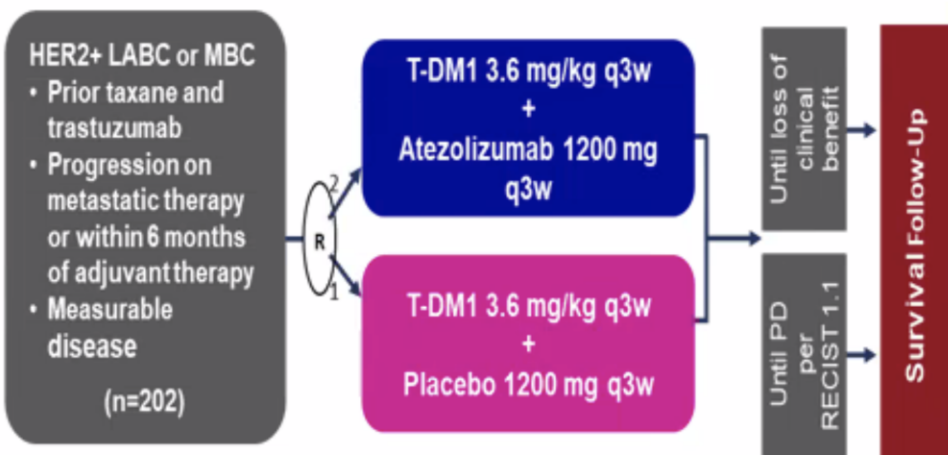
# Phergain: Efficacy Analysis- Summary of other efficacy endpoints

	Group A (n = 63)	Group B (n = 267)	Group B without CT (n = 86)
<b>3-year iDFS</b>	<b>98.3%</b>	<b>95.4%</b>	<b>98.8%</b>
(95% CI)	(95.1–100%)	(92.8–98.0%)	(96.3–100%)
<b>3-year DDFS</b>	<b>98.3%</b>	<b>96.5%</b>	<b>100%</b>
(95% CI)	(95.1–100%)	(94.3–98.8%)	(100–100%)
	<b>(n = 71)</b>	<b>(n = 285)</b>	<b>(n = 86)</b>
<b>3-year EFS</b>	<b>98.4%</b>	<b>93.5%</b>	<b>98.8%</b>
(95% CI)	(95.3–100%)	(90.7–96.5%)	(96.6–100%)
<b>3-year OS</b>	<b>98.4%</b>	<b>98.5%</b>	<b>100%</b>
(95% CI)	(95.3–100%)	(97.1–100%)	(100–100%)

None of these comparisons between the groups reached statistical significance.  
iDFS and DDFS are defined from the time of surgery; EFS and OS are defined from randomization.

