Localized Therapy: Updates from a Surgery Perspective

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Adrienne Nicole Cobb, MD MS has no relevant financial relationships to disclose.



Outline

Local Therapy

- Contralateral Mastectomy in Patients with Germline Mutations by Katharine Yao, MD
- ACOSOG Z11102 by Judy Boughey, MD
- Local-Regional Management and Prognosis by Jennifer Plichta, MD, MS, FACS

Clinical Controversies

• To Clip or Not to Clip by Abigail Caudle, MD MS and Viviana Galimberti, MD

Axillary Management

- Axillary Management by Tracy-Ann Moo, MD
- The OPBC-04/EUBREAST-06/OMA Study by Giacomo Montagna MD







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Germline Mutations and Penetrance

Germline mutation	ASCO	St Gallen	NCCN
BRCA1/2	High (3-5X)	High (>3-5X)	High (>3-5X)
PALB2	Moderate	High	High
T53	High	High	High
PTEN	High		High
CDH1	High	Moderate	High
STK11	High	Moderate	High
CHEK2	Moderate	Moderate	
ATM	Moderate	Low	Moderate (2-3X)
BARD1		Moderate	Moderate
RAD51c		Low	Unknown
RAD51d		Low	Unknown
BRIP1		Low	
NF1		Low	Doubt

	Overall CBC risk at 20yrs	First BC at <40yo	First BC at >=50yo
BRCA1	40%	60%	38%
BRCA2	26%	68%	20%
		Kuchenbaecker	- JAMA 2017; 317:2402

Yao, K. SABCS Educational Session 12/6/22

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Pros and Cons of CPM in Gene Carriers

PROs

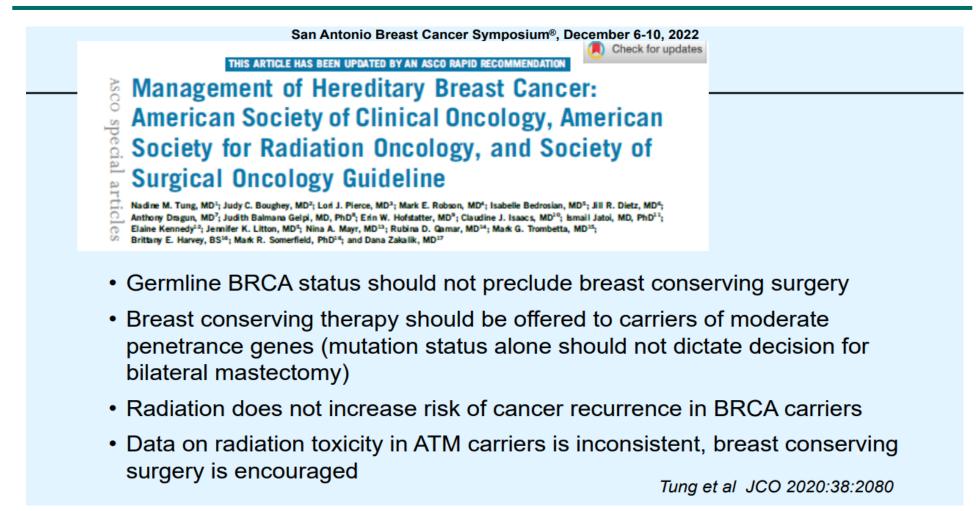
- Decrease contralateral breast cancer risk*
- QOL
- Patient satisfaction/regret*
- Avoid future imaging
- Survival benefit??*

<u>CONs</u>

- Operative risks*
- Long term impact to cosmesis/sexuality*
- Delay adjuvant therapy
- Survival benefit??*

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CPM Discussion with Affected Mutation Carriers

Germline mutation	<60yo	≈>60yo
BRCA1/2		
ATM		
CHEK2		
PALBB2		
NF1, BARD1, BRIP1		

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ACOSOG Z11102



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Impact of Breast Conservation Therapy on Local Recurrence in Patients with Multiple Ipsilateral Breast Cancer – Results from ACOSOG Z11102 (Alliance)

