

Advances in Treatment of HER2+ BC

WAHO 2023

William Gradishar MD FASCO
Betsy Bramsen Professor of Breast Oncology
Chief of Hematology/Oncology
Robert H. Lurie Comprehensive Cancer Center
Northwestern University

Disclosure of Conflicts of Interest

- William Gradishar, MD, FASCO has no relevant financial relationships to disclose.

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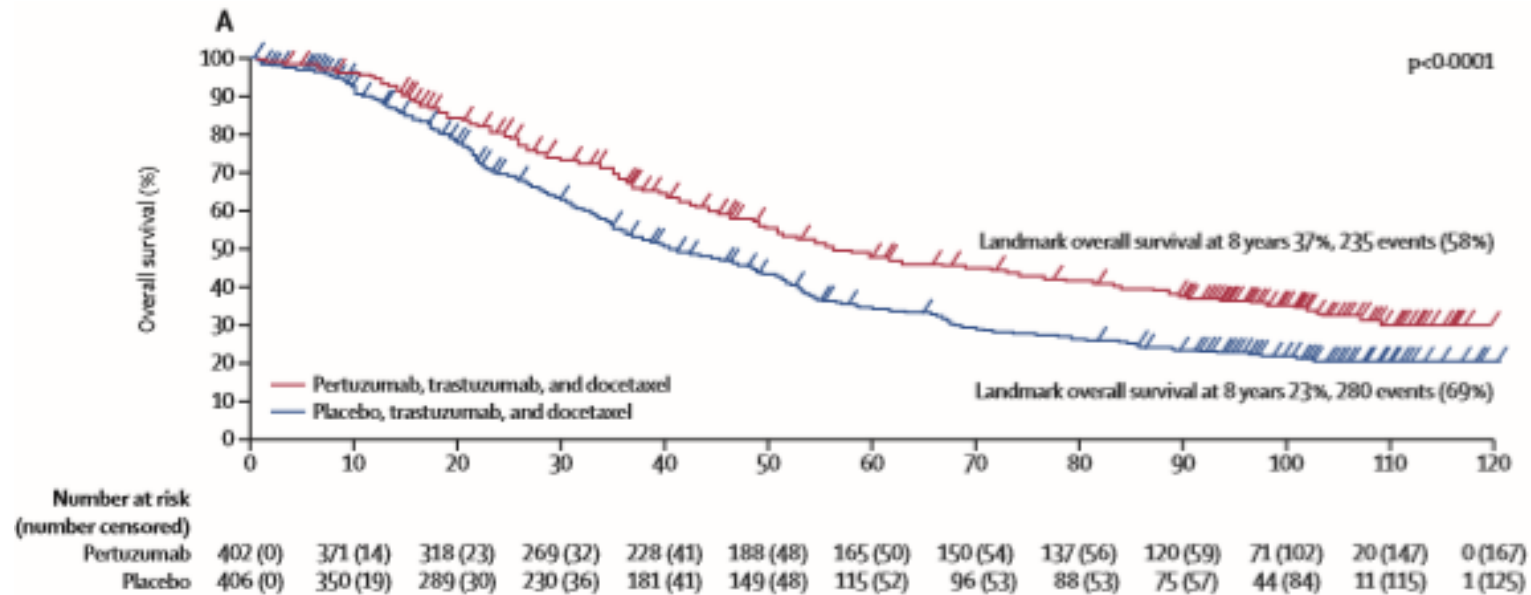
SYSTEMIC THERAPY REGIMENS FOR RECURRENT UNRESECTABLE (LOCAL OR REGIONAL) OR STAGE IV (M1) DISEASE¹

HER2-Positive			
Setting	Regimen	NCCN Category of Preference	NCCN Category of Evidence
First line ^k	Pertuzumab + trastuzumab + docetaxel ^m	Preferred Regimen	1
	Pertuzumab + trastuzumab + paclitaxel ^m	Preferred Regimen	2A
Second line ^l	Fam-trastuzumab deruxtecan-nxki ^{l,n,o}	Preferred Regimen	1
	Ado-trastuzumab emtansine (T-DM1) ^l	Other Recommended Regimen	2A
Third line and beyond (optimal sequence is not known)	Tucatinib + trastuzumab + capecitabine ^{m,p}	Other Recommended Regimen ^p	1
	Trastuzumab + docetaxel or vinorelbine ^{m,q}	Other Recommended Regimen	2A
	Trastuzumab + paclitaxel ± carboplatin ^{m,q}	Other Recommended Regimen	2A
	Capecitabine + trastuzumab or lapatinib ^{m,q}	Other Recommended Regimen	2A
	Trastuzumab + lapatinib ^{m,q} (without cytotoxic therapy)	Other Recommended Regimen	2A
	Trastuzumab + other agents ^{m,q,r,s}	Other Recommended Regimen	2A
	Neratinib + capecitabine ^q	Other Recommended Regimen	2A
Margetuximab-cmkb + chemotherapy ^q (capecitabine, eribulin, gemcitabine, or vinorelbine)	Other Recommended Regimen	2A	
Additional targeted therapy options (See BINV-R)			

CLEOPATRA: End-of Study Results

Median follow-up was 99.9 months in the pertuzumab group (IQR 92.9–106.4) and 98.7 months (90.9–105.7) in the placebo group

End-of-Study OS in ITT Population*

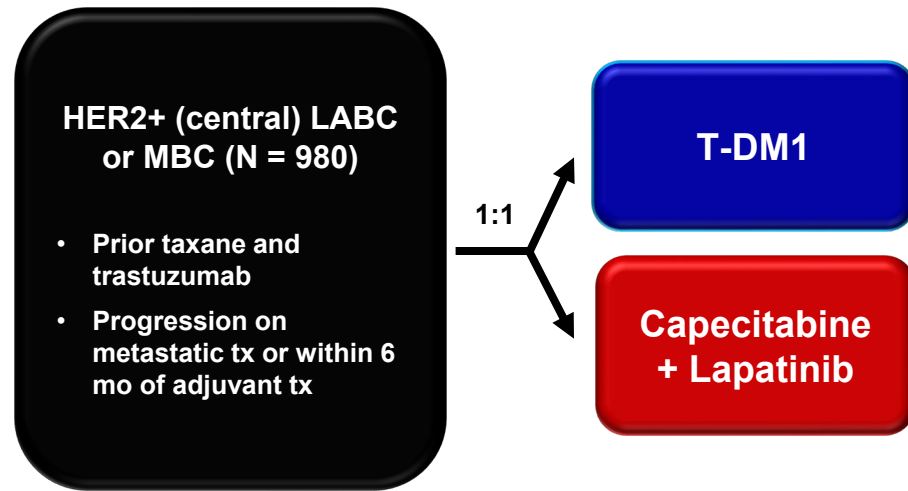


*Crossover patients were analyzed in the placebo arm.

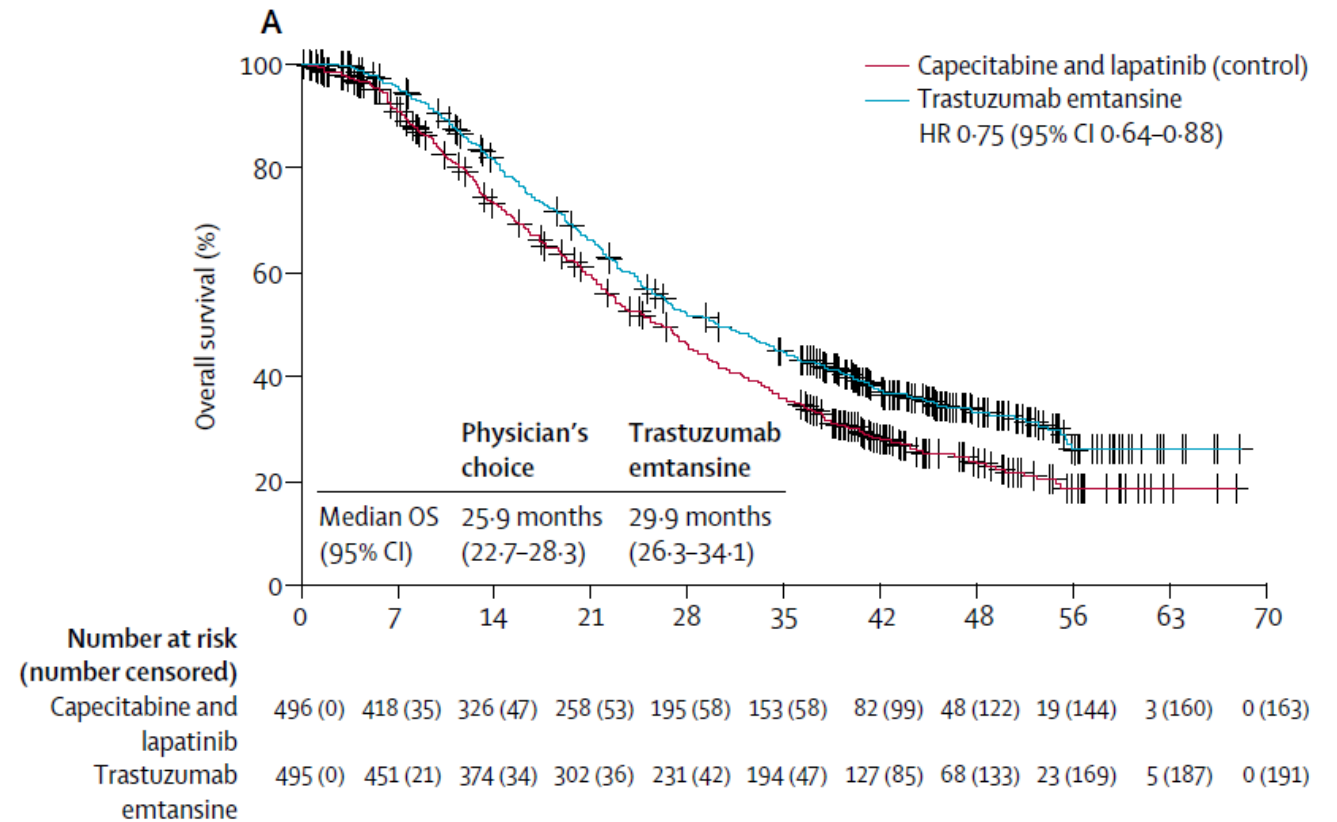
Median OS,
Mos (95% CI)

Pertuzumab + Trastuzumab/Doc	57.1
Placebo + Trastuzumab/Doc	40.8

T-DM1: Standard Second-Line Therapy

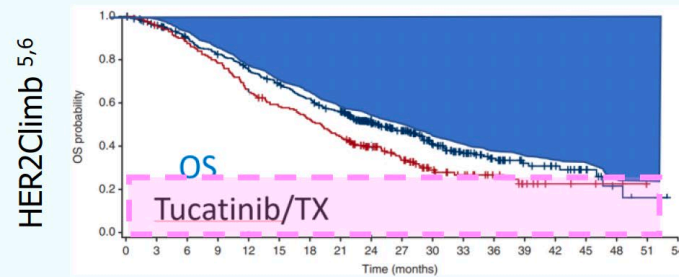
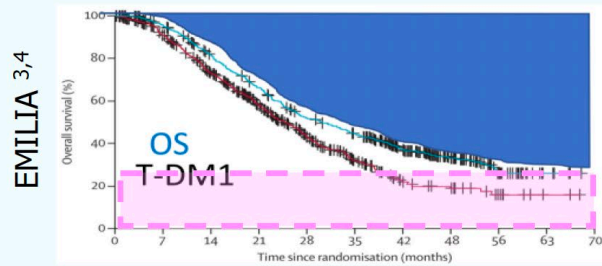
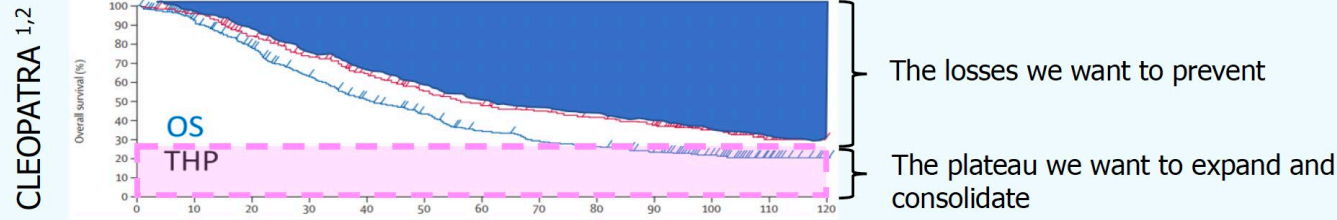


EMILIA



Overall Survival

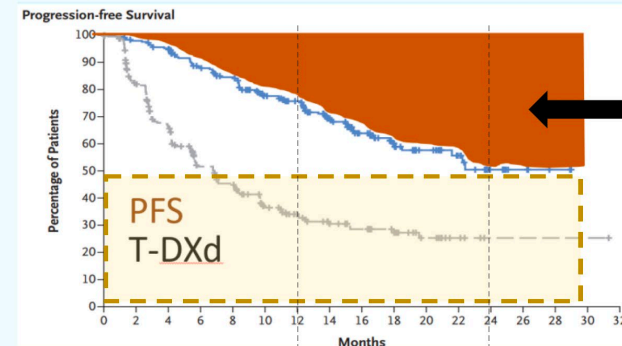
Why do we need more anti-HER2 treatment options?



Adapted from: 1. Swain SM, et al. Lancet Oncol 2020; 2. Swain SM, et al. N Engl J Med 2015; 3. Dieras V, et al. Lancet Oncol 2017; 4. Verma S, et al. N Engl J Med 2012; 5. Murthy R, et al. N Engl J Med 2020; 6. Curigliano G, et al. Ann Oncol 2022

Why do we need more anti-HER2 treatment options?

DestinyBReAST-03 ¹



1. Adapted from Cortes J, et al N Engl J Med 2022

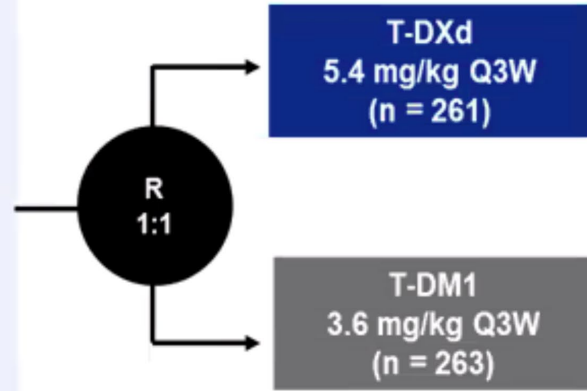
Clinical Trial Design (Phase III- Destiny-Breast03)

Patients

- Unresectable or metastatic HER2-positive^a breast cancer
- Previously treated with trastuzumab and taxane in advanced/metastatic setting^b
- Could have clinically stable, treated brain metastases

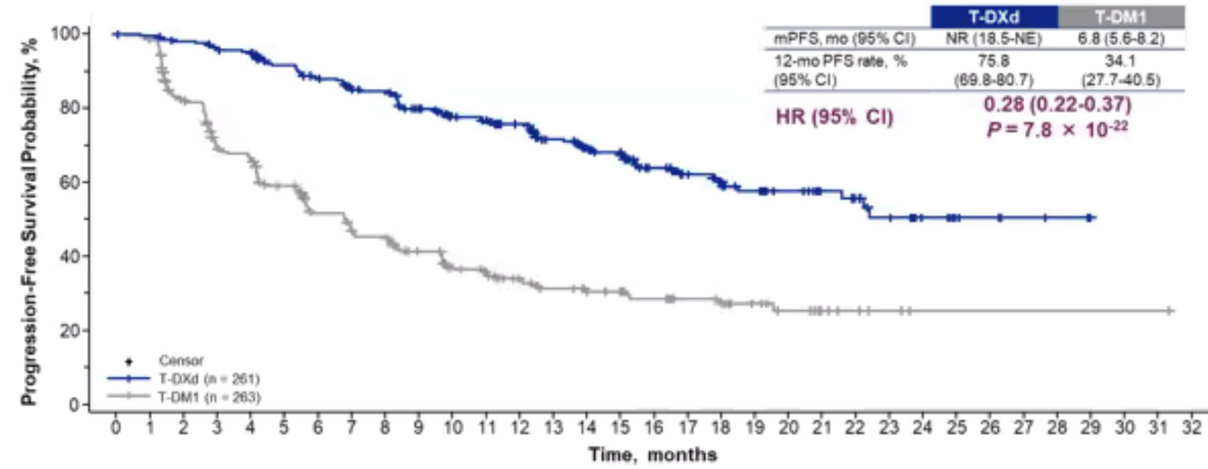
Stratification factors

- Hormone receptor status
- Prior treatment with pertuzumab
- History of visceral disease