# IMMUNOTHERAPY IN HER2+ MBC: KATE2

## Clinical Trial Designs

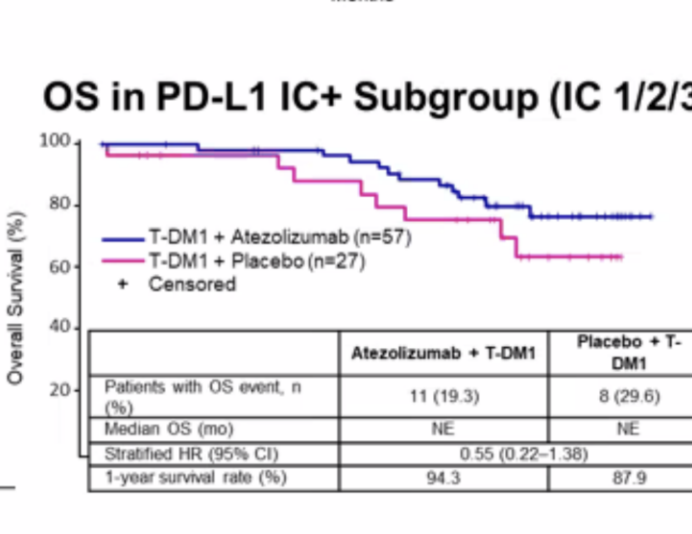
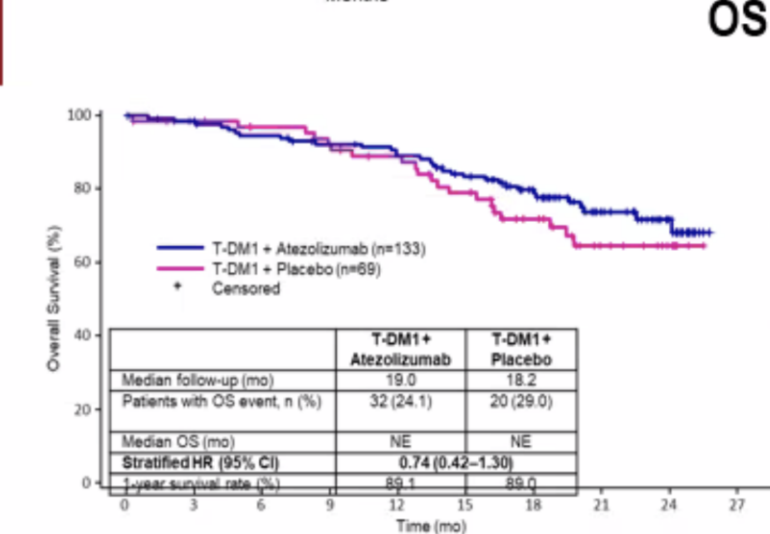
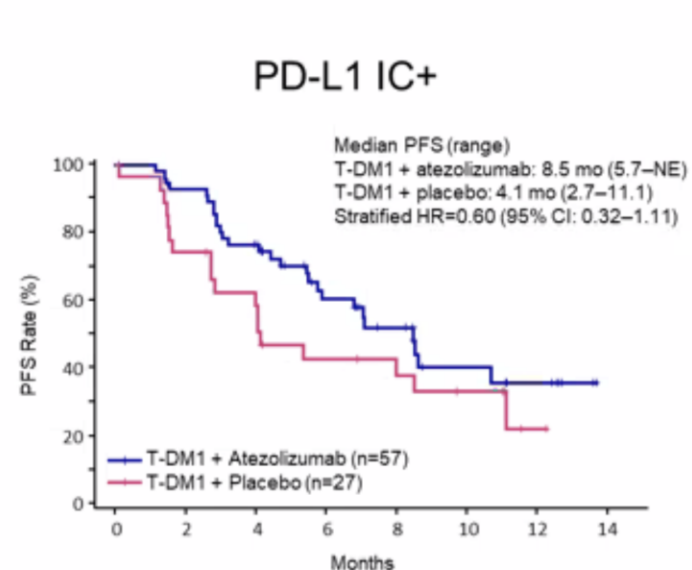
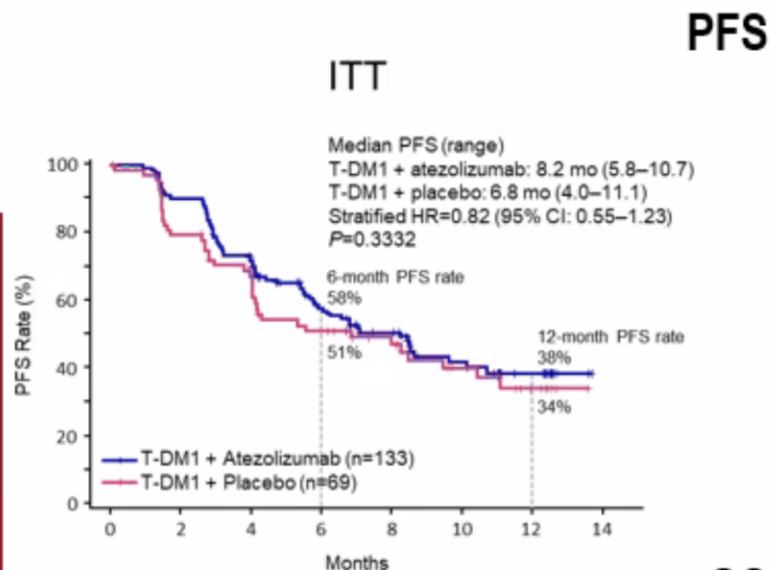


### Stratification factors:

- Tumour PD-L1 IC status (IC0 [ $<1\%$ ] vs IC1/2/3 [ $\geq 1\%$ ])<sup>a</sup>
- World region (Western Europe vs North America vs rest of world)
- Presence of liver metastases (yes or no)