Judy C. Boughey, Kari M. Rosenkranz, Karla V. Ballman, Linda McCall, Bruce G. Haffty, Laurie W. Cuttino, Charlotte D. Kubicky, H. Carisa Le-Petross, Armando E. Giuliano, Kimberly J. Van Zee, Kelly K. Hunt, Olwen M. Hahn, Lisa A. Carey, Ann H. Partridge



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Background - MIBC

- Increased diagnosis of multiple ipsilateral breast cancer (MIBC)
 - Improved imaging, increased use of breast MRI
- Historical, retrospective studies showing high rates of local regional recurrence with BCT

Primary Author of Study	Surgical Years	Number of Patients (n)	Median Follow-up (Months)	Number of Recurrences	Outcome
Leopold	1968-1981	10	64	4	NA
Kurtz	1975-1983	61	71	15	NA
Wilson	Prior to 12/1988	13	71	3	6-year LRR: 25%



Many surgeons recommend mastectomy





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Z11102 - Prospective single arm phase II trial to evaluate breast conservation in women with two or three lesions in the breast

Inclusion Criteria

- Women age ≥40
- 2 or 3 foci of breast cancer
- At least one foci of invasive disease
- ≥ 2 cm normal tissue between lesions
- No more than 2 quadrants with disease
- cN0 or cN1 disease

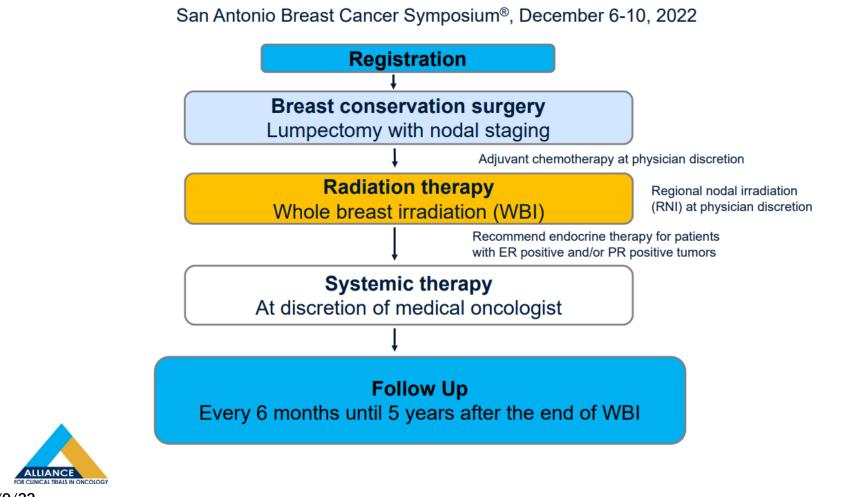
Exclusion Criteria

- Focus of disease >5cm on imaging
- Bilateral breast cancer
- Prior ipsilateral breast cancer
- Known BRCA 1/2 mutations
- Neoadjuvant therapy
- Men

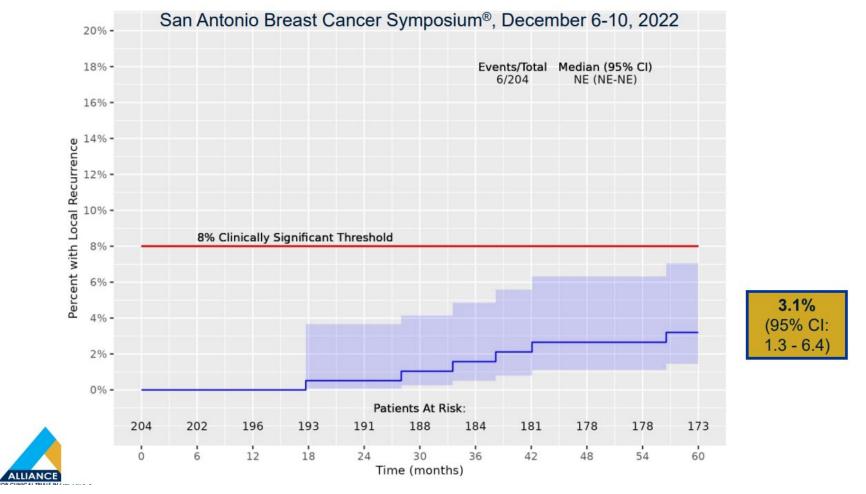




(Alliance)