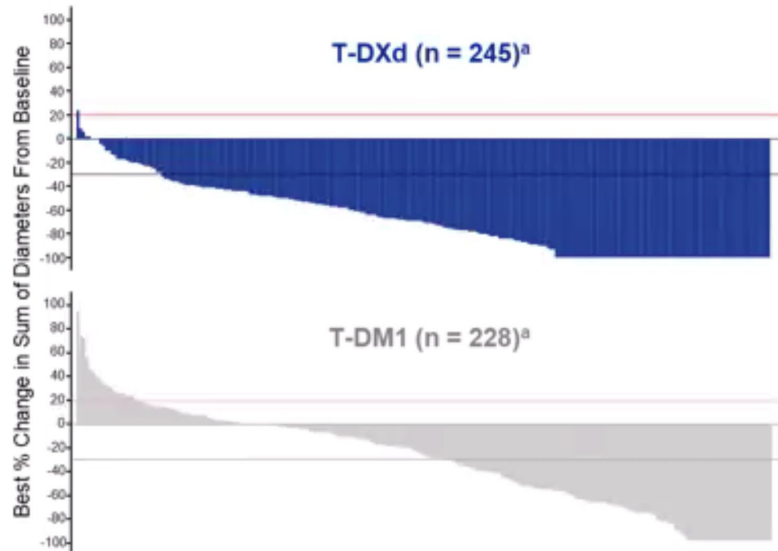


Destiny-Breast 03 Study

PFS

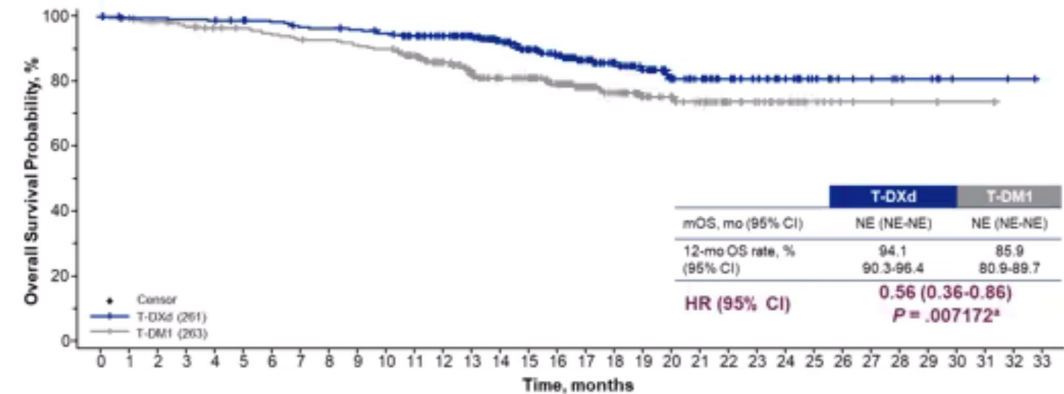


ORR

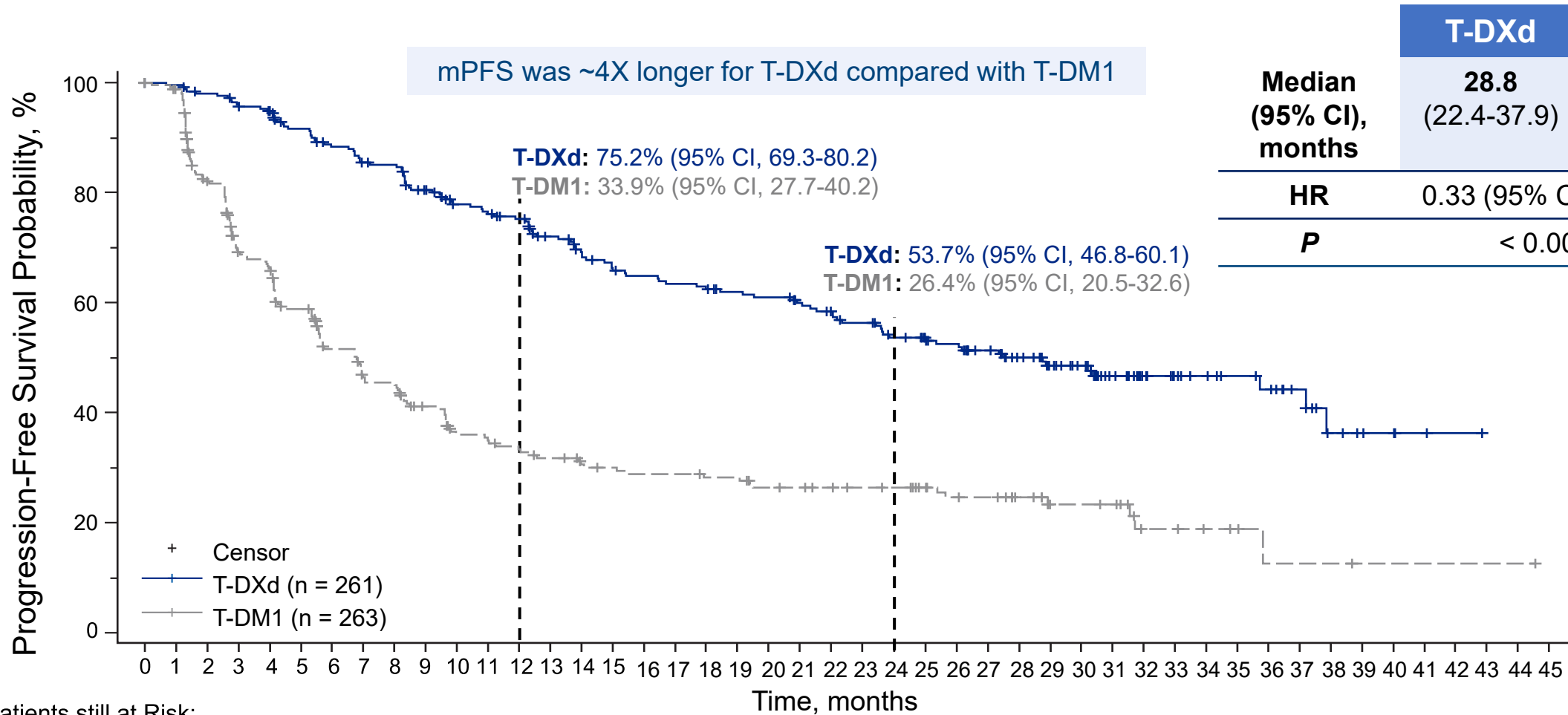


	T-DXd (n = 261)	T-DM1 (n = 263)
Confirmed ORR		
n (%) ^b	208 (79.7)	90 (34.2)
[95% CI]	[74.3-84.4]	[28.5-40.3]
<i>P</i> < .0001		
CR	42 (16.1)	23 (8.7)
PR	166 (63.6)	67 (25.5)
SD	44 (16.9)	112 (42.6)
PD	3 (1.1)	46 (17.5)
Not evaluable	6 (2.3)	15 (5.7)
CR + PR + SD (DCR)	252 (96.6)	202 (76.8)

OS



Updated Primary Endpoint: PFS by BICR

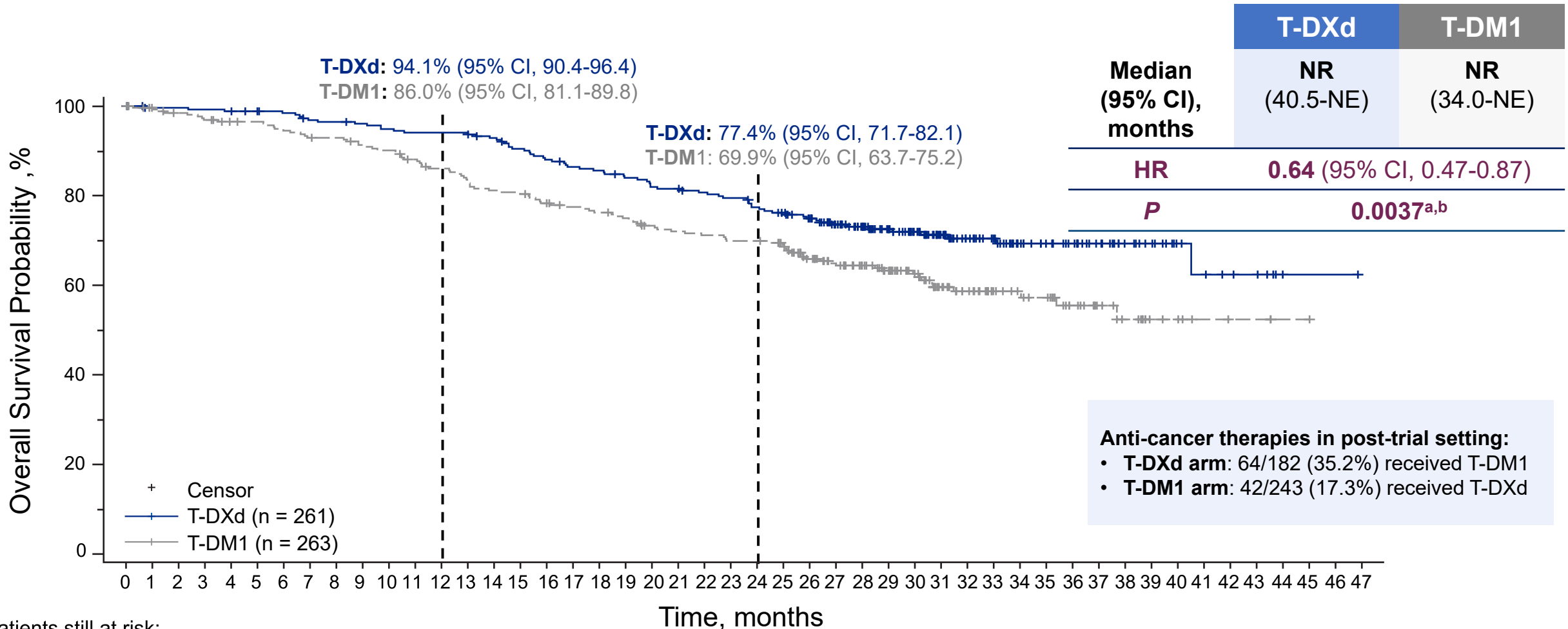


	T-DXd	T-DM1
Median (95% CI), months	28.8 (22.4-37.9)	6.8 (5.6-8.2)
HR	0.33 (95% CI, 0.26-0.43)	
P	< 0.000001 ^{a,b}	

Patients still at Risk:

T-DXd	261	256	250	244	240	225	216	207	205	191	176	173	167	154	146	140	134	131	130	125	123	117	113	107	99	96	90	82	73	64	55	41	32	28	23	20	18	13	7	5	4	2	1	0			
T-DM1	263	253	201	164	156	134	111	99	96	81	69	67	63	58	54	51	49	49	47	47	42	41	39	37	36	32	28	27	22	19	15	14	8	7	6	4	2	2	2	1	1	1	1	1	1	1	0

Key Secondary Endpoint: Overall Survival



Patients still at risk:

T-DXd	261	256	256	255	254	251	249	244	243	241	238	236	236	236	231	224	218	213	211	206	201	200	196	193	187	182	173	156	142	124	109	91	73	64	51	44	38	30	22	18	11	9	7	6	1	1	1	0
T-DM1	263	257	252	248	243	242	237	233	232	227	224	217	211	203	199	197	191	186	183	179	172	169	167	164	164	158	140	129	117	106	90	70	59	45	41	38	27	20	15	8	7	4	3	3	1	1	0	

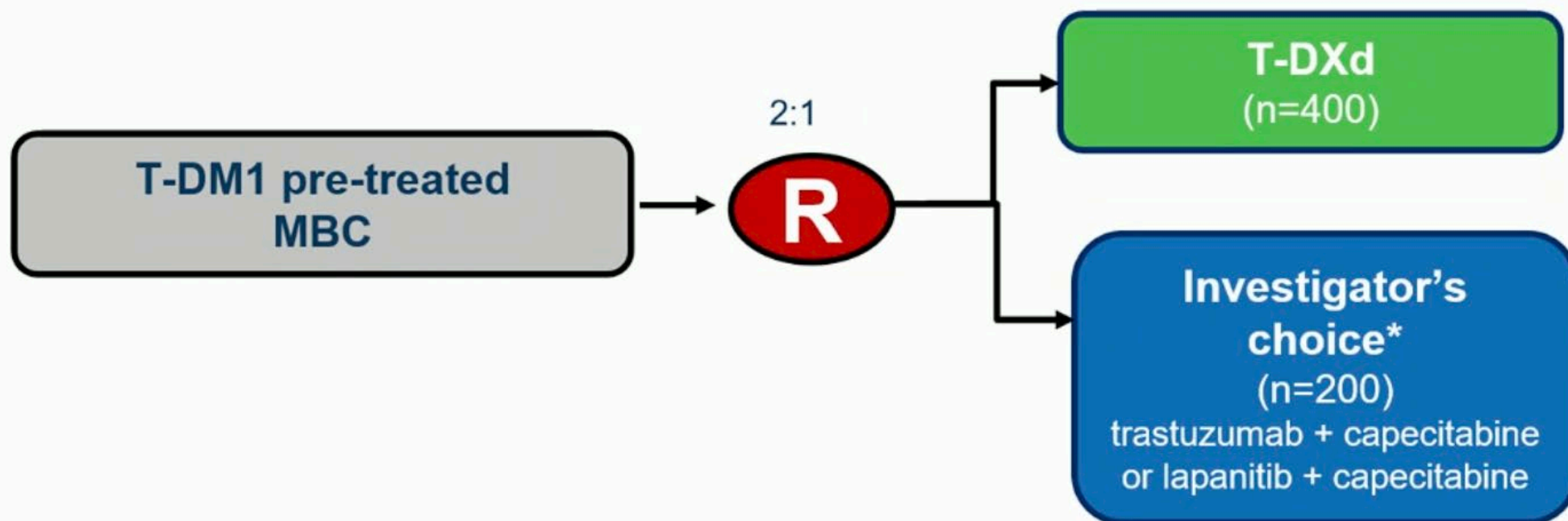
Adjudicated Drug-Related Interstitial Lung Disease/Pneumonitis

	Grade 1	Grade 2	Grade 3	Grade 4	Grade 5	Any grade
T-DXd (n = 257)	11 (4.3)	26 (10.1)	2 (0.8)	0	0	39 (15.2)
T-DM1 (n = 261)	4 (1.5)	3 (1.1)	1 (0.4)	0	0	8 (3.1)

- Adjudicated drug-related ILD/pneumonitis rates were similar to other mBC trials with T-DXd^{1,2}
- With longer treatment exposure and follow-up, the ILD/pneumonitis rate increased from 10.5% in the PFS interim analysis³ to 15.2%
 - There were 4 additional grade 1, 8 additional grade 2, and no additional grade 3 events
- The overall incidence of grade 3 events (0.8%) was the same as in the PFS interim analysis³
- There were no adjudicated drug-related grade 4 or 5 events

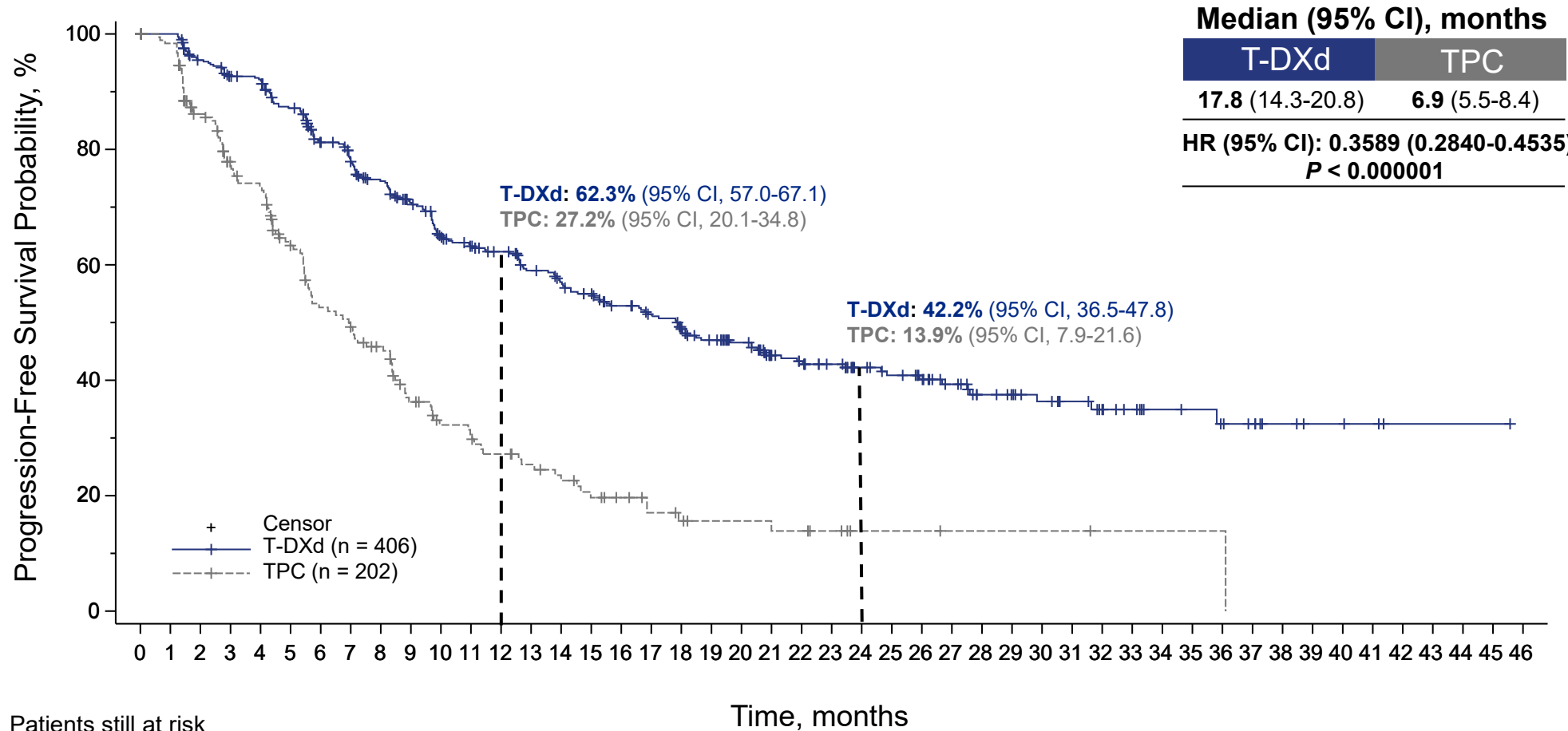
Hurvitz S, GS2-02, SABCS 2022

DESTINY-Breast02 Trial for HER2+ MBC



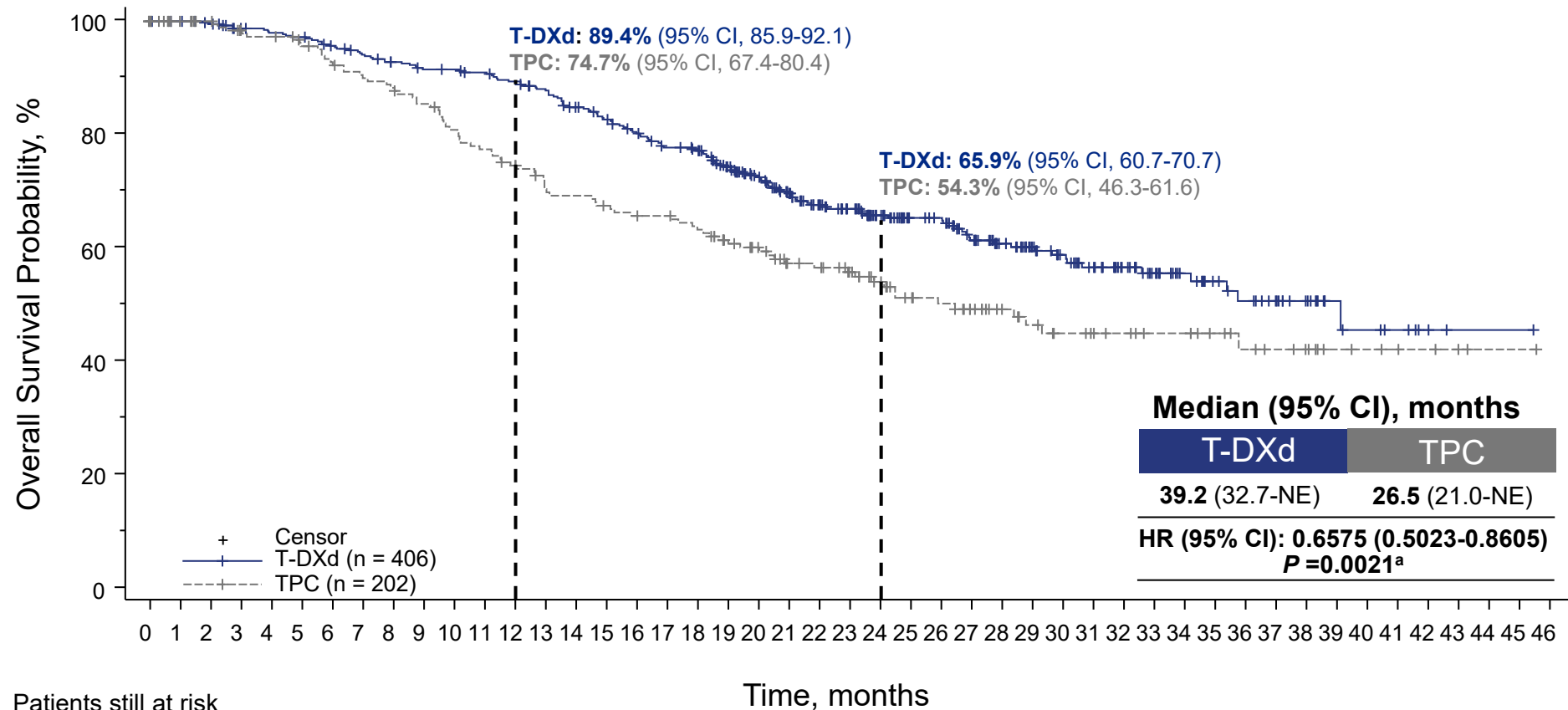
Positive Trial for Dual Primary Endpoints of PFS and OS!

Primary Endpoint: PFS by BICR



BICR, blinded independent central review; HR, hazard ratio; mo, month; PFS, progression-free survival; T-DXd, trastuzumab deruxtecan; TPC, treatment of physician's choice.