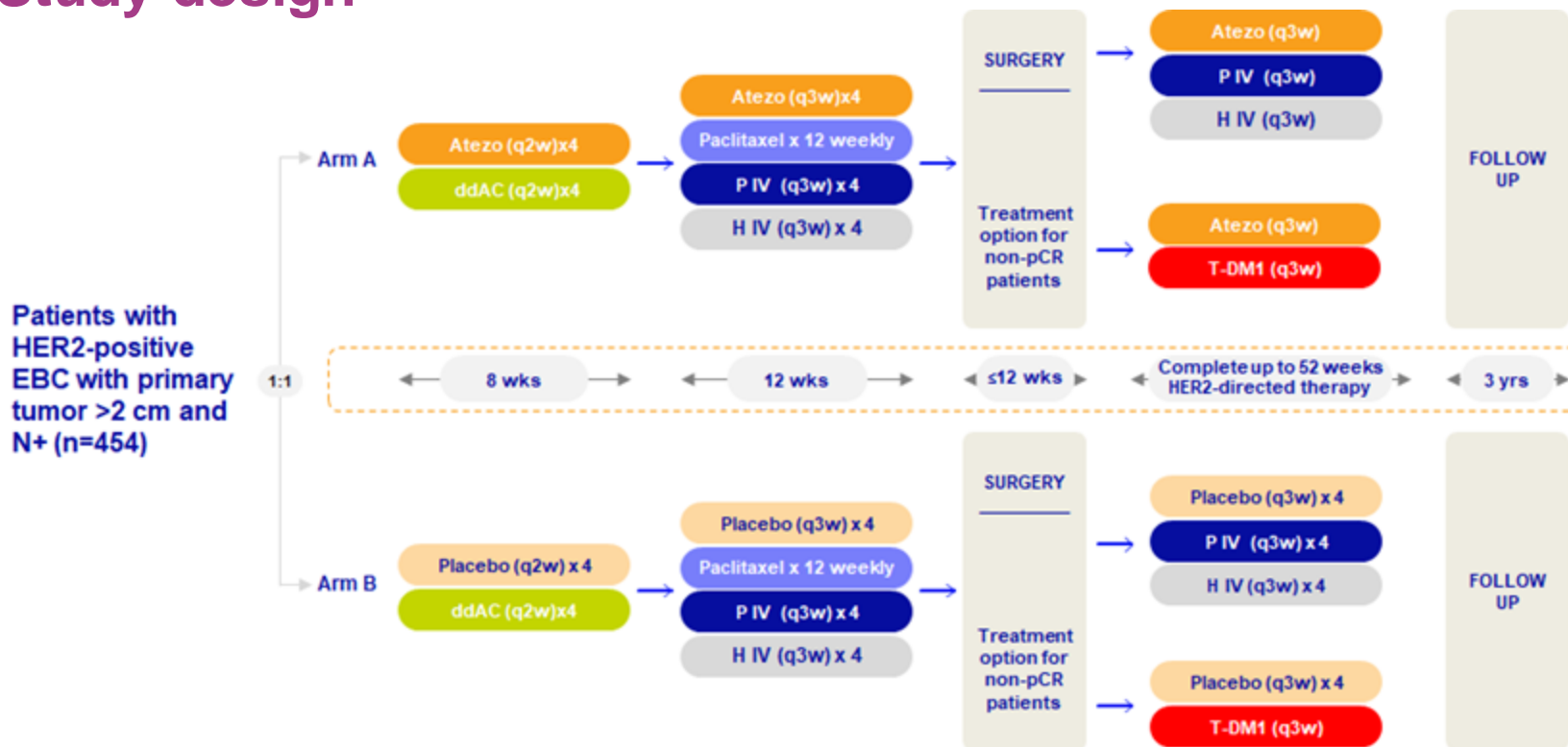
### Primary endpoint:

- Investigator-assessed PFS



# IMpassion 050: Atezolizumab in combination with Neoadjuvant - chemotherapy and dual anti HER2 therapy in Early HER2 Positive Breast Cancer