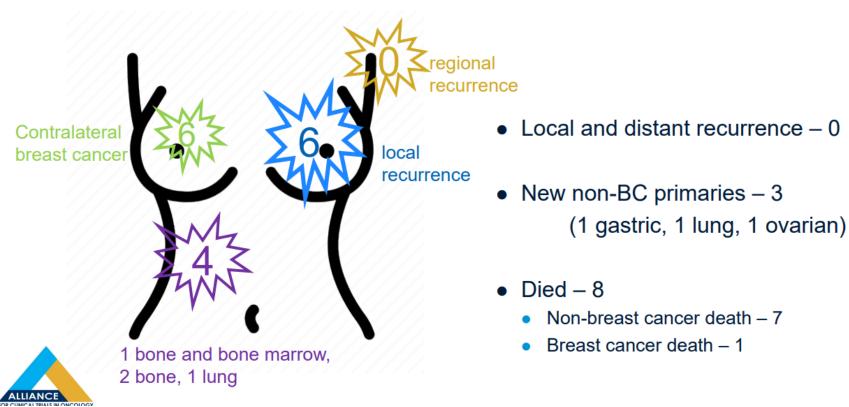
Boughey, J. SABCS GS4-01 12/9/22

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Outcomes





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Z11102 Conclusions

- In MIBC breast conserving surgery with adjuvant radiation with lumpectomy site boosts has a low LR rate 3.1% at 5 years
 - Studied population predominantly post-menopausal, ER+/HER2-, 2 foci, node negative
- Factors impacting local recurrence
 - Preop MRI (to evaluate for extent of disease)
 - Adjuvant endocrine therapy (for ER+ breast cancer)

BCT is a reasonable consideration in MIBC





Locoregional Management





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7-gene predictive biosignature improves risk stratification for breast ductal carcinoma in situ patients compared to clinicopathologic criteria, identifying a low risk group not clinically benefiting from adjuvant radiotherapy

Abstract #1309906

 Authors: Rachel Rabinovitch, MD, Frank A. Vicini, MD, Chirag Shah, MD, Julie A. Margenthaler, MD, Brian Czerniecki, MD PhD, Pat Whitworth, MD, David Dabbs, MD, G Bruce Mann, MBBS PhD, Fredrik Wärnberg, MD PhD, Sheila Weinmann, MPH PhD, Michael Leo, PhD, Jess Savala, MD, Steven Shivers, PhD, Karuna Mittal, PhD, Troy Bremer, PhD



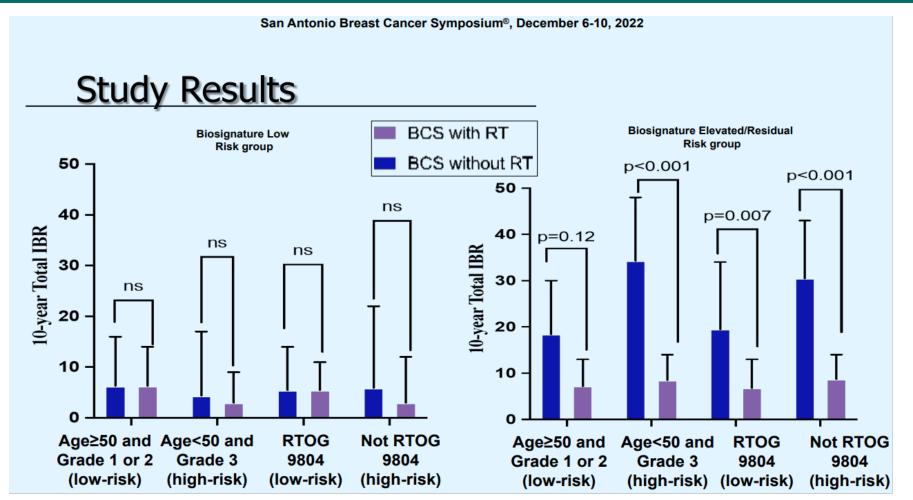
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Background