Key Secondary Endpoint: OS



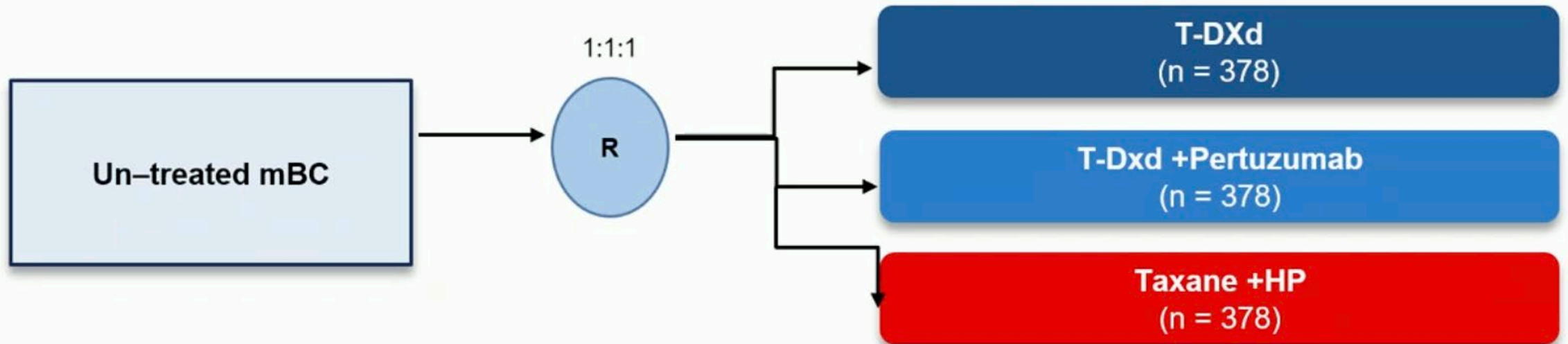
Time (months)	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32	33	34	35	36	37	38	39	40	41	42	43	44	45	46
T-DXd (406)	406	404	400	390	385	382	374	366	357	352	350	346	339	331	317	306	295	282	277	257	234	215	196	183	160	144	139	122	104	93	82	72	63	51	40	34	29	25	19	10	8	6	3	1	1	1	0
TPC (202)	202	192	187	182	178	173	167	161	157	151	142	136	130	124	118	114	111	110	106	95	89	79	76	72	61	53	50	46	38	33	29	28	25	22	22	18	15	13	12	7	6	5	4	3	1	1	0

In the TPC arm

- **69.3% (140/202) of patients received a new systemic anticancer treatment**
- **25.7% (52/202) of patients received T-DXd in the post-trial setting**

^aThe boundary for statistical significance is 0.0040. HR, hazard ratio; mo, month; NE, not estimable; OS, overall survival; T-DXd, trastuzumab deruxtecan; TPC, treatment of physician's choice.

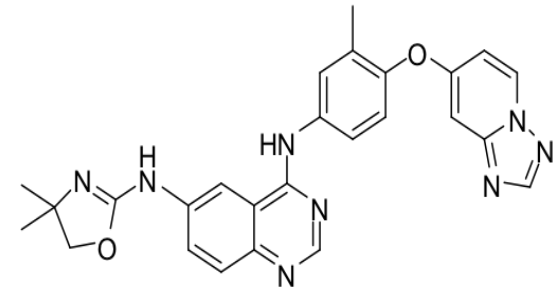
DESTINY Breast-09 Trial : 1st Line HER2+ MBC



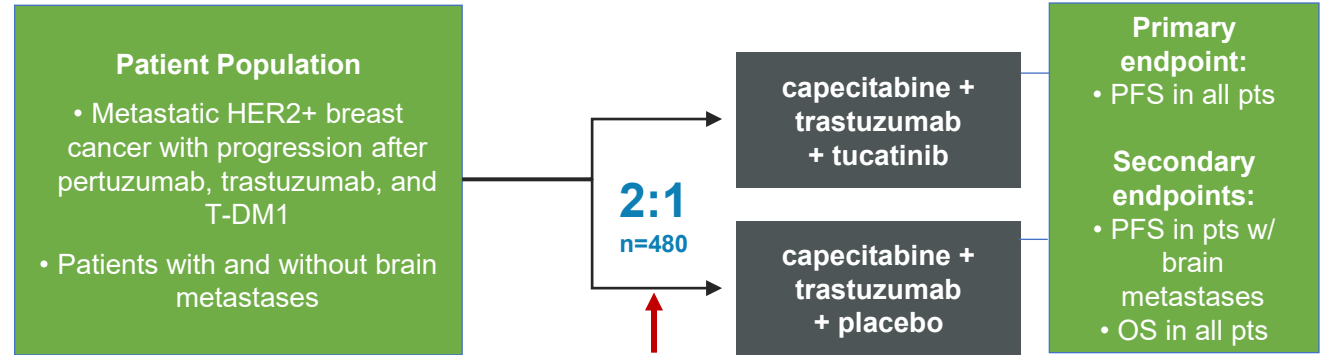
Primary endpoint: PFS

HER2CLIMB Pivotal Trial Design: Capecitabine/Trastuzumab +/- Tucatinib

Tucatinib

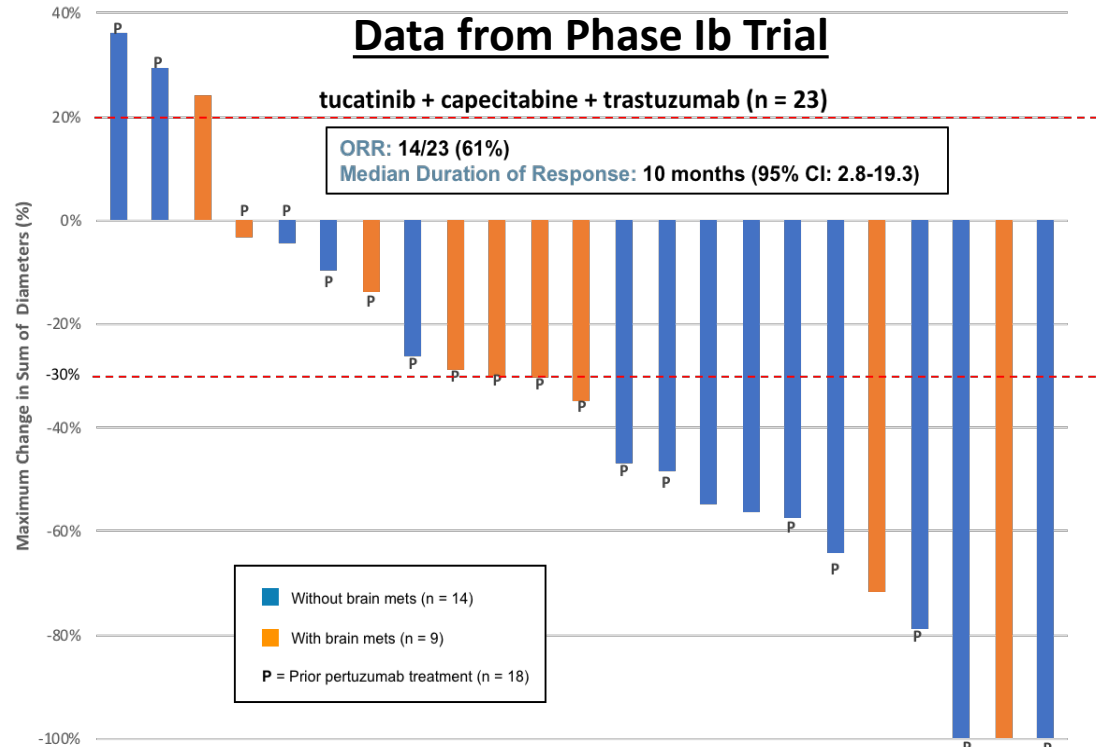


Compound	Cellular Selectivity Data	
	HER2 IC ₅₀ (nM)	EGFR IC ₅₀ (nM)
tucatinib	8	>10,000
neratinib	7	8
lapatinib	49	31

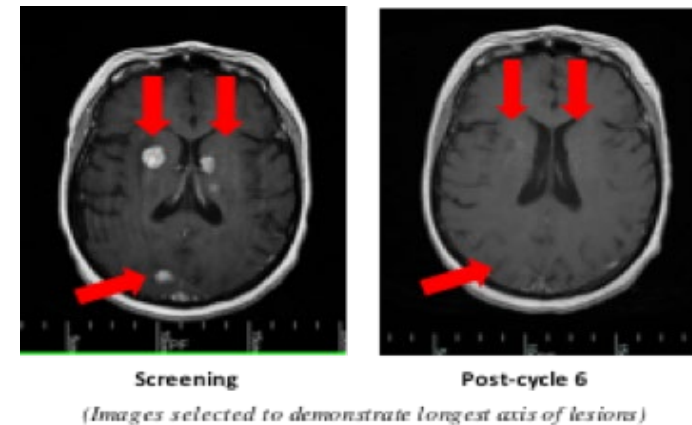


Sample size ↑'ed to N = 612 [NCT02614794]

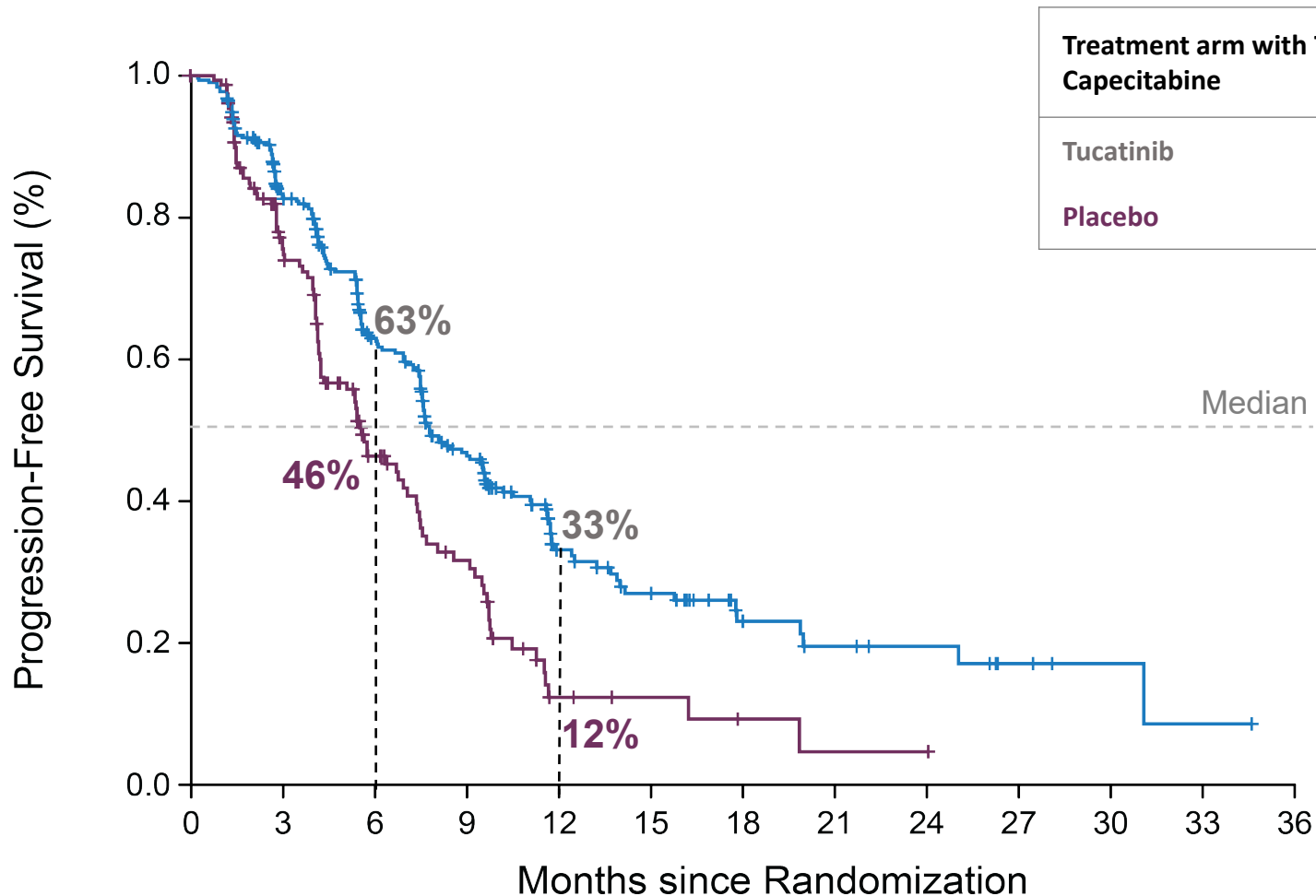
*CNS metastases = 48%
Untreated 22% and
Treated, progressing 18%



Note: Bars represent change in measurable lesions but some patients also have nonmeasurable lesions. In addition, 4 patients in the Triplet cohort had nonmeasurable lesions only and are therefore not able to be represented on the waterfall.



Progression-Free Survival with Tucatinib Added to Capecitabine and Trastuzumab in HER2+ MBC (Including with Brain Metastases): HER2CLIMB Study Results



No. at Risk	0	3	6	9	12	15	18	21	24	27	30	33	36
TUC+Tras+Cape 320	320	235	152	98	40	29	15	10	8	4	2	1	0
Pbo+Tras+Cape 160	160	94	45	27	6	4	2	1	1	0	0	0	0

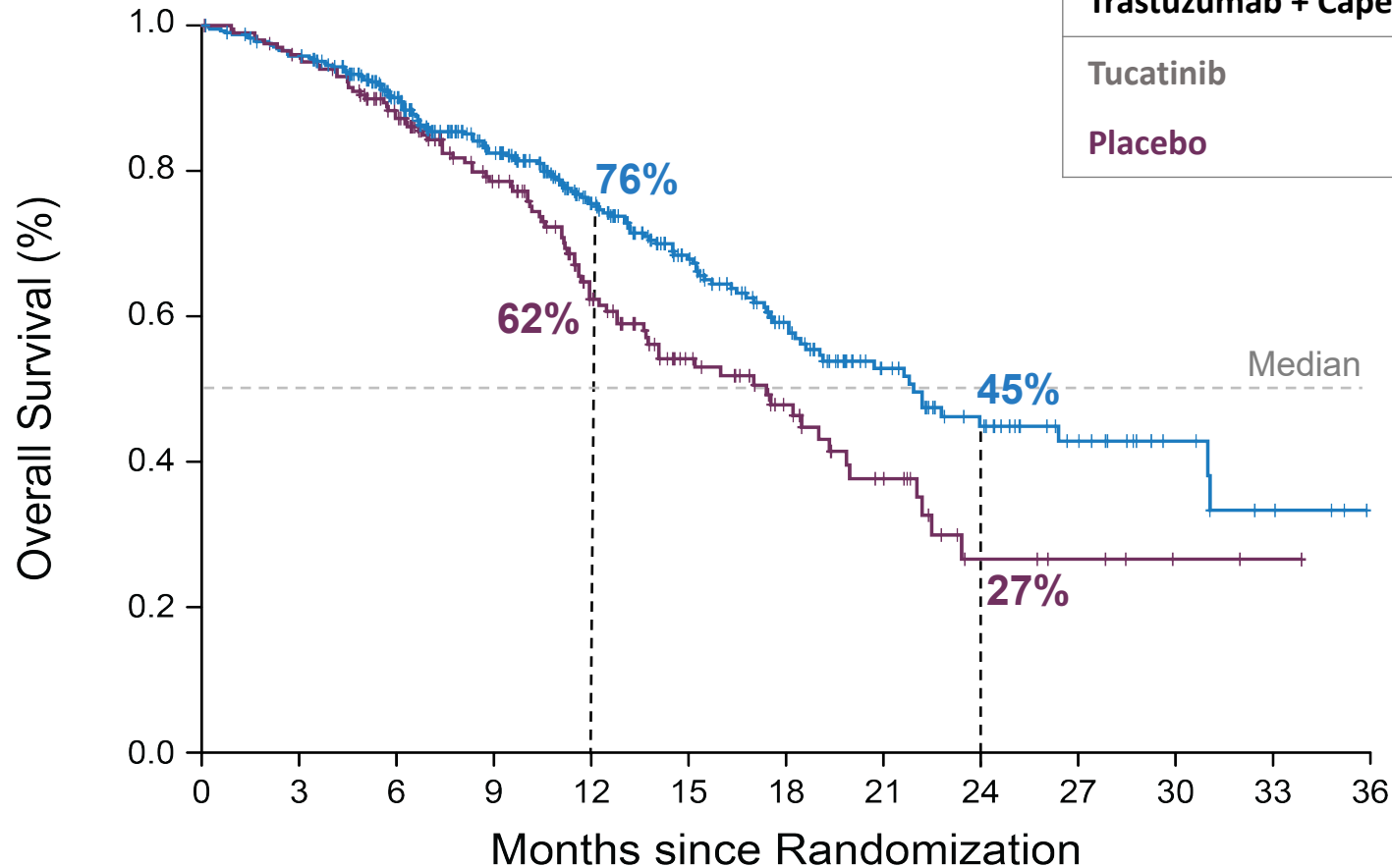
Treatment arm with Trastuzumab + Capecitabine	Events, N=480	HR (95% CI)	P Value
Tucatinib	178/320	0.54 (0.42, 0.71)	<0.00001
Placebo	97/160		

Risk of progression or death was reduced by 46% in the primary endpoint population	
One-year PFS (95% CI):	
Tucatinib 33% (27, 40)	Placebo 12% (6, 21)
Median PFS (95% CI):	
7.8 months (7.5, 9.6)	5.6 months (4.2, 7.1)

Prespecified efficacy boundary for PFS: P=0.05
Data cut off: Sep 4, 2019

Overall Survival with Tucatinib Added to Capecitabine and Trastuzumab in HER2+ MBC (Including with Brain Metastases): HER2CLIMB Study Results

Treatment arm with Trastuzumab + Capecitabine	Events N=612	HR (95% CI)	P Value
Tucatinib	130/410	0.66 (0.50, 0.88)	0.00480
Placebo	85/202		



No. at Risk	0	3	6	9	12	15	18	21	24	27	30	33	36
TUC+Tras+Cape 410	410	388	322	245	178	123	80	51	34	20	10	4	0
Pbo+Tras+Cape 202	202	191	160	119	77	48	32	19	7	5	2	1	0

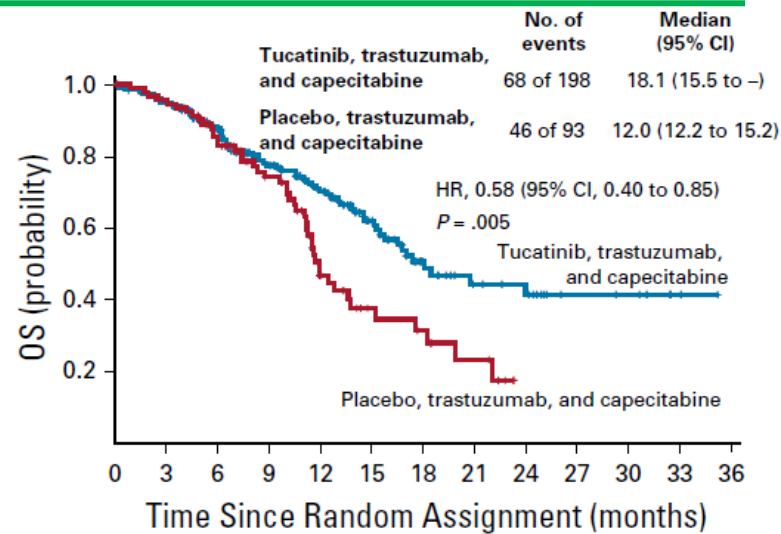
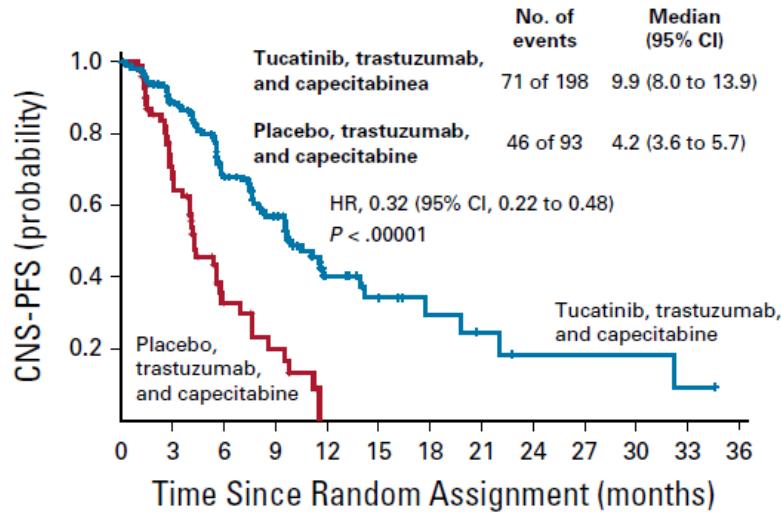
Risk of death was reduced by 34% in the total population	
Two-year OS (95% CI):	
Capecitabine	Placebo
45%	27%
(37, 53)	(16, 39)
Median OS (95% CI):	
21.9 months	17.4 months
(18.3, 31.0)	(13.6, 19.9)

Prespecified efficacy boundary for OS (P=0.0074) was met at the first interim analysis.