## Study design

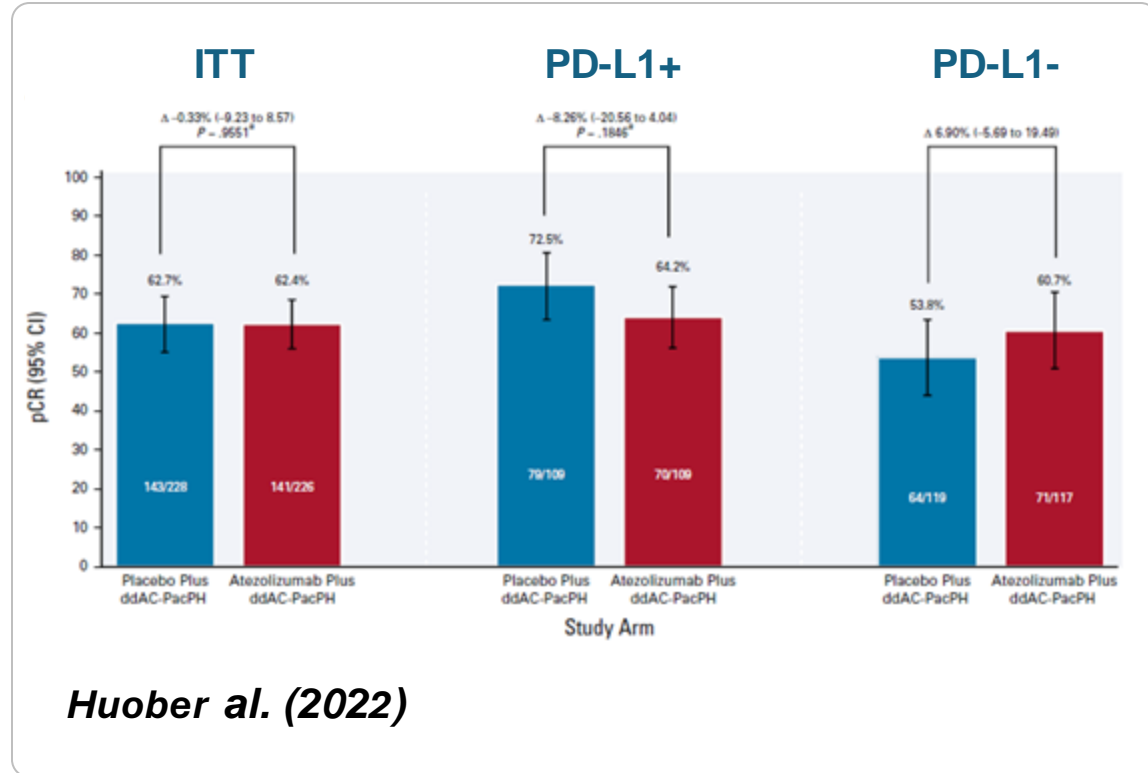


### Stratification factors:

- Stage at diagnosis (T2; T3-4)
- HR status (ER+ and/or PgR+; ER- and PgR-) Hormone receptor positive enrollment will be capped at 50%.
- PD-L1 status (IC 0; IC 1/2/3)

# IMpassion050 pCR results (primary endpoint)

## IMpassion050 - early HER2+ eBC



**PD-L1 IHC** role for CIT benefit is unclear  
**Suspect tumor heterogeneity** plays a role here like in TNBC

### Why these results?

1. Really need to stop just ADDING on new IO agents (with overlapping toxicity) to older AC chemo+T/P combinations
2. Diarrhoea, myelosuppression, all prohibitive in allowing Atezo to augment T cell response

### In moving fwd IO: patients need trials with

Less chemo, less myelosuppression, less AEs

Less "add-on" trials

Target high TIL patients (Taxol+Herceptin or T-DXD)

Avoid pertuzumab/ chemo combinations

Go for early stage

# APTneo

- The APTneo trial was a randomized neoadjuvant study of the combination of trastuzumab, pertuzumab, carboplatin and paclitaxel (HPCT) with or without atezolizumab in women with early high-risk and locally advanced HER2-positive suitable for neoadjuvant therapy.
- One study arm included anthracycline and cyclophosphamide.

# APTneo

- The open-label phase III APTneo trial enrolled 661 patients with operable or locally advanced HER2-positive breast cancer who had not previously been exposed to chemotherapy.
- **Arm A)** (n=223) received neoadjuvant Q3 week trastuzumab / pertuzumab / carboplatin AUC 2 and paclitaxel 90 mg/m on days 1 and 8 every 21 days for 6 cycles. Adjuvant HP for another 12 cycles.
- **Arm B1)** (n=218) received neoadjuvant doxorubicin /cyclophosphamide Q3 weeks for 3 cycles followed by HPCT for 3 cycles plus atezolizumab at 1200 mg every 3 weeks. Adjuvant HP and atezolizumab for an additional 12 cycles.
- **Arm B2)** (n=220) were given HPCT plus atezolizumab for 6 cycles followed by surgery and adjuvant HP and atezolizumab for an additional 12 cycles.