- The study aim was to analyze a cohort of women with DCIS treated with BCS +/- RT to determine if the biosignature could identify a subset of women who do not benefit from RT and evaluate the biosignature in patients meeting "low risk" or "high risk" clinicopathological criteria.
- "Low risk" defined by favorable clinicopathological criteria
 - Age >50 or Grade 1-2, and RTOG 9804-like disease (G1-2, screen detected)

Plichta, J. SABCS Poster Spotlight Discussion 15 12/8/22





Plichta, J. SABCS Poster Spotlight Discussion 15 12/8/22



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Take Home Points

- Biosignature Low Risk = excellent outcomes and no significant RT benefit, even with G3 disease or age <50y
- Biosignature Elevated/RRt = clinically meaningful IBR benefit with RT, even with favorable clinicopathologic features
- Practice Today: Clinicopathological factors may be inadequate for assessing RT benefit, and this 7-gene biosignature may provide superior prediction of 10-yr risk and RT benefit than standard risk models.

Plichta, J. SABCS Poster Spotlight Discussion 15 12/8/22



Clinical Controversies





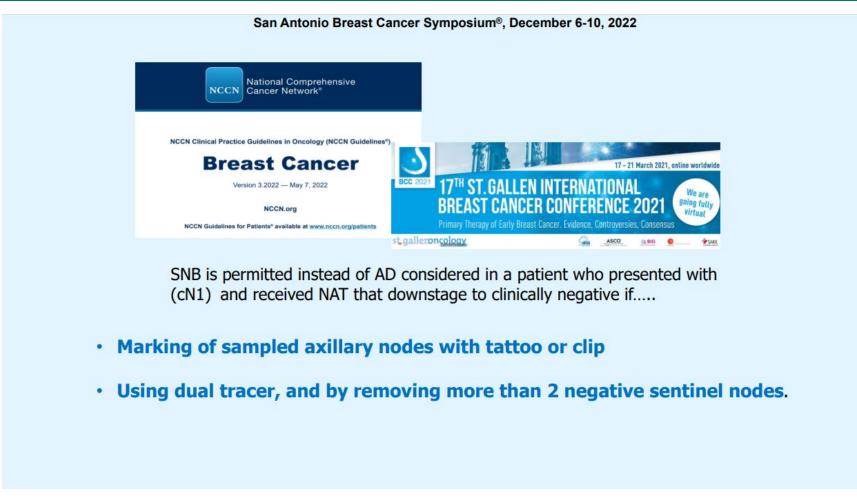
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Can we de-escalate by omitting AD after NAT ?













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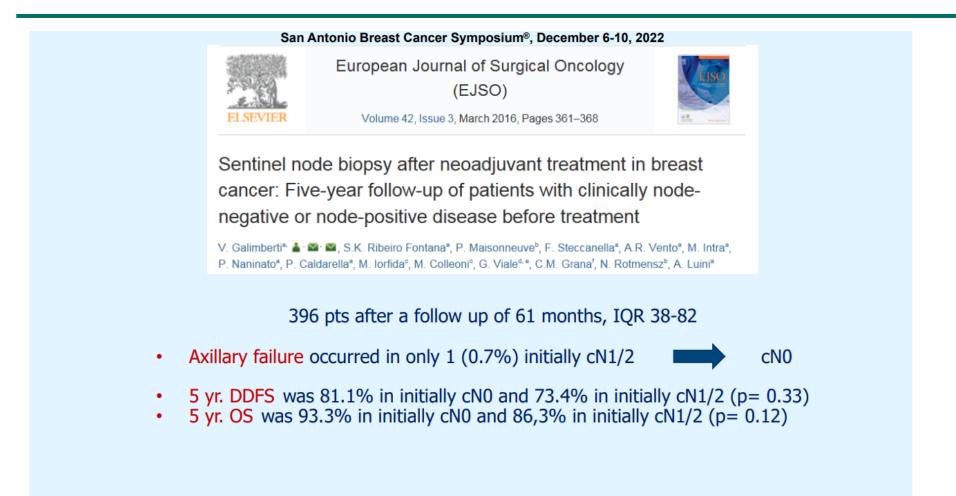
Axillary recurrence <u>after SNB alone</u> cN+ ppN0 after NAT