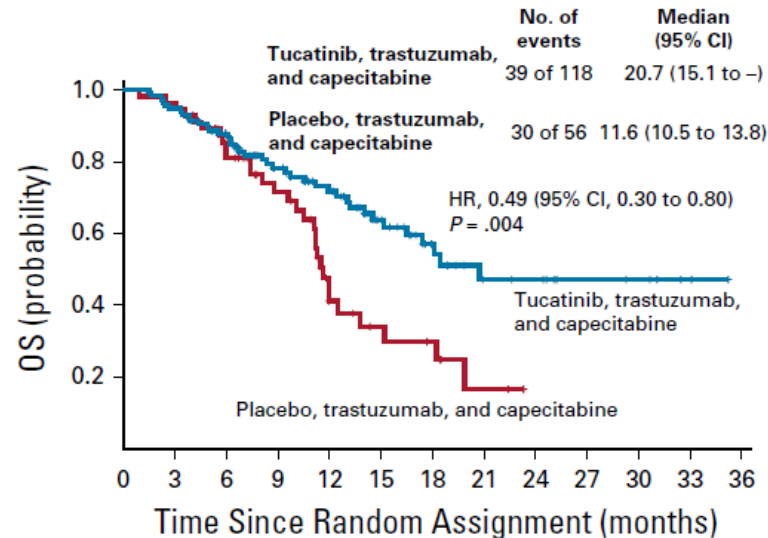
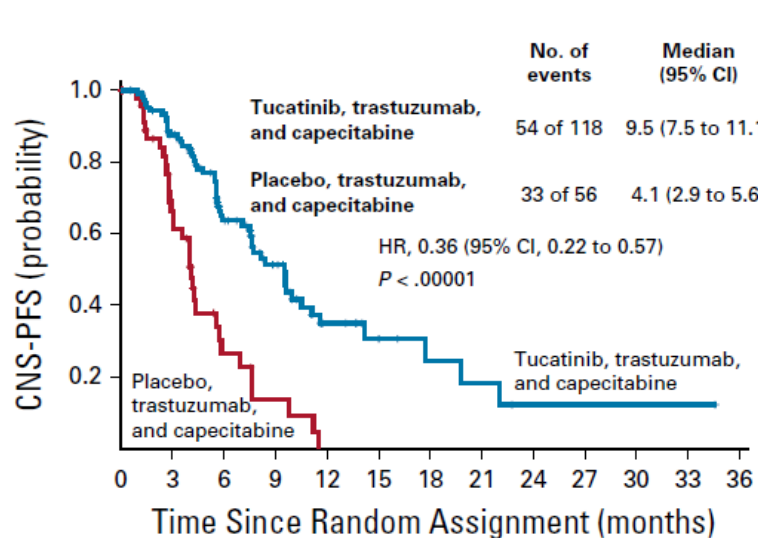
Data cut off: Sep 4, 2019

Intracranial CNS-Specific Outcomes: HER2CLIMB Study Results

Patient with Brain Metastases (active or treated/stable)



Patient with Brain Metastases (active)



Intra-Cranial CNS Response (RECIST) N=75	Tucatinib N=55 N (%)	Placebo N=20 N (%)
CR	3 (5.5)	1 (5.0)
PR	23 (41.8)	3 (15.0)
SD	24 (43.6)	16 (80.0)
PD	2 (3.6)	0
Not Available	3 (5.5)	0
Confirmed ORR	26 (47.3)	4 (20.0)
95% CI	33.7-61.2%	5.7-43.7%
Stratified p-value	0.03	
DOR (months)	6.8	3.0

CR=complete response; PR=partial response; SD=stable disease; PD=progressive disease; ORR=objective response rate (CR+PR); DOR=duration of intracranial response

T-DXd in Breast cancer brain metastases

Table 1. Studies on T-DXd in brain metastases from advanced HER2-positive breast cancer.

Study	Type of study	Number of patients with BM	Intracranial response	Intracranial PFS
DESTINY-Breast 01 NCT03248492 (10)	Single-arm phase II	24 with asymptomatic BM	ORR: 58.3% CR: 4.2% PR: 54.2% SD: 33.3%	Median: 18.1 months
DESTINY-Breast 03 NCT03529110 (11)	Phase III randomized (T-DXd vs. T-DM1)	62 (T-DXd arm) and 52 (T-DM1) stable BM	T-DXd arm: • ORR: 63.9% • CR: 27.8% • PR: 36.1% T-DM1 arm: • ORR: 33.4% • CR: 2.8% • PR: 30.6%	T-DXd arm: median: 15.0 months T-DM1 arm: median: 5.7 months
TUXEDO-1 NCT04752059 (12)	Single-arm phase II	15: • 6 stable/untreated BM • 9 active/progressing BM after local therapy	ORR: 73.3% CR: 13.3% PR: 60.0% SD: 33.3% Per protocol population: ORR 78.6%	Median: 14.0 months
DEBBRAH NCT04420598 (13)	Single-arm phase II	21: • Cohort 1: 8 HER2 stable BM after surgery and/or RT • Cohort 2: 4 HER2 ⁺ asymptomatic untreated BM • Cohort 3: 9 HER2 ⁺ progressing BM after surgery and/or RT	Cohort 2: • ORR: 50.0% Cohort 3: • ORR: 44%	At 6 months: 78.7%
Kabraji et al. (1)	Retrospective	15 asymptomatic or active/progressing BM	ORR: 73.0% PR: 73.3% SD: 13.3%	Median: 7.0 to not reached 12 months: 74.7%

Modi S NEJM 2022; Cortes J NEJM 2022; Bartsch R Nature Med 2022; Perez-Garcia JM Neuro Oncol 2022; Kabraji S Clin Cancer Res 1;2023;

CNS Activity of TDXd in Pts with HER2+ Breast Cancer Brain Metastases

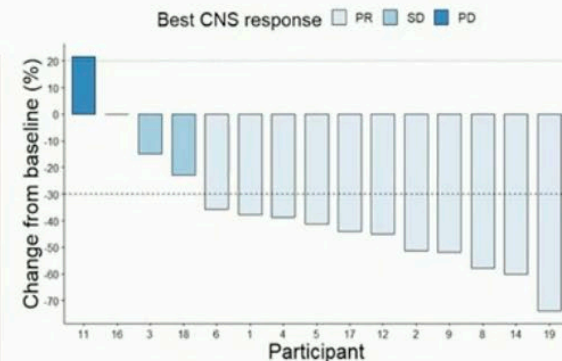
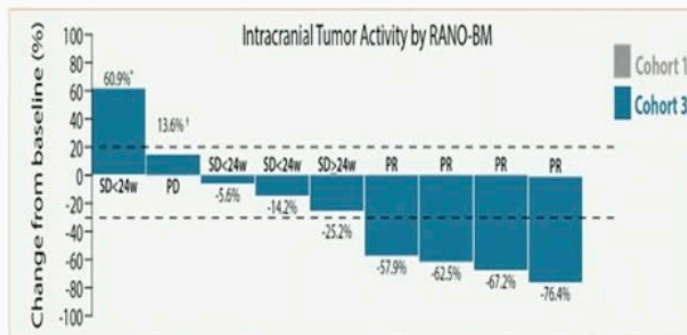
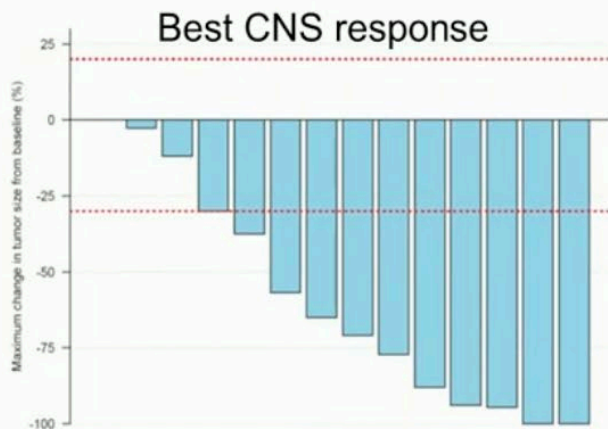


Figure 5 : Best CNS response to T-DXd. Waterfall plot of best CNS response in participants with measurable disease (n = 15). PR = partial response

TUXEDO-1 trial
Bartsch et al, ESMO Breast 2022

ORR-IC = **73%** in pts with active BM

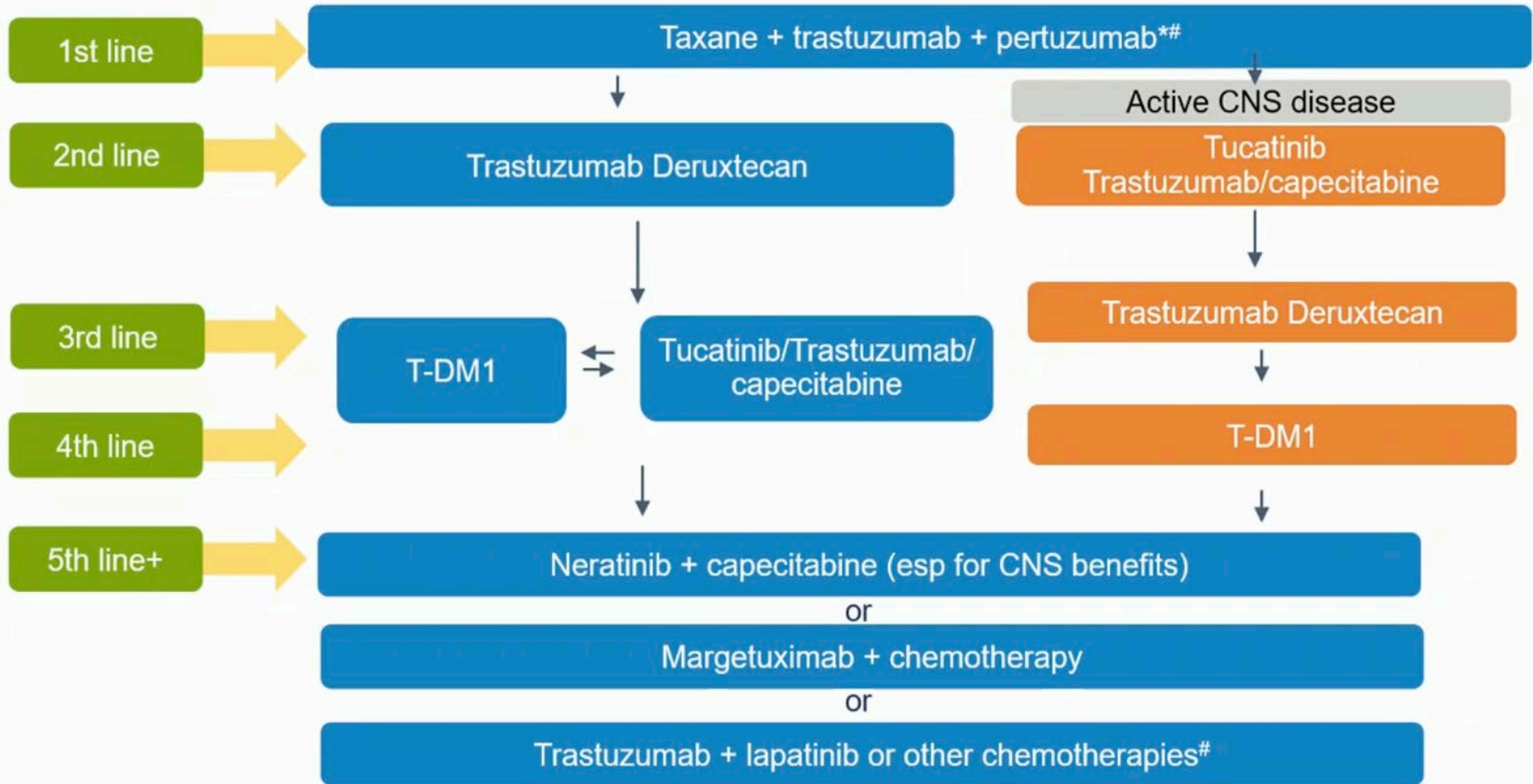
DEBBRAH trial
Vaz Batista et al, SABCS 2021

ORR-IC = **44%** in pts with Active BM

DFCI/Duke/MDACCC series
Kabraji et al, SABCS 2021

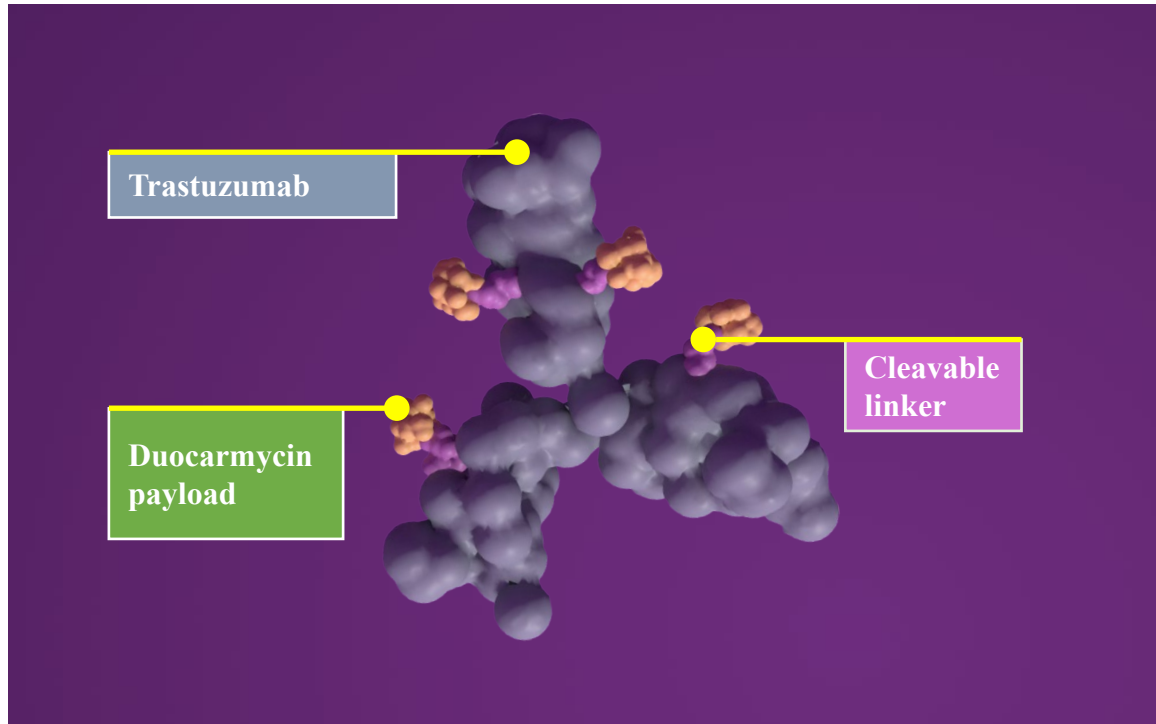
ORR-IC = **73%**
(70% in pts with active BM)

2023 Approach to Therapy for Metastatic HER2+ BC:



*AI+TP in select cases and for maintenance in ER+ disease: # endocrine Tx + HER2 therapy at clinically appropriate points for ER+ MBC

Trastuzumab Duocarmazine (SYD985)^{1,2}

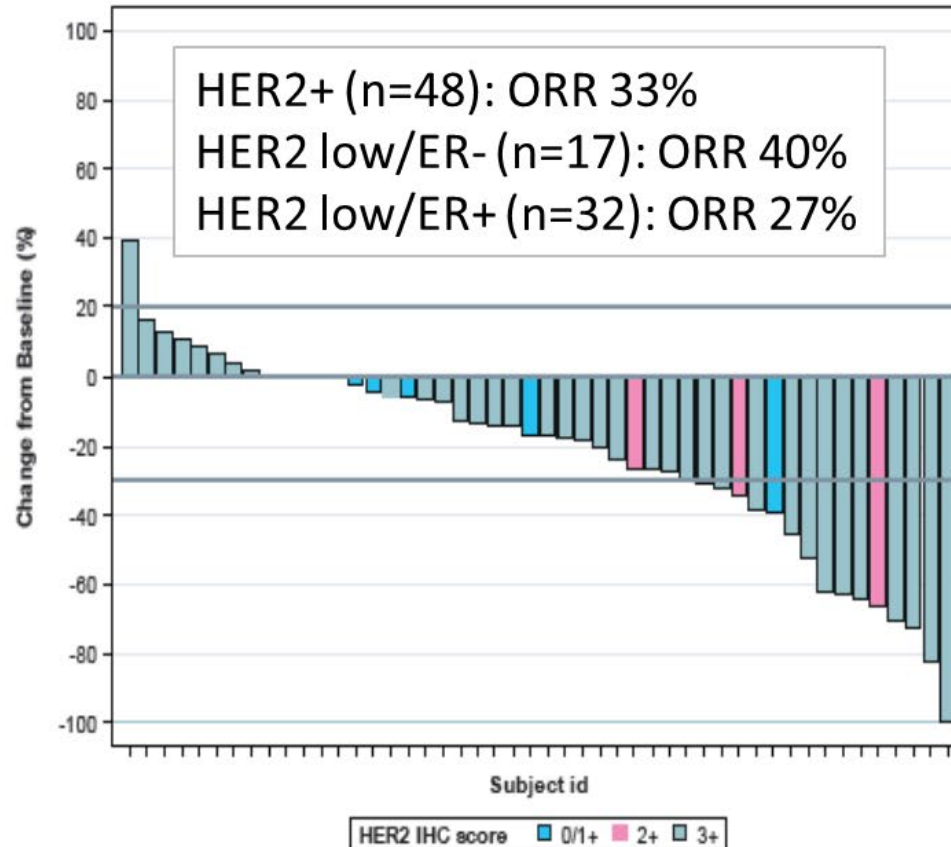


- HER2-targeting ADC¹
- Duocarmycins are DNA-alkylating agents composed of a DNA-alkylating and a DNA-binding moiety²