# pCR results

- pCR achieved with Atezolizumab plus HPCT with or without anthracyclines vs those given HPCT alone, 57.8% vs 52.0%, respectively (P = .526).
- The pCR achieved with AC and atezolizumab followed by HPCT was significantly higher vs HPCT alone, 61.9% vs 52% (p=.022)
- No significant difference in pCR between who received atezolizumab + HPCT without anthracyclines vs HPCT alone. (p=.091)
- In a multivariate analysis, treatment with anthracyclines, PD-L1-positivity, estrogen receptor negativity, and the presence of  $\geq 30\%$  stromal tumor-infiltrating lymphocytes were associated with a higher probability of pCR.

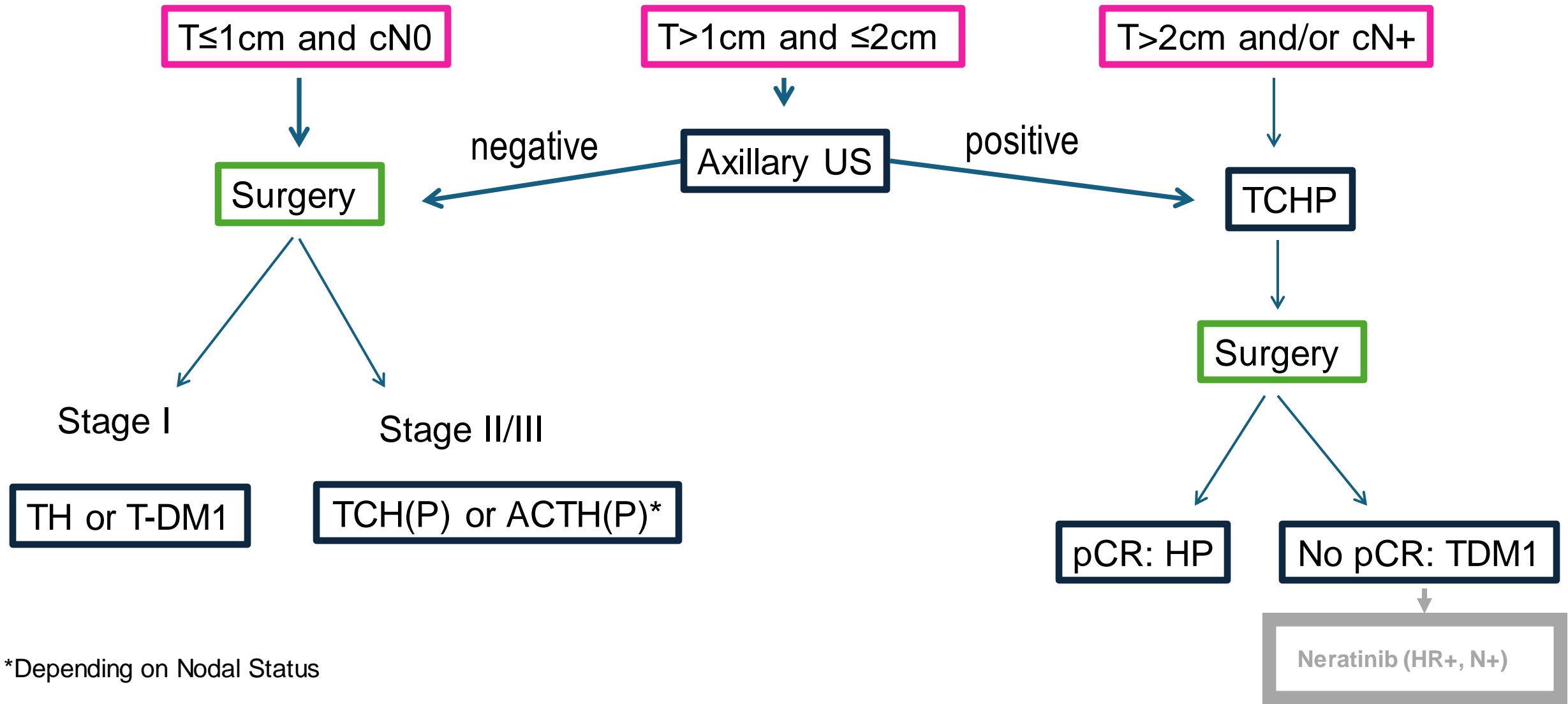
In the intention-to-treat population, the pCR defined as the absence of invasive cells in the breast and lymph nodes achieved:

	pCR	
Arm A HPCT (n=223)	52%	P=0.91
Arm B1 atezo + AC followed by HPCT (n=218)	61.9%	
Arm B2 atezo + HPCT (n=220)	53.6%	

P=0.89



# HER2+ Early Breast Cancer Algorithm



\*Depending on Nodal Status