Author	N. of pts	Axillary recurrence	Follow up
Kahler Ribeiro Fontana S	123	1.6%	10 yrs
Martelli G	81	0%	7 yrs
Wong SM	58	0%	5 yrs
Barrio A	234	1.6%	3 yrs
Piltin MA	139	0.7%	2 yrs

Kahler-Riberio Fontana , et al. EJSO 2020 Martelli G. et al Ann Surgery 2022 Piltin MA , et al. Ann Surg Oncol 2020 Wong SM, et al . Ann Surg Oncol 2021 Barrio A, et al. JAMA 2021









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Summing up

CLIP

FNR <10%

More expensive More time consuming More difficult to identify Unknown how many nodes should be clipped Unknown what to do in case of lost clip NO DATE ON OUTCOME **NOT CLIP**

FNR <10% with >2 negative SNs FNR >10% with <2 SNs

Less expensive Easy to identify Low axillary recurrence GOOD OUTCOMES





"The Case for Clipping Nodes" Abigail Caudle, MD MS

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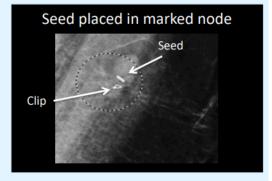
Targeted Axillary Dissection

Metallic clip placed when FNA of lymph node shows metastases

At surgery, remove:

• LN with <u>KNOWN</u> disease (with clip) and

• LNs most likely to harbor disease (SLN)



Caudle et al. JAMA-Surg. 2015;150(2):137-43

Caudle, A. SABCS Clinical Controversies 12/7/22





"The Case for Clipping Nodes" Abigail Caudle, MD MS

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Why Localize the Clipped Node?

Clipped node not retrieved as a SLN:

- MD Anderson¹:
- Turkey²:
- SenTa³:
- RISAS⁴:

23% 19% 37% 29%

	SLND Alone	Marked Node Alone	SLN + Marked Node
MD Anderson	10.1%	4.2%	2%
SenTa	23.9%	7.2%	4.3%
RISAS	18.6%	6.8%	2.5%

Caudle et al. *JCO* 2016 Kuemmel et al. *Ann Surg*. 2022 Simons et al. *JAMA Surgery*. 2022

¹Caudle et al. *JCO*, 2016 ²Diego et al. *Ann Surg Onc*, 2016

Caudle, A. SABCS Clinical Controversies 12/7/22



³Kuemmel et al. Ann Surg 2020 ⁴Simons et al. *JAMA Surgery*, 2022



"The Case for Clipping Nodes" Abigail Caudle, MD MS

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Low Volume Disease Important

Study of 702 CN+ patients who underwent NAC followed by SLND

SLND results	Additional Disease Found
Isolated Tumor Cells	17% (1/6)
Micrometastases	64% (28/44)
Macrometastases	62% (75/121)

Moo et al. Ann Surg Oncol. March 2018

Caudle, A. SABCS Clinical Controversies 12/7/22



Axillary Management





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Axillary dissection to determine nodal burden to inform systemic therapy recommendations in patients with clinically node-positive breast cancer: Pre-planned substudy of TAXIS (OPBC-03, SAKK 23/16, IBCSG 57-18, ABCSG-53, GBG 101)

- Background: Chemotherapy recommendations in luminal breast cancer based on number of positive LNs in upfront and post NAC setting, and in recent trials number of LNs also impacts use of genomic testing
- AIM: Examine role of ALND in systemic therapy decision making
 - cN+ , adjuvant and NAC therapy
- Multicenter phase III trail, 8/2018-6/2022

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Weber et al. SABCS Spotlight Poster Discussion, 2022.