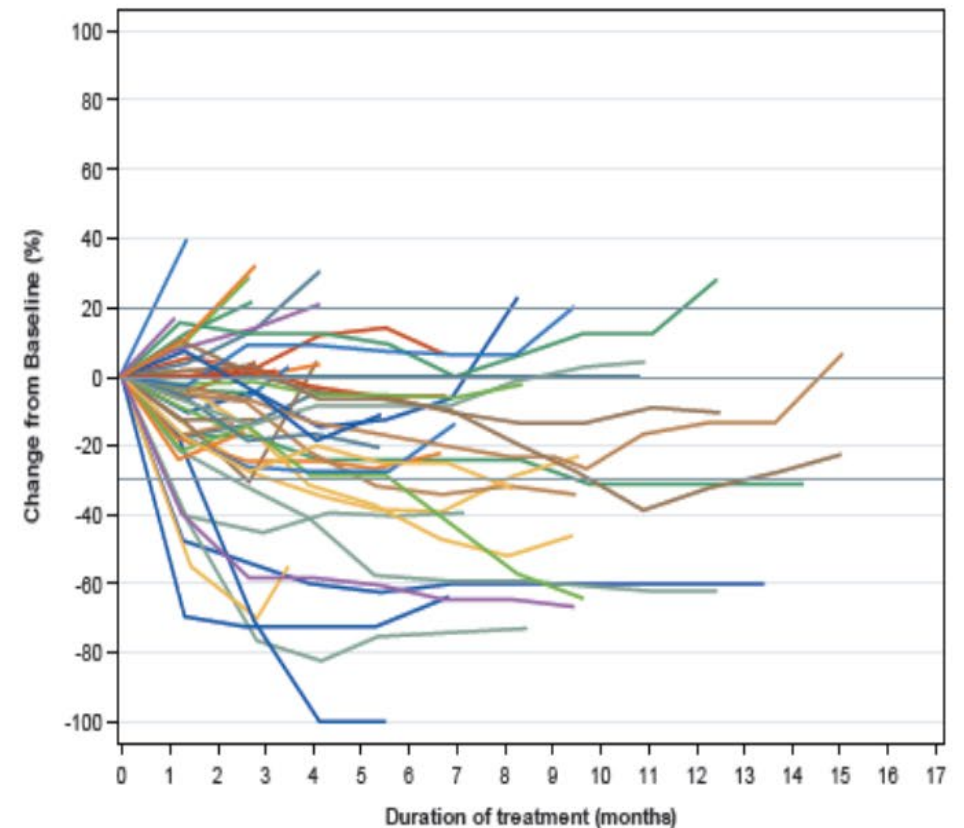
Trastuzumab-Duocarmazine SYD-985

Clinical Trial Design (Phase I)

Best percentage change from baseline in target lesions



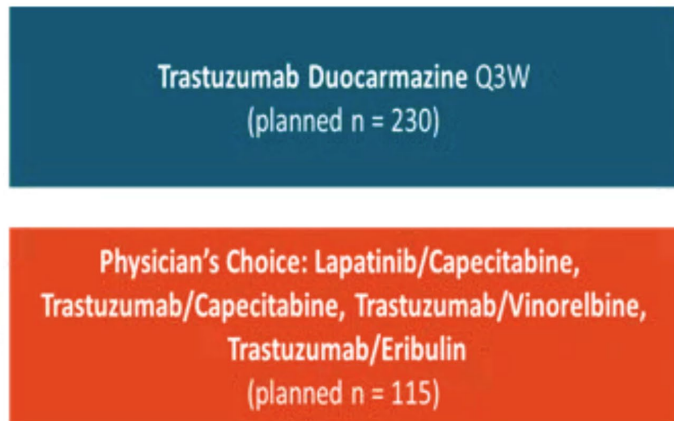
Percentage change from baseline in target lesions over time



New Antibody-Drug Conjugates Trastuzumab-Duocarmazine

Clinical Trial Design (TULIP)

Patients with HER2+, unresectable, locally advanced and/or metastatic BC; progression on or after ≥ 2 HER2-targeted regimens or after T-DM1; ECOG PS 0-2 (Planned N = 345)

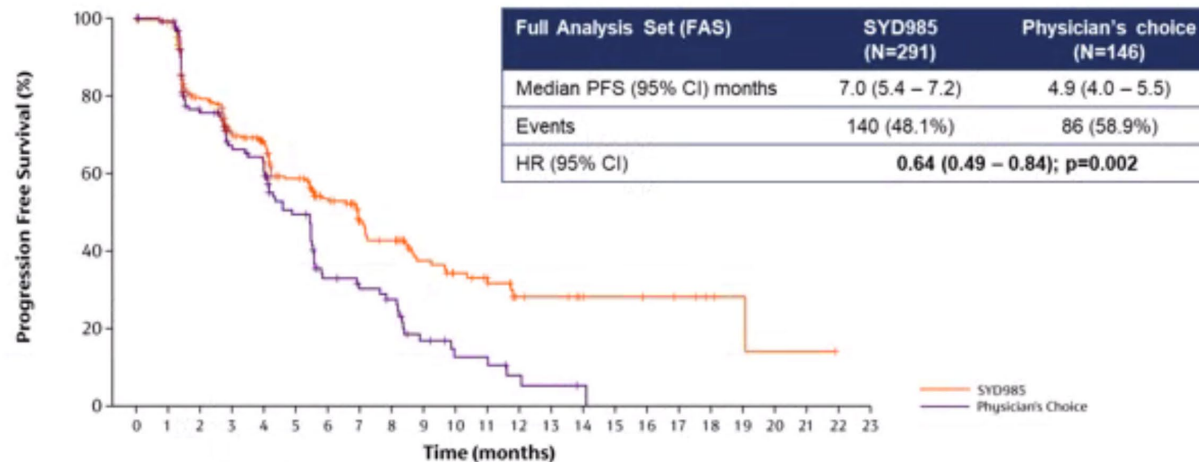


ORR

Number of patients with	SYD985 (N=291)	Physician's choice (N=146)
Measurable disease at baseline	252 (86.6%)	122 (83.6%)
Overall Response Rate [#] (PR or CR)	70 (27.8%)	36 (29.5%)
Reduction Target lesion measurement [#]	177 (70.2%)	71 (58.2%)
Clinical Benefit Rate	112 (38.5%)	47 (32.2%)

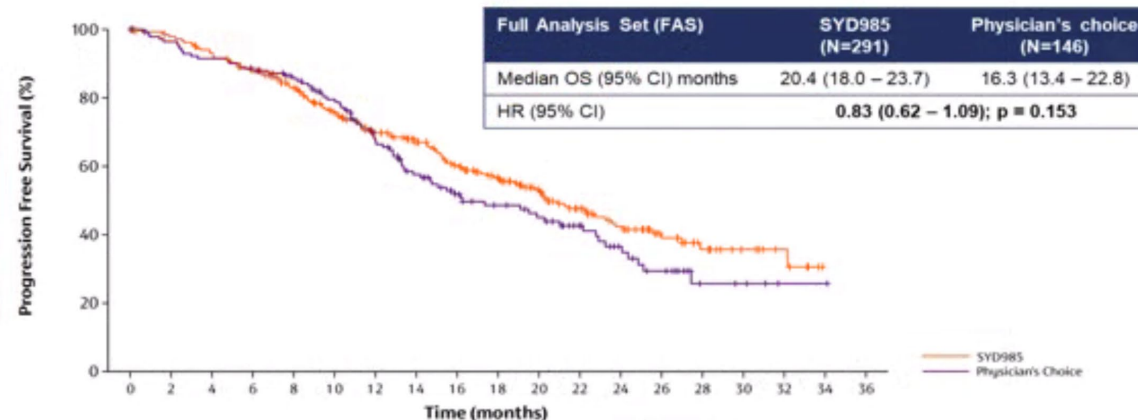
[#]pts with measurable disease used as denominator

PFS



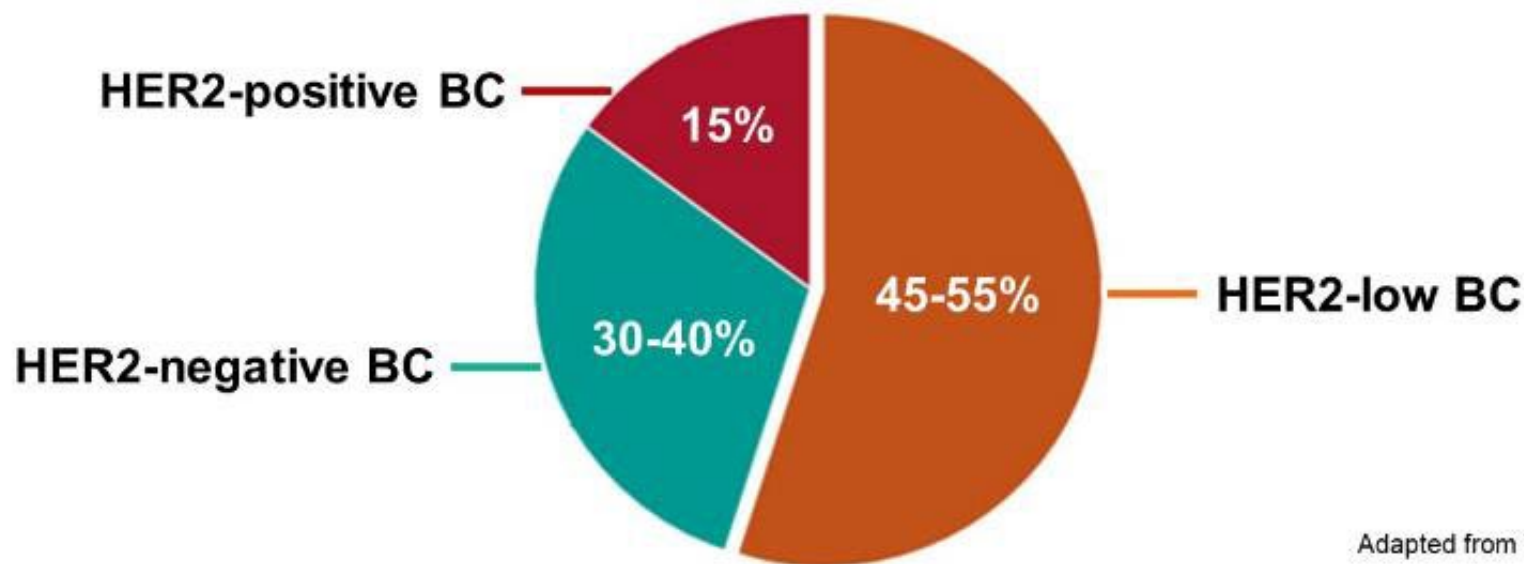
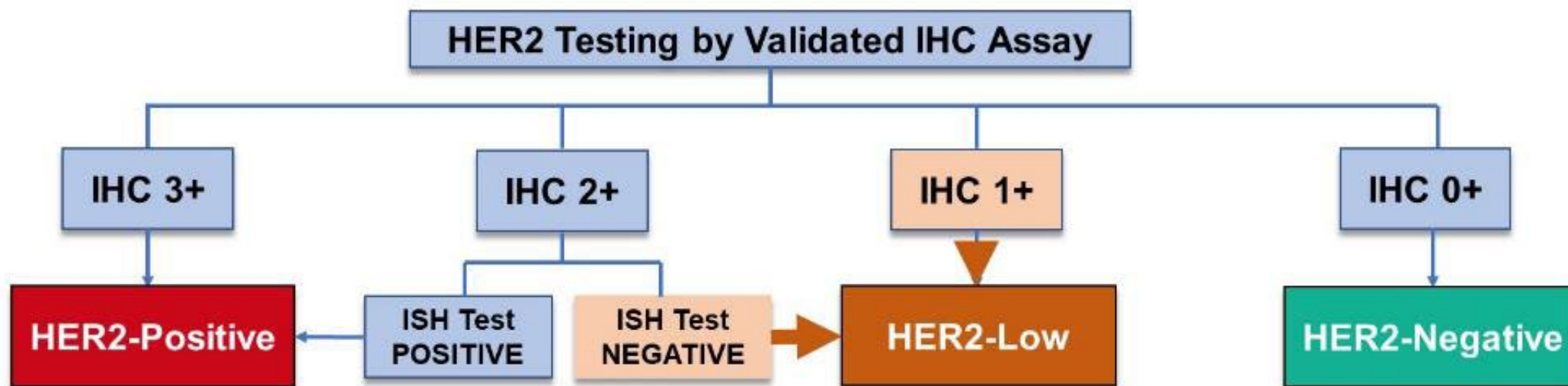
	No. Patients at Risk																						
SYD985	291	278	208	167	150	109	83	59	51	35	28	24	13	12	9	8	6	5	3	2	1	1	0
Physician's Choice	146	125	86	69	64	44	26	22	19	10	6	6	3	2	1	0							

OS



	No. Patients at Risk																						
SYD985	291	281	265	247	219	189	160	143	122	105	81	62	47	31	18	11	7	0					
Physician's Choice	146	136	129	123	113	101	80	63	50	43	38	29	21	15	6	5	1	1	0				

Proposal of an algorithm for defining HER2-low BC

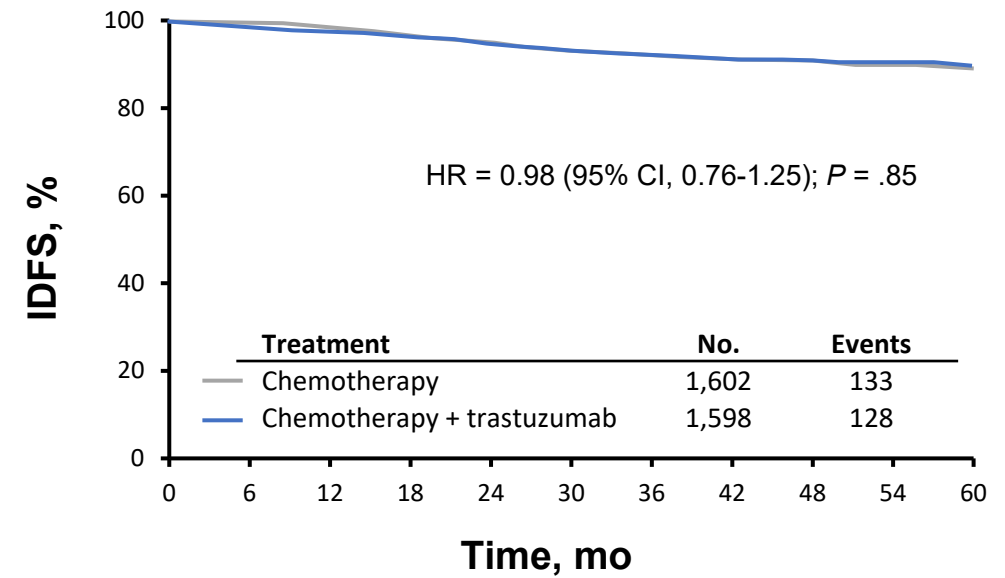
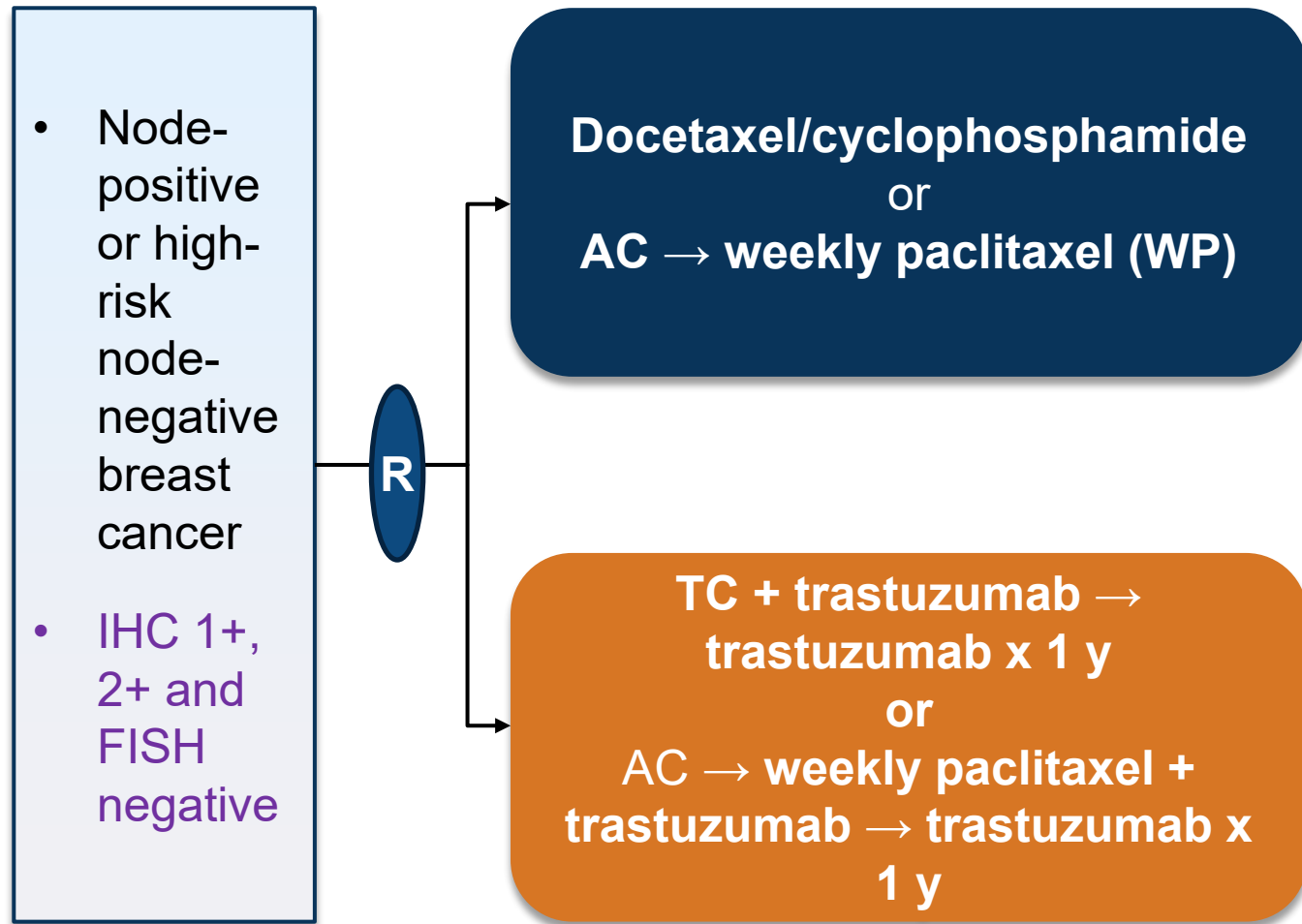


Adapted from Tarantino et al. J Clin Oncol. 2020 38(17)

Role for HER2-directed agents in HER2-low breast cancer?

NSABP B-47

A phase 3 trial was conducted to understand if adjuvant trastuzumab was beneficial for HER2-low patients

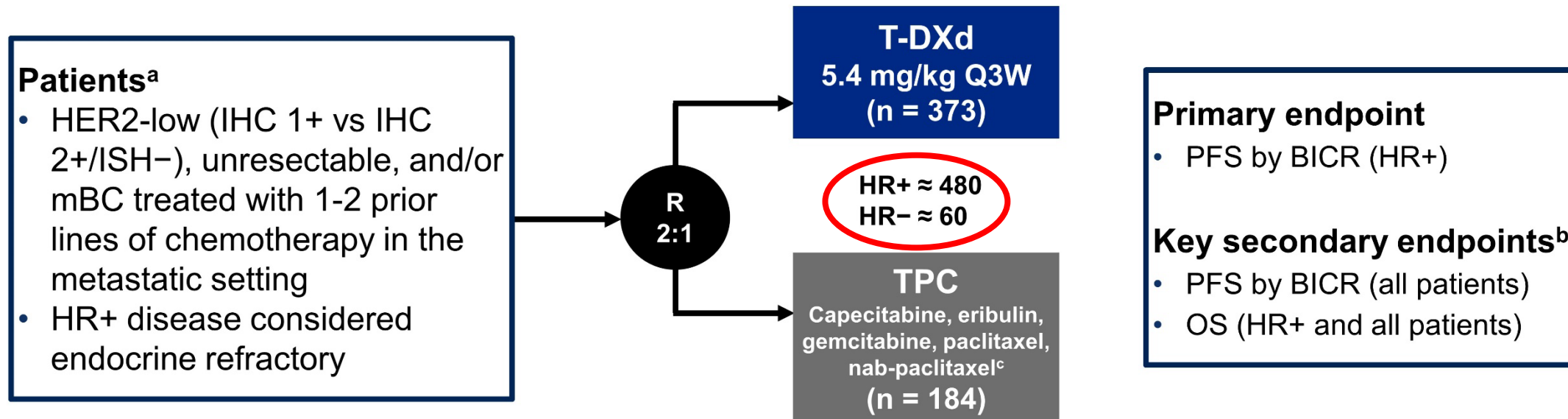


No. at Risk	0	6	12	18	24	30	36	42	48	54	60
Chemotherapy	1,602	1,558	1,423	1,003	595	140					
Chemotherapy + trastuzumab	1,598	1,528	1,404	1,010	592	118					

No benefit of adjuvant trastuzumab for HER2-low patients

DESTINY-Breast04: First Randomized Phase 3 Study of T-DXd for HER2-low mBC

An open-label, multicenter study (NCT03734029)



Stratification factors

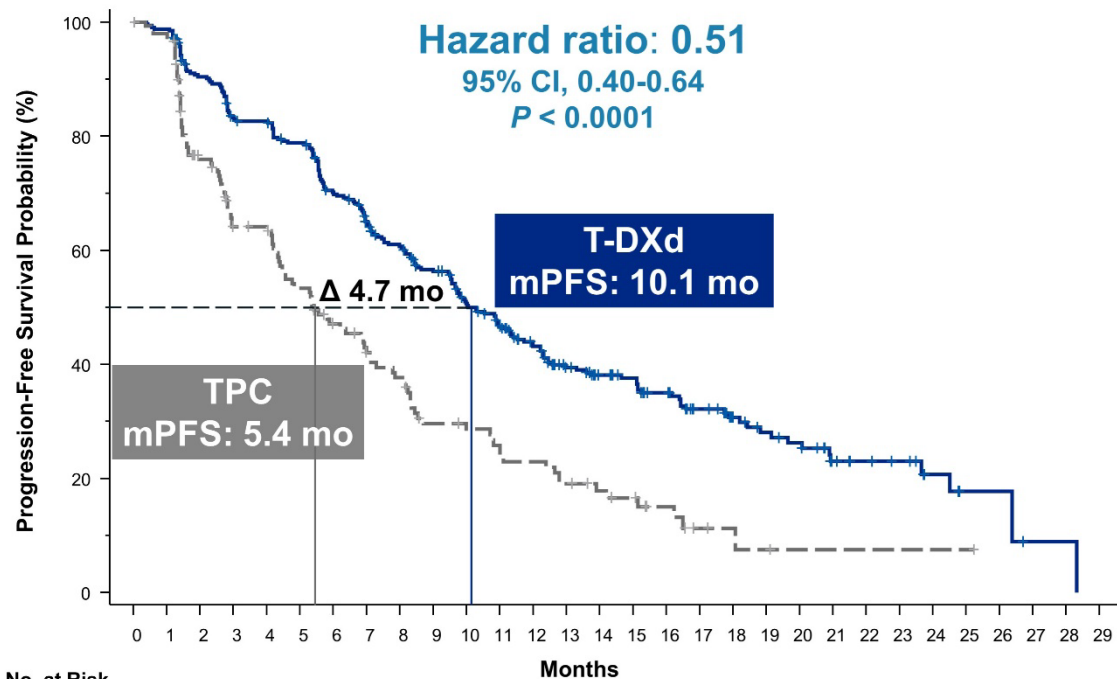
- Centrally assessed HER2 status^d (IHC 1+ vs IHC 2+/ISH-)
- 1 versus 2 prior lines of chemotherapy
- HR+ (with vs without prior treatment with CDK4/6 inhibitor) versus HR-

ASCO/CAP, American Society of Clinical Oncology/College of American Pathologists; BICR, blinded independent central review; CDK, cyclin-dependent kinase; DOR, duration of response; HER2, human epidermal growth factor receptor 2; HR, hormone receptor; IHC, immunohistochemistry; ISH, in situ hybridization; mBC, metastatic breast cancer; OS, overall survival; PFS, progression-free survival; Q3W, every 3 weeks; R, randomization; T-DXd, trastuzumab deruxtecan; TPC, treatment of physician's choice.

^aIf patients had HR+ mBC, prior endocrine therapy was required. ^bOther secondary endpoints included ORR (BICR and investigator), DOR (BICR), PFS (investigator), and safety; efficacy in the HR- cohort was an exploratory endpoint. ^cTPC was administered accordingly to the label. ^dPerformed on adequate archived or recent tumor biopsy per ASCO/CAP guidelines using the VENTANA HER2/neu (4B5) investigational use only [IUO] Assay system.

PFS in HR+ and All Patients

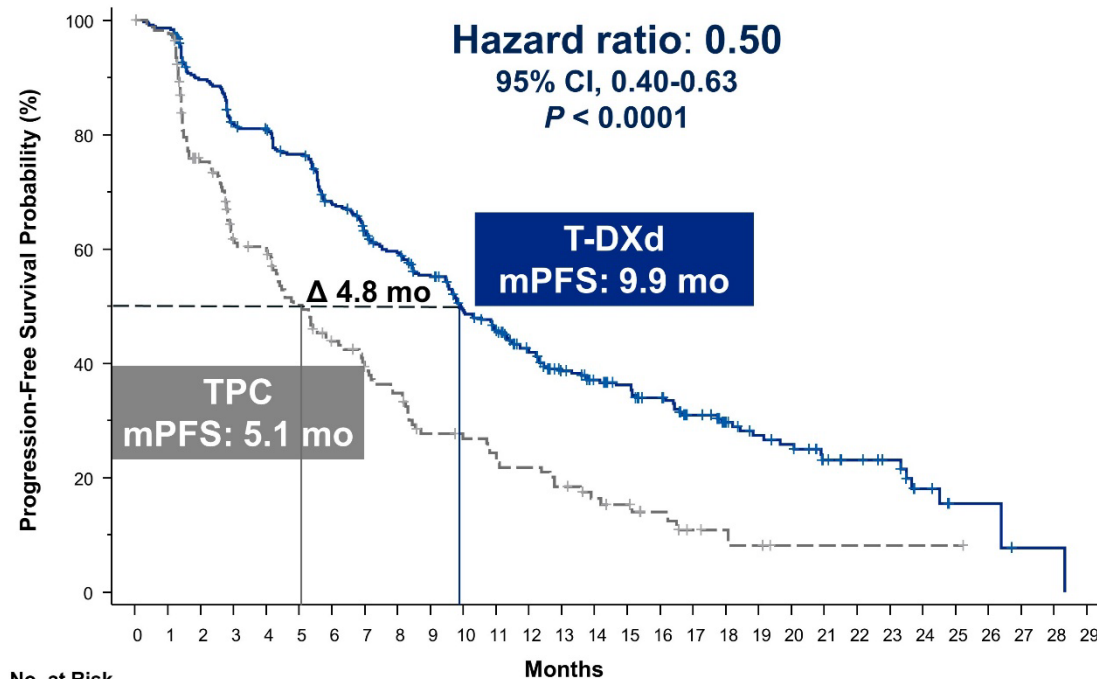
Hormone receptor–positive



No. at Risk

T-DXd (n = 331):	331	324	290	265	262	248	218	198	182	165	142	128	107	89	78	73	64	48	37	31	28	17	14	12	7	4	4	1	1	0
TPC (n = 163):	163	146	105	85	84	69	57	48	43	32	30	27	24	20	14	12	8	4	3	2	1	1	1	1	1	1	1	0	0	0

All patients



No. at Risk

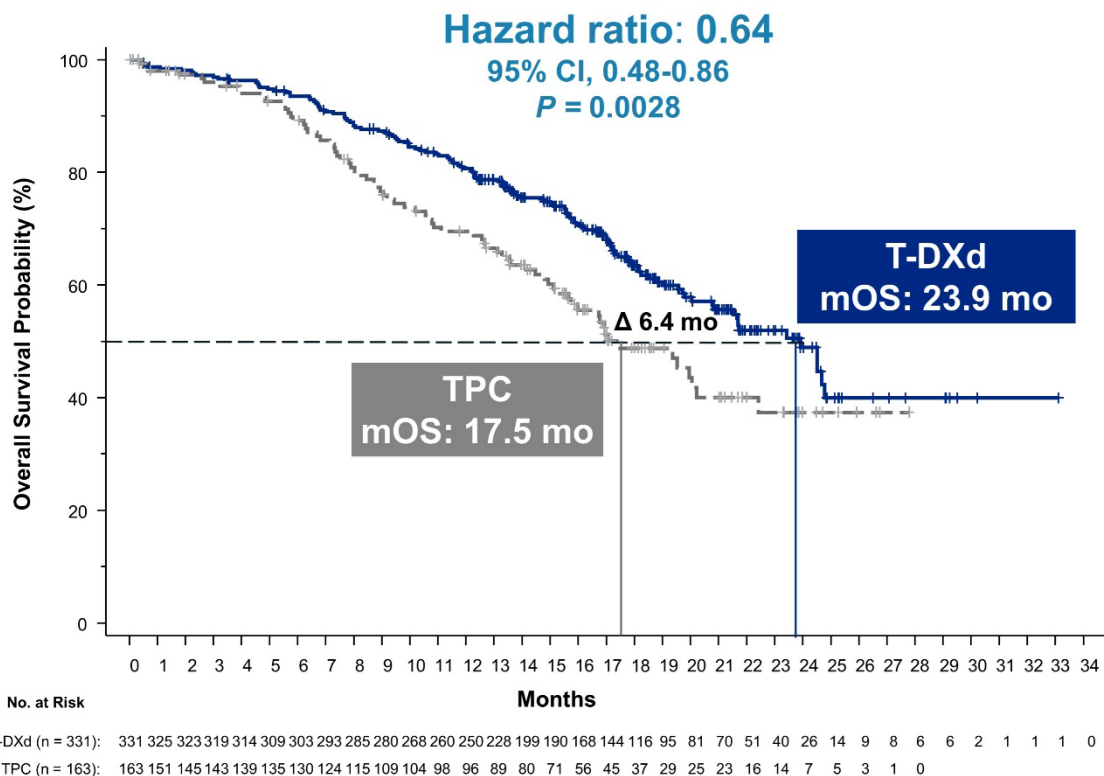
T-DXd (n = 373):	373	365	325	295	290	272	238	217	201	183	156	142	118	100	88	81	71	53	42	35	32	21	18	15	8	4	4	1	1	0
TPC (n = 184):	184	166	119	93	90	73	60	51	45	34	32	29	26	22	15	13	9	5	4	3	1	1	1	1	1	1	1	0	0	0

PFS by blinded independent central review.

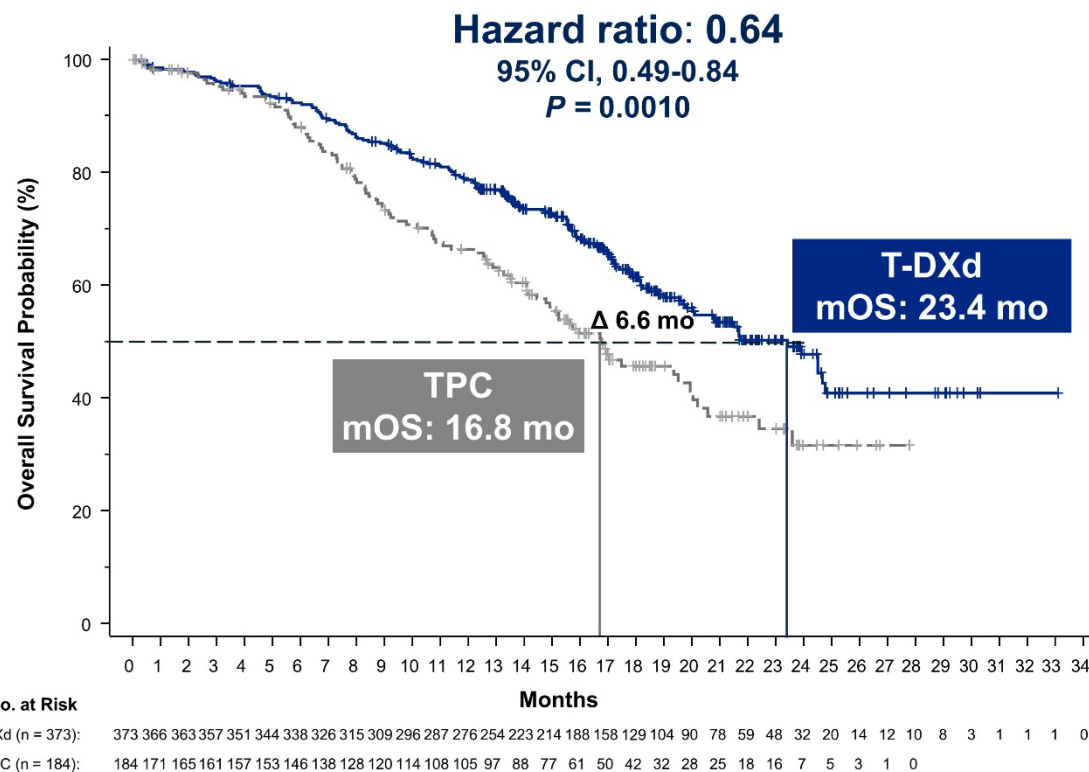
HR, hormone receptor; mPFS, median progression-free survival; PFS, progression-free survival; T-DXd, trastuzumab deruxtecan; TPC, treatment of physician's choice.

OS in HR+ and All Patients

Hormone receptor–positive



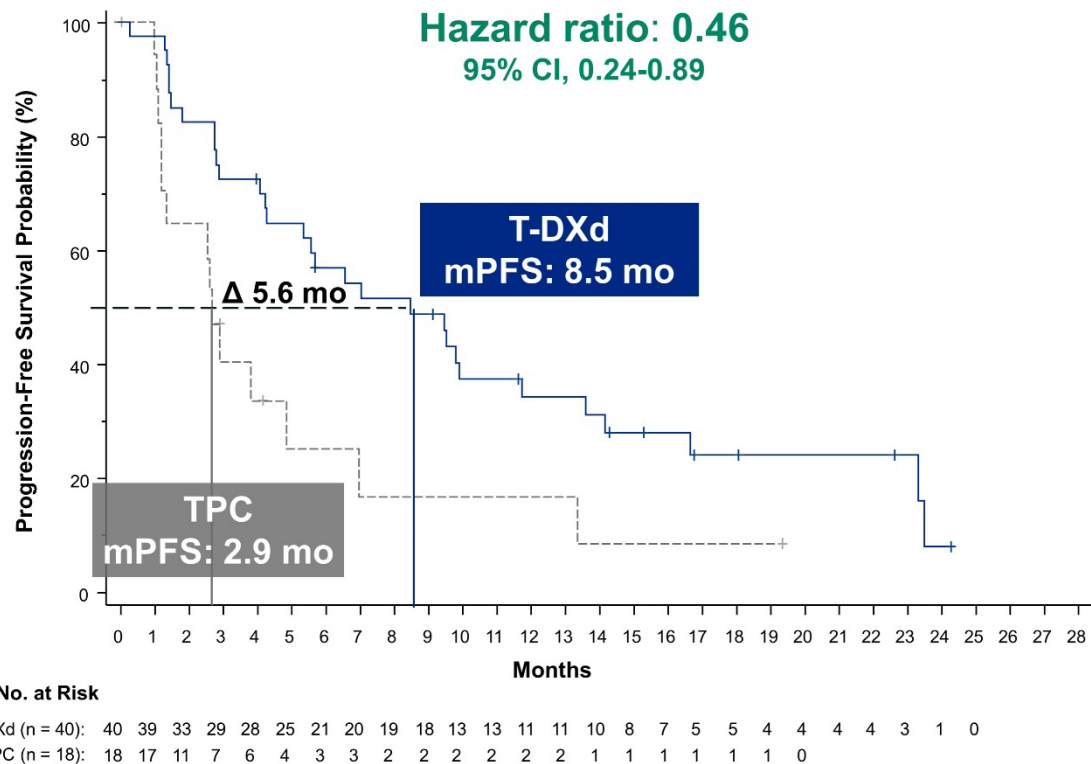
All patients



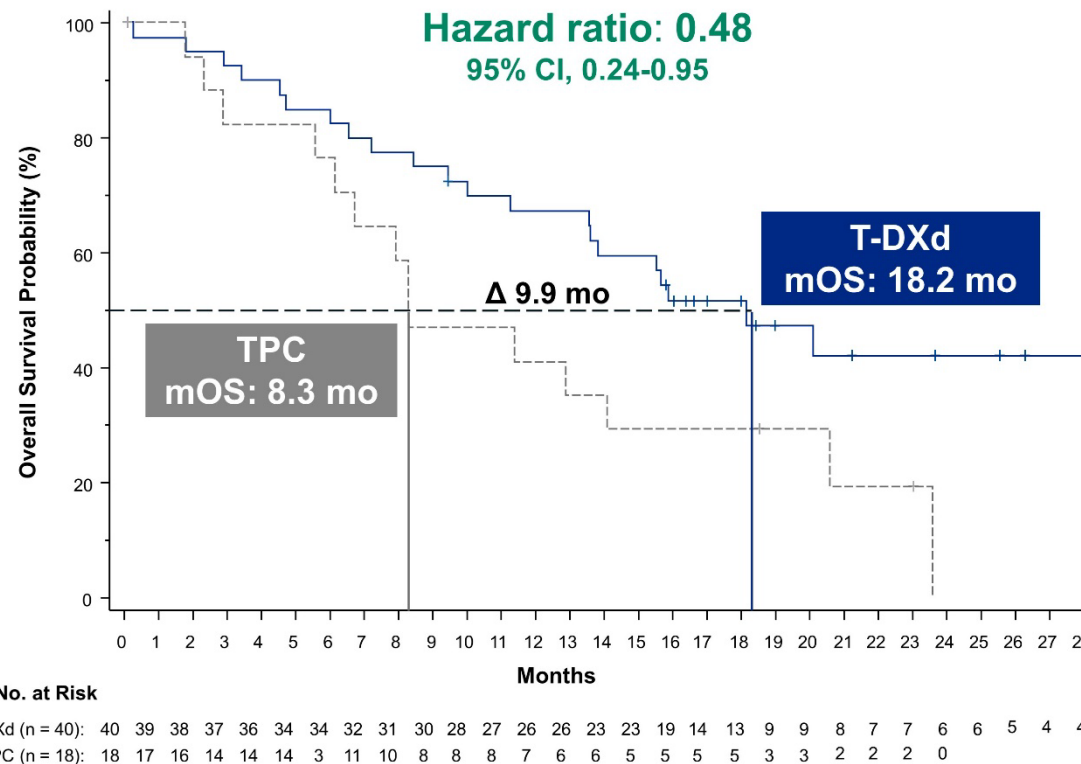
HR, hormone receptor; mOS, median overall survival; OS, overall survival; T-DXd, trastuzumab deruxtecan; TPC, treatment of physician's choice.