Spotlight Poster Discussion: Axillary Management Tracy-Ann Moo, MD

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Axillary dissection to determine nodal burden to inform systemic therapy recommendations in patients with clinically node-positive breast cancer: Pre-planned substudy of TAXIS (OPBC-03, SAKK 23/16, IBCSG 57-18, ABCSG-53, GBG 101)

Axillary treatment of HR+ / Her2- breast cancer patients with upfront surgery						
n = 297						
TAS+ART ALND						
Number of patients (%)	145 (48.8)	152 (51.2)				
			p-value			
Median number of removed lymph nodes [IQR]	5 [4-8]	19 [14-26]				
Median number of positive lymph nodes [IQR]	3 [1-4]	4 [2-9]	<0.001			

Axillary treatment of breast cancer patients after neoadjuvant systemic treatment					
n = 143					
TAS+ART ALND					
Number of patients (%)	71 (49.7)	72 (50.3)			
			p-value		
Median number of removed lymph nodes [IQR]	4 [3-6]	16 [12-19]			
Median number of positive lymph nodes [IQR]	1 [1-3]	2 [1-5]	<0.001		

Weber et al. SABCS Spotlight Poster Discussion, 2022.

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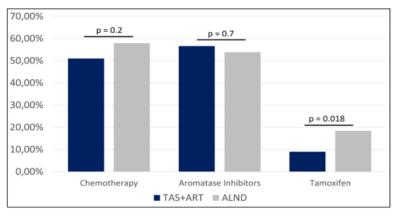


Spotlight Poster Discussion: Axillary Management Tracy-Ann Moo, MD

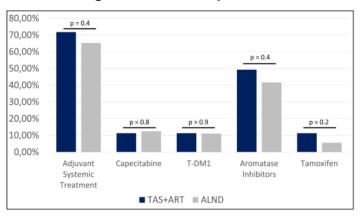
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Axillary dissection to determine nodal burden to inform systemic therapy recommendations in patients with clinically node-positive breast cancer: Pre-planned substudy of TAXIS (OPBC-03, SAKK 23/16, IBCSG 57-18, ABCSG-53, GBG 101)

Adjuvant systemic therapy in HR+ / Her2 - patients with upfront surgery using TAS and ART compared to ALND



Adjuvant systemic therapy after neoadjuvant systemic treatment using TAS and ART compared to ALND



Type of Axillary surgery did not impact adjuvant systemic therapy



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Spotlight Poster Discussion: Axillary Management Tracy-Ann Moo, MD

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Summary

- Increasing body of evidence demonstrating low rates of axillary recurrence with use of SLNB only in cN1→ypNo, supporting safety
- In HR+/Her 2- disease not otherwise meeting criteria for ALND
 - ALND is in most cases not necessary for adjuvant systemic therapy decision making
- Promising results for pre-operative repeat core needle biopsy/FNA after NAC may help to further tailor axillary surgery in this setting



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OPBC-04/EUBREAST-06/OMA Study



The OPBC-04/EUBREAST-06/OMA Study

Oncological Outcomes Following Sentinel Lymph Node Biopsy (SLNB) or Targeted Axillary Dissection (TAD) in Breast Cancer Patients Downstaging From Node Positive To Node Negative with Neoadjuvant Chemotherapy