PFS and OS in HR- (Exploratory Endpoints)

PFS



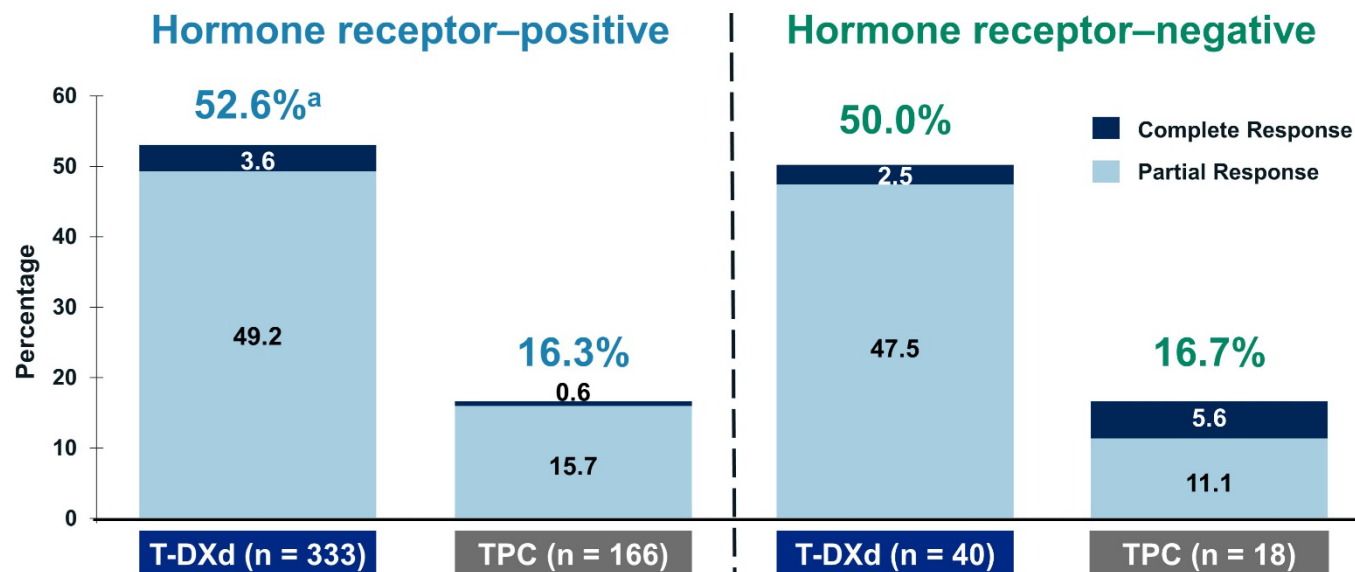
OS



HR, hormone receptor; mOS, median overall survival; mPFS, median progression-free survival; OS, overall survival; PFS, progression-free survival; T-DXd, trastuzumab deruxtecan; TPC, treatment of physician's choice. For efficacy in the hormone receptor–negative cohort, hormone receptor status is based on data from the electronic data capture corrected for misstratification.

Confirmed ORR

Confirmed Objective Response Rate



Progressive disease, %	7.8	21.1	12.5	33.3
Not evaluable, %	4.2	12.7	7.5	5.6
Clinical benefit rate,^b %	71.2	34.3	62.5	27.8
Duration of response, months	10.7	6.8	8.6	4.9

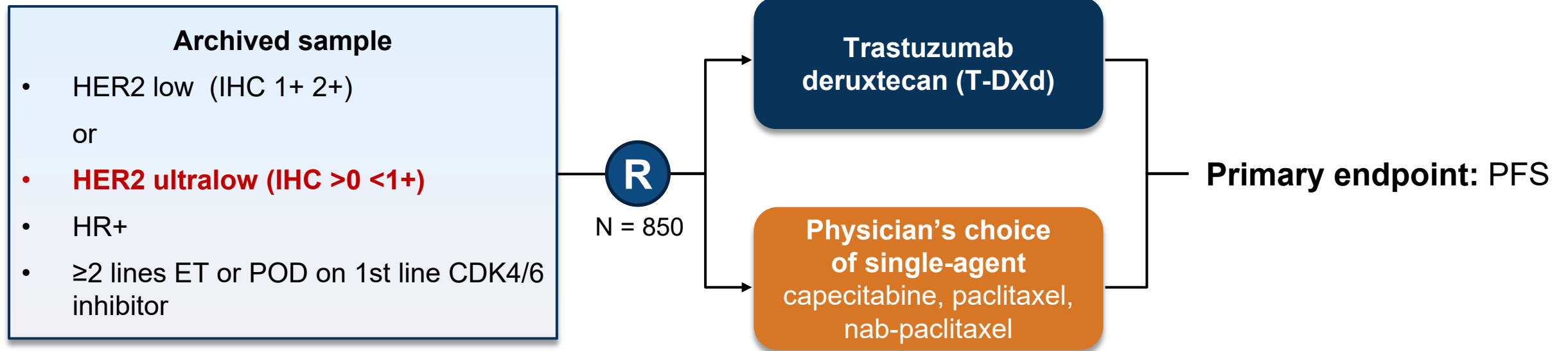
Hormone receptor status is based on data from the electronic data capture corrected for misstratification.

ORR, objective response rate; T-DXd, trastuzumab deruxtecan; TPC, treatment of physician's choice.

^aThe response of 1 patient was not confirmed. ^bClinical benefit rate is defined as the sum of complete response rate, partial response rate, and more than 6 months' stable disease rate, based on blinded independent central review.

Potential Future Challenge: HER2 “Ultralow”

- **DESTINY-Breast06** phase 3 includes IHC 0 with “ultralow” expression and may expand the population of patients deriving benefit from T-DXd



- **Key differences with DESTINY-Breast04:** includes IHC 0+ (“ultralow”), larger (N = 850), restricted to HR+ disease, and includes chemo-naïve patients



PREOPERATIVE/ADJUVANT THERAPY REGIMENS^a

HER2-Positive

Preferred Regimens:

- Paclitaxel + trastuzumab^h
- TCH (docetaxel/carboplatin/trastuzumab)
- TCHP (docetaxel/carboplatin/trastuzumab/pertuzumab)
- If no residual disease after preoperative therapy or no preoperative therapy: Complete up to one year of HER2-targeted therapy with trastuzumab^j (category 1) ± pertuzumab.
- If residual disease after preoperative therapy: Ado-trastuzumab emtansine (category 1) alone. If ado-trastuzumab emtansine discontinued for toxicity, then trastuzumab (category 1) ± pertuzumab to complete one year of therapy.^{i,j}

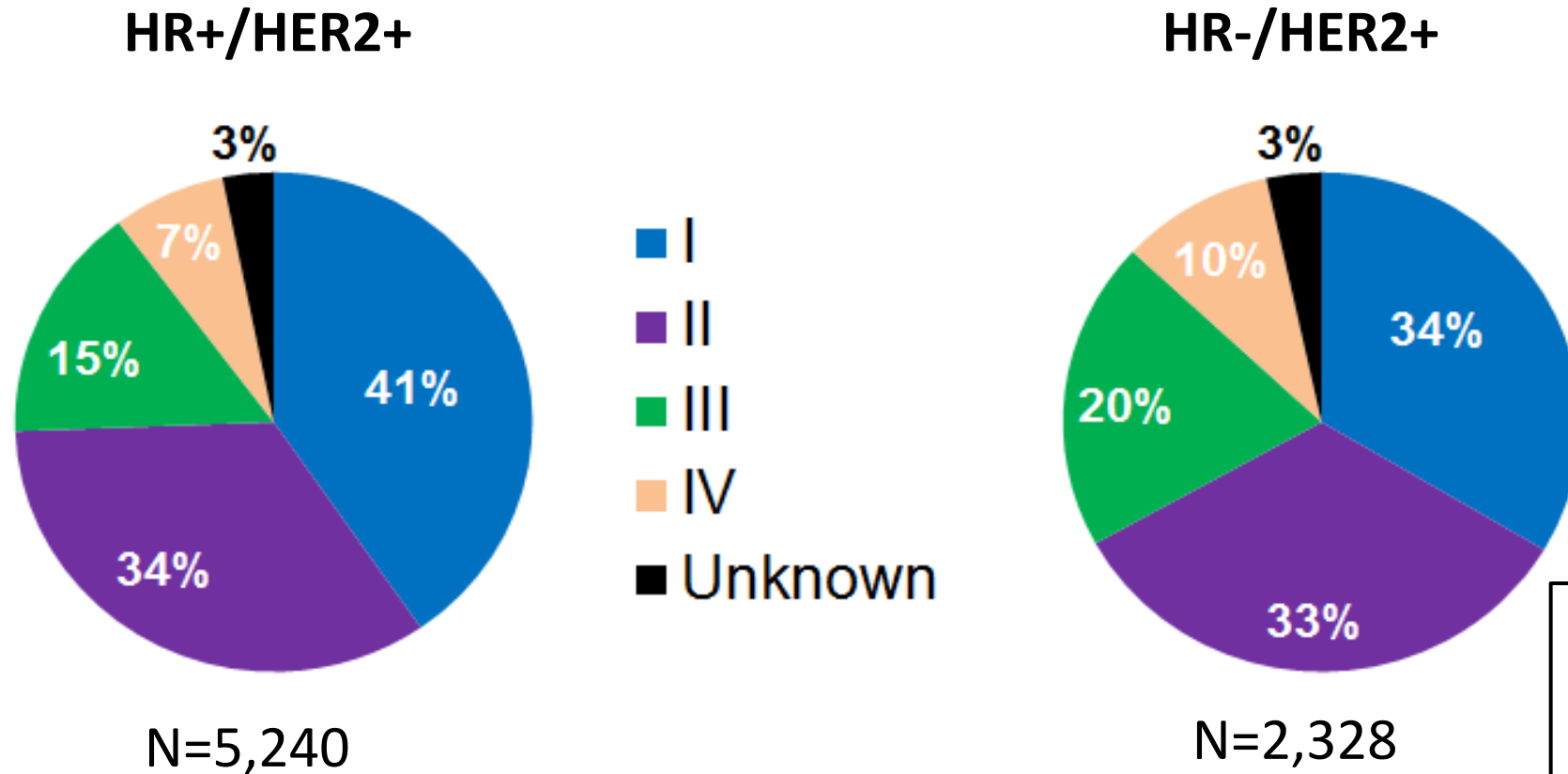
Useful in Certain Circumstances:

- Docetaxel + cyclophosphamide + trastuzumab
- AC followed by T^c + trastuzumab^j (doxorubicin/cyclophosphamide followed by paclitaxel plus trastuzumab, various schedules)
- AC followed by T^c + trastuzumab + pertuzumab^j (doxorubicin/cyclophosphamide followed by paclitaxel plus trastuzumab plus pertuzumab, various schedules)
- Neratinibⁱ (adjuvant setting only)
- Paclitaxel + trastuzumab + pertuzumab^j
- Ado-trastuzumab emtansine (TDM-1) (adjuvant setting only)

Other Recommended Regimens:

- AC followed by docetaxel^c + trastuzumab^j (doxorubicin/cyclophosphamide followed by docetaxel + trastuzumab)
- AC followed by docetaxel^c + trastuzumab + pertuzumab^j (doxorubicin/cyclophosphamide followed by docetaxel + trastuzumab + pertuzumab)

SEER Staging of HER2+ disease



Stage I: 34-41%

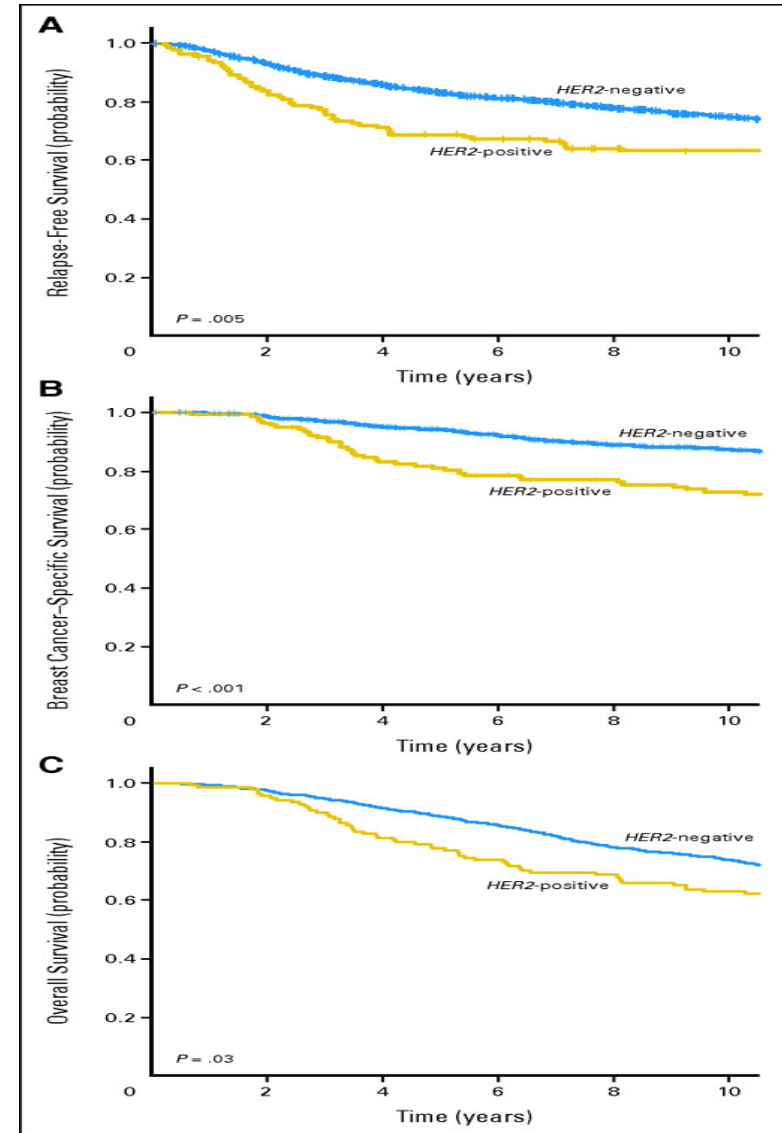
Contrary to initial impressions, early stage HER2+ cancers are not so very rare

British Columbia Tumor Registry

Stage I Only

HER2 status	n	10 yr RFS (%)
HER2-	1128	75.5
HER2+	117	65.9

P=0.01

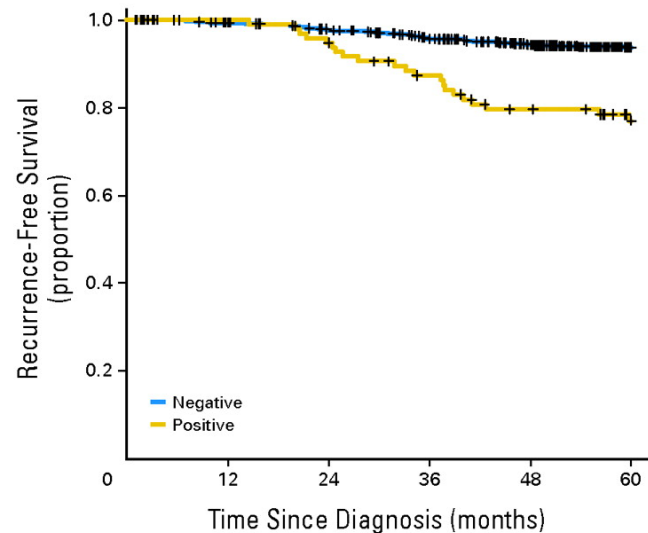


**No Systemic Therapy, n=1420
Node Negative, Any Size**

Outcomes for T1a/bN0 HER2+ Tumors

MD Anderson Series

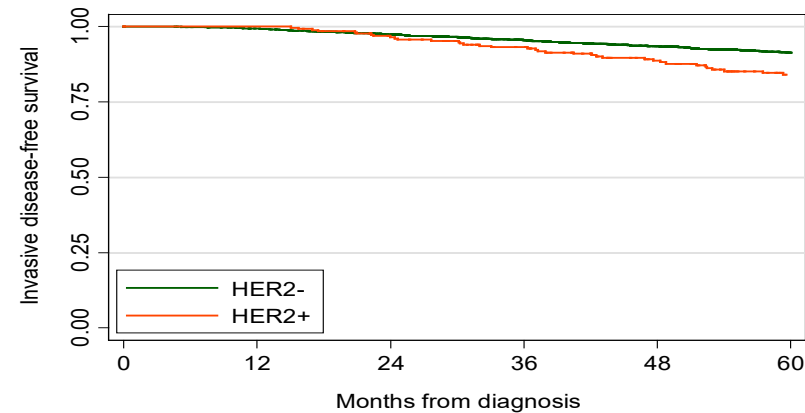
HER2 status	n	5 yr RFS
HER2+	98	77.1%
HER2-	867	93.7%



Gonzalez-Angulo A M et al. JCO 2009;27:5700-5706

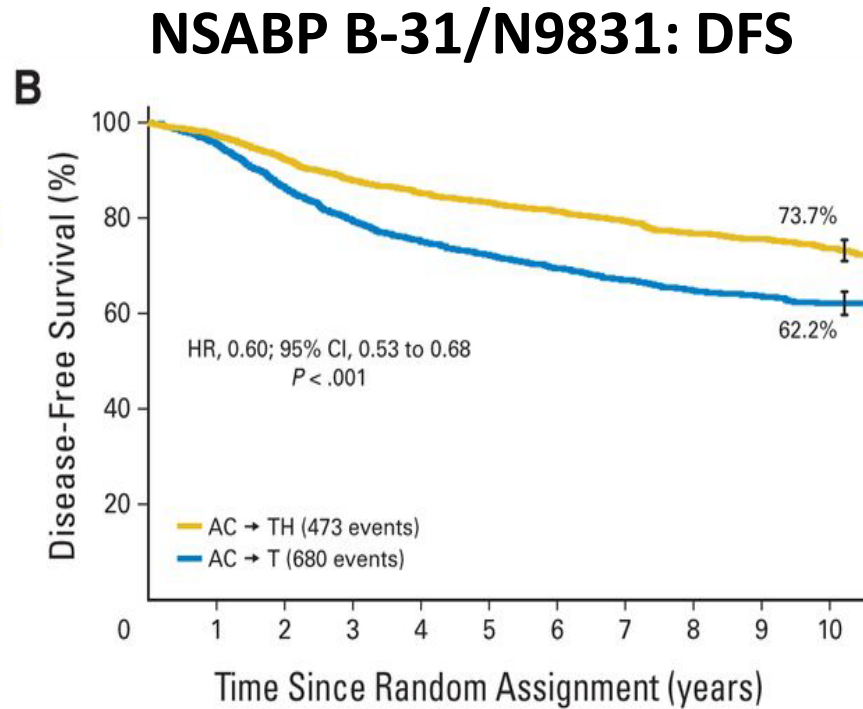
NCCN Series

HER2 status	n	5 yr DFS
HER2+	255	83.3%
HER2-	3127	89.0%



Vaz-Luis, I et al. ASCO Meeting 2013, abstract 1006

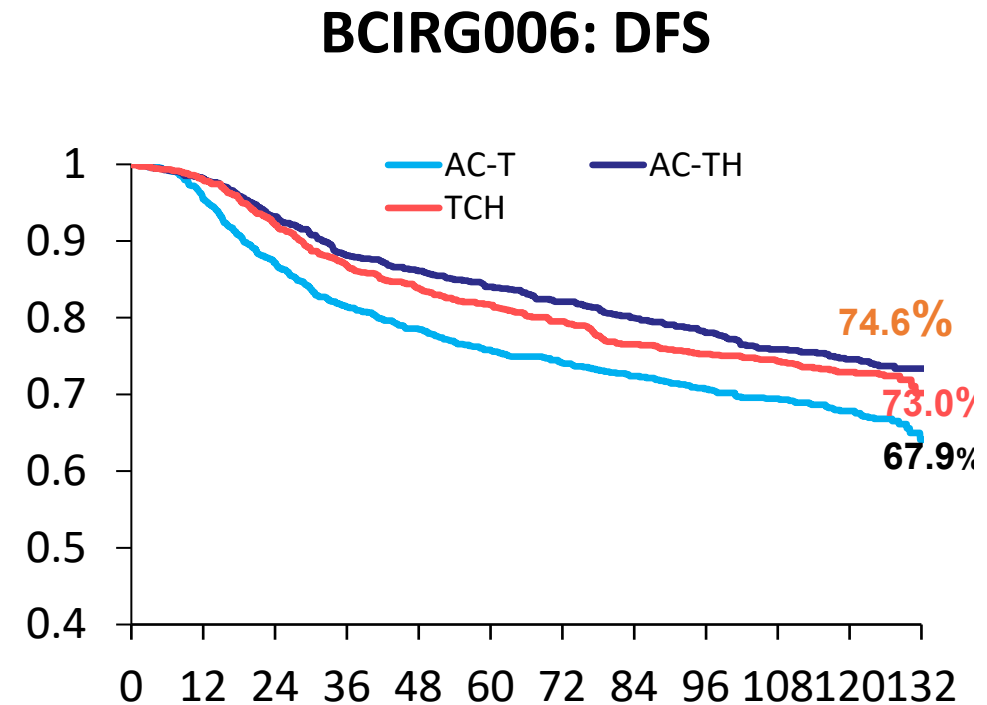
ADJUVANT TRASTUZUMAB: LONG TERM OUTCOMES