Giacomo Montagna, MD, MPH, Mary Mrdutt, MD, Susie X. Sun, MD, Callie Hlavin, MD, Emilia Diego, MD, Stephanie M. Wong, MD, MPH, Andrea V. Barrio, MD, Astrid Botty, MD, Neslihan Cabioglu, MD, PhD, Varadan Sevilimedu, MBBS, DrPH, Laura Rosenberger, MD, MS, Shelley Hwang, MD, Abigail Ingham, MBchB, Bärbel Papassotiropoulus, MD, Bich Doan Nguyen-Sträuli, MD, Christian Kurzeder, MD, Danilo Diaz Aybar, MD, Denise Vorburger, MD, Dieter Michael Matlac, MD, Edvin Ostapenko, MD, Fabian Riedel, MD, Florian Fitzal, MD, Francesco Meani, MD, Franziska Fick, MD, Jaqueline Sagasser, MD, Jörg Heil MD, PhD, Hasan Karanlık, MD, Konstantin J. Dedes, MD, Laszlo Romics, MD, PhD, Maggie Banys-Paluchowski, MD, PhD, Mahmut Muslumanoglu, MD, Maria Del Rosario Cueva Perez, MD, Marcelo Chevaz Diaz, MD, Martin Heidinger, MD, Mathias K. Fehr, MD, Mattea Reinisch, MD, Mustafa Tukenmez, MD, Nadia Maggi, MD, Nicola Rocco, MD, PhD, Nina Ditsch, MD, Oreste Davide Gentilini, MD, Regis R. Paulinelli, MD, PhD, Sebastian Sole Zarhi, MD, Sherko Kümmel, MD, PhD, Simona Bruzas, MD, Simona di Lascio, MD, Tamara Parissenti, MD, Tanya L. Hoskin, MS, Uwe Güth, MD, Valentina Ovalle, MD, Christoph Tausch, MD, Henry M. Kuerer, MD, PhD, Abigail S. Caudle, MD, Jean-Francois Boileau, MD, MSc, Judy C. Boughey, MD, Thorsten Kühn, MD, PhD, Monica Morrow, MD and Walter P. Weber, MD



Background: Axillary Staging in Node-Positive Patients After NAC

- In node-positive patients treated with NAC, 4 prospective studies have demonstrated that the false-negative rate of SLNB is > 10%
- As all patients in these trials had ALND, they did not provide data on axillary recurrence
- Single-center studies have demonstrated low rates of axillary recurrence after SLNB alone but are limited by small sample size and concerns about generalizability

Boughey J, JAMA 2013 Kühn T, Lancet Oncol 2013 Boileau J, J Clin Oncol 2015 Classe J, Breast Cancer Res Treat 2019 Piltin M, Ann Surg Oncol 2020 Martelli G, Ann Surg 2020 Barrio A, JAMA Oncol 2021 Wong S, Ann Surg Oncol 2021 Kahler-Ribeiro S, Eur J Surg Oncol 2021 Cabioglu N, Eur J Surg Oncol 2021 Damin A, Breast Cancer Res Treat 2021



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Surgical Groups



- Dual-tracer mapping: 666 (100%)
- Clip placement: 152/666 (23%)
- Clipped node removed (without localization): 129/154 (86%)
- Median follow-up: 4.2 years

TAD n = 478

Dual-tracer mapping: not required
Clipped node removed: 466/478 (99%)
Localization technique

Radioactive seed: 343/478 (72%)
Wire: 115/478 (24%)
Ultrasound: 11/478 (2.3%)
Other (Magseed, tattoo and wire, seed and wire): 9/478 (1.9%)

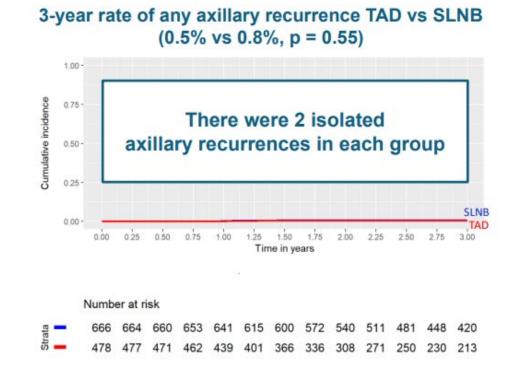
Median follow-up: 2.7 years



Oncological Outcomes with Omission of ALND

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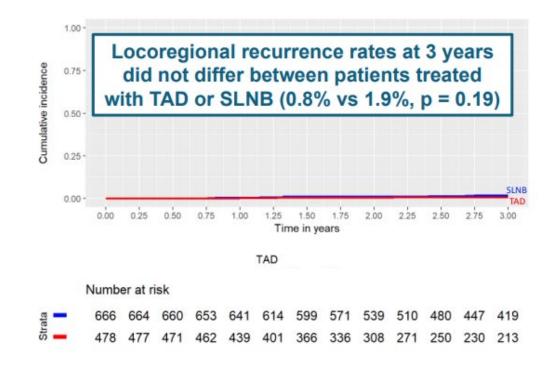
Any Axillary Recurrence (TAD vs SLNB)





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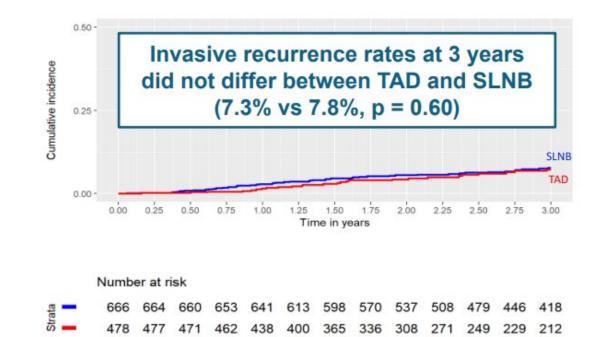
Locoregional Recurrence (TAD vs SLNB)





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Any Invasive Recurrence (TAD vs SLNB)





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Conclusions

- Early axillary recurrence after omission of ALND in node-positive patients who downstage to node negative with NAC is a very rare event
 - was not significantly lower in TAD than in SLNB (in spite of more TAD patients receiving nodal RT)
 - longer follow-up is needed
- Compared to SLNB only, TAD allows for removal of fewer lymph nodes (median: 1)
 - it is unknown whether this difference is clinically meaningful and whether TAD is cost-effective



THANK YOU!





Extra Slides



		Total (N=204)			Total (N=204)
Aae	Mean (SD)	61.1 (10.2)		ER+/Her2-	167 (83.5%)
Number of lesions	Тwo	197 (96.6%)	T	ER-/Her2-	10 (5.0%)
	Three	7 (3.4%)	Tumor Biology	Her2+ (any ER)	23 (11.5%)
Clinical	T1	121 (59.3%)		Missing	4
T Category	T2	83 (40.7%)		SLN only	172 (84.3%)
Clinical	N0	195 (95.6%)	Axillary Surgery	ALND (+/- SLN)	30 (14.7%)
N Category	N1	9 (4.4%)		No ax surgery	2 (1.0%)
	All Ductal	119 (58.3%)	Pathologic T	T1	157 (77.0%)
	All Lobular	16 (7.8%)	Category	T2	45 (22.1%)
Histology	DCIS/Ductal	46 (22.5%)	Category	Т3	2 (1.0%)
	DCIS/Lobular	5 (2.5%)		N0	158 (77.5%)
	Ductal/Lobular	18 (8.8%)	Pathologic N	N1	37 (18.1%)
llicheet	G1 (Low)	53 (26.0%)	Category	N2-3	7 (3.5%)
Highest	G2 (Intermediate)	96 (47.1%)		NX	2 (1.0%)
Histologic Grade	G3 (High)	52 (25.5%)	Adjuvant	Yes	59 (28.9%)
on Biopsy	GX	3 (1.5%)	Chemotherapy	No	145 (71.1%)
Monaine	≥2mm	174 (85.3%)	Adjuvant Endocrine	Yes	175 (89.7%)
Margins	<2mm	30 (14.7%)	Therapy in ER+ BC	No	20 (10.3%)

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Median follow-up of 66.4 months (range: 1.3-90.6)



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Breast MRI

- Initially required, 2015 amended to allow patients without MRI
- 189 patients (92.6%) had MRI, 15 patients (7.4%) no MRI
- Local Recurrence
 - 3/189 with MRI and 3/15 without MRI

	Estimated 5-year LR (95%Cl)	HR (95% CI)	P value
Breast MRI (n=189)	1.7 (0.6 – 5.2)	1.00 (ref)	0.002
No Breast MRI (n=15)	22.6 (7.9 – 55.1)	13.5 (2.7 – 66.9)	0.002