No. at risk

AC → TH	2,028	1,959	1,848	1,747	1,675	1,611	1,514	1,293	910	619	350
AC → T	2,018	1,887	1,689	1,529	1,423	1,329	1,232	1,027	705	449	255

Perez E et al, J Clin Oncol 2014



Slamon D et al, SABCS 2015

~25% of patients recur with 10 years of follow-up

APT TRIAL: STUDY DESIGN

HER2+
ER+ or ER-
Node Negative
≤ 3 cm

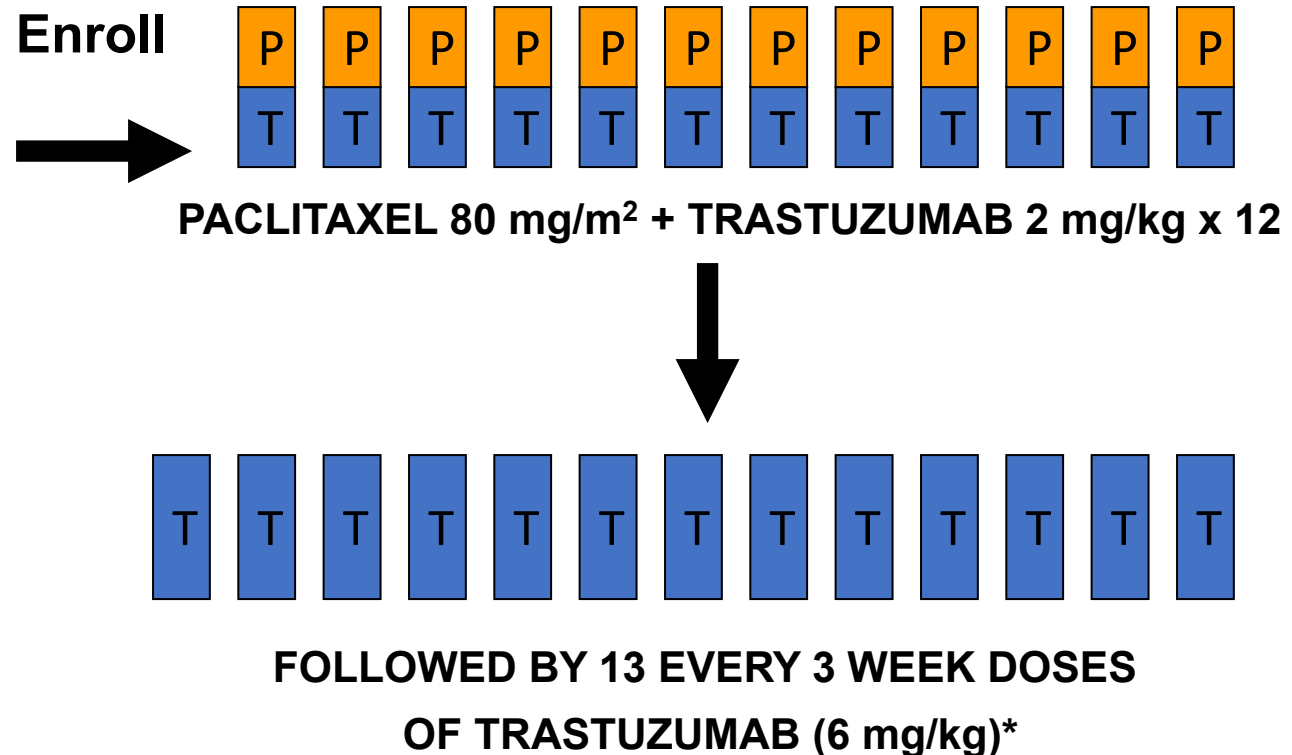
Planned N=400

49% T1a/T1b

42% T1c

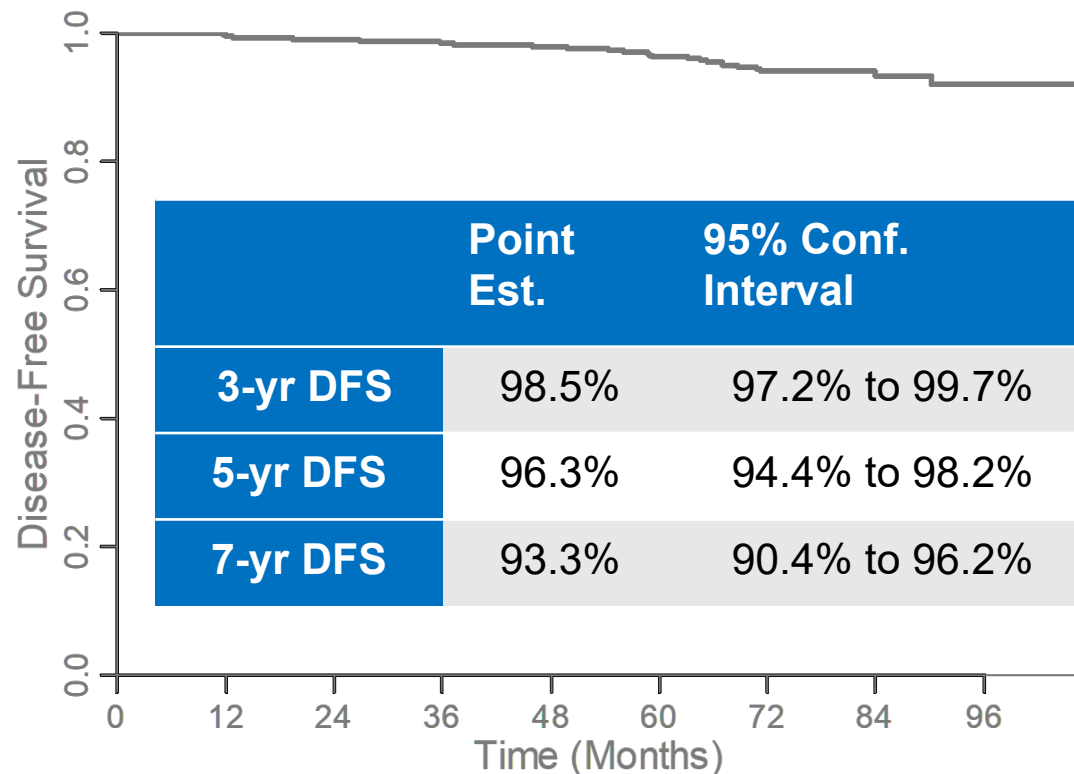
9% T2 (≤3 cm)

67% HR positive



APT: OUTCOMES AT 7 YRS

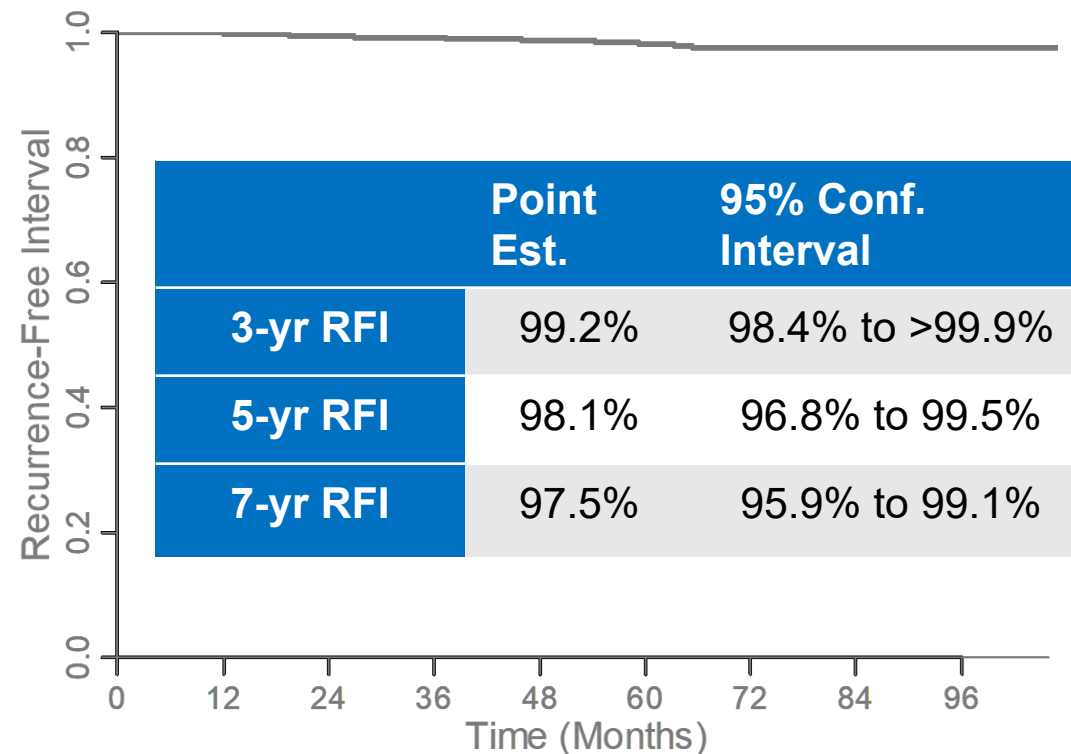
DISEASE-FREE SURVIVAL



Number at risk

406 388 385 378 362 347 247 120 34

RECURRENCE FREE INTERVAL



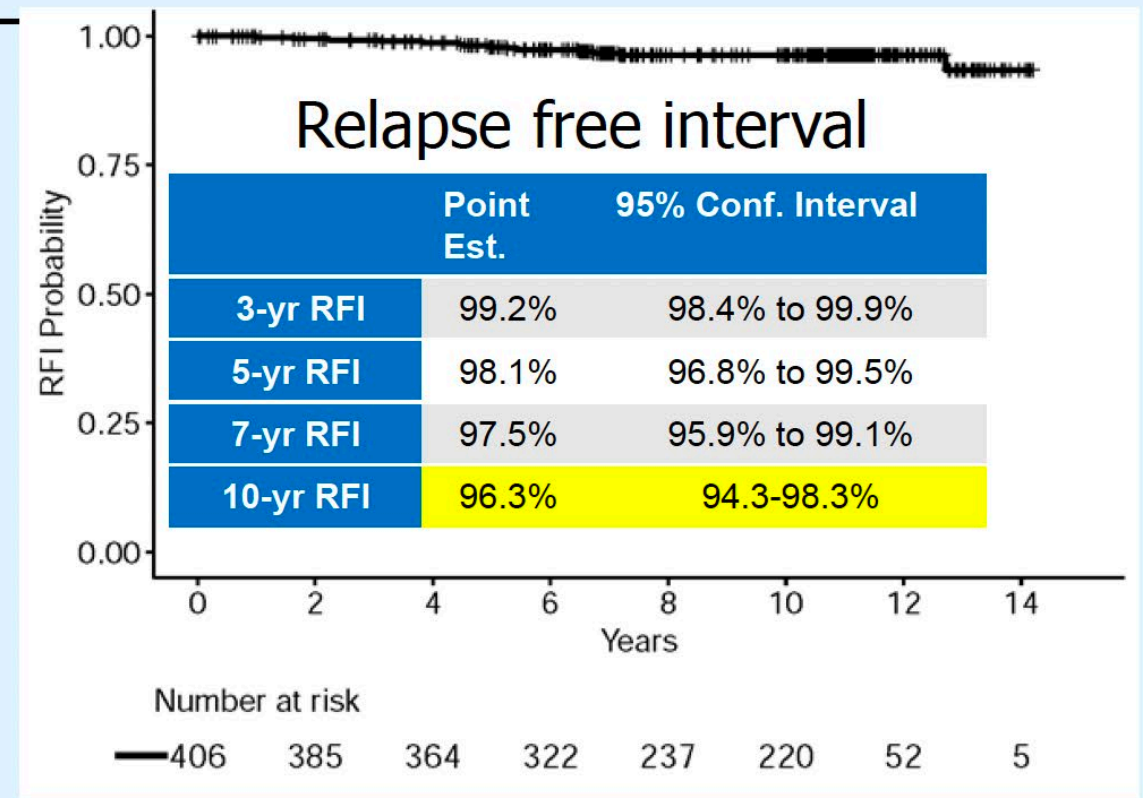
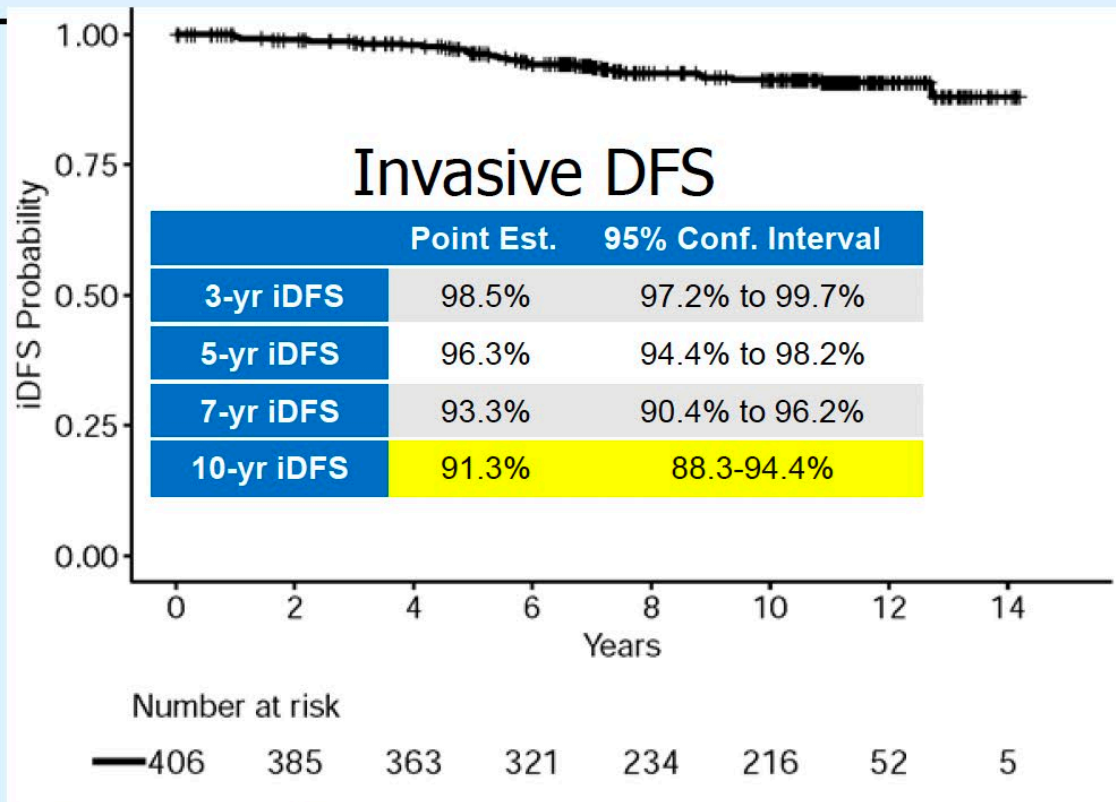
Number at risk

406 388 385 378 362 347 247 120 34

RFI Events=

- Invasive Local/Regional Recurrence
- Distant Recurrence
- Death from Breast Cancer

APT: 10-year RESULTS



Events: N=31

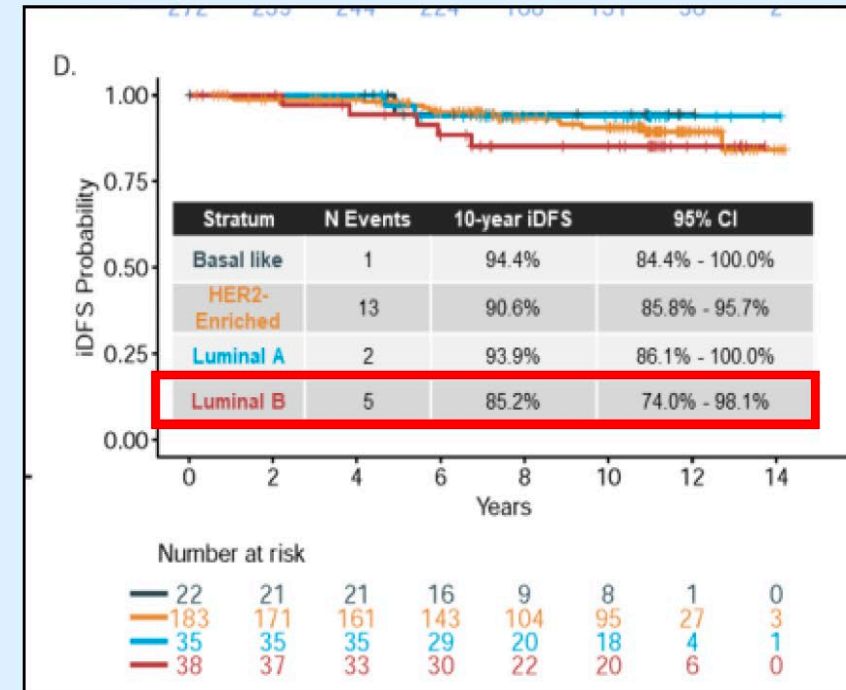
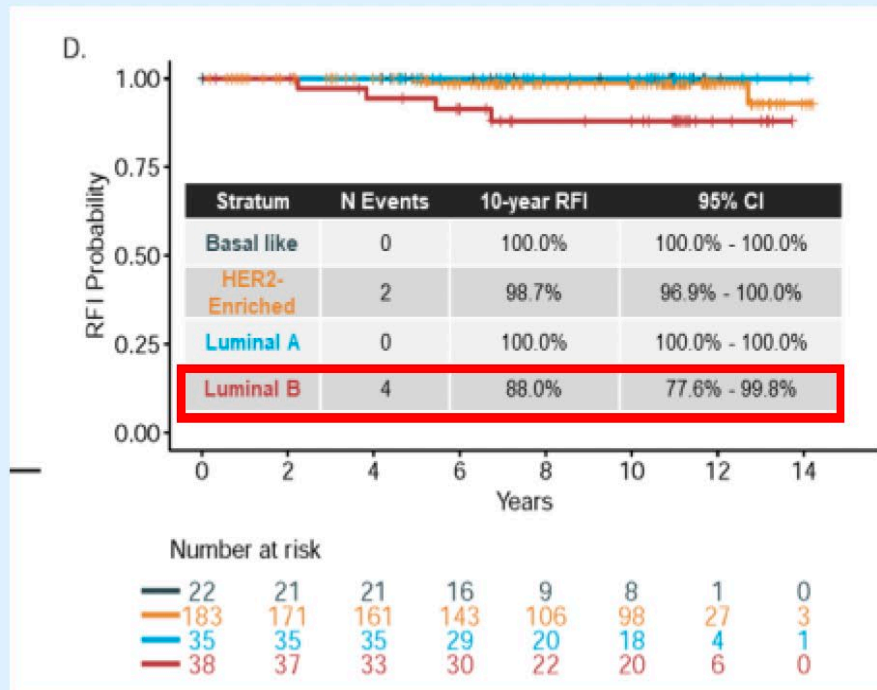
- 6 Ipsilateral recurrences, 9 contralateral new cancers (1 HER2+)
- 6 Distant recurrences, 10 Deaths
 - **Some distant recurrences detected 5+ years**

Ten-year OS for the ITT population: 94.3% (95% CI: 91.8% - 96.8%)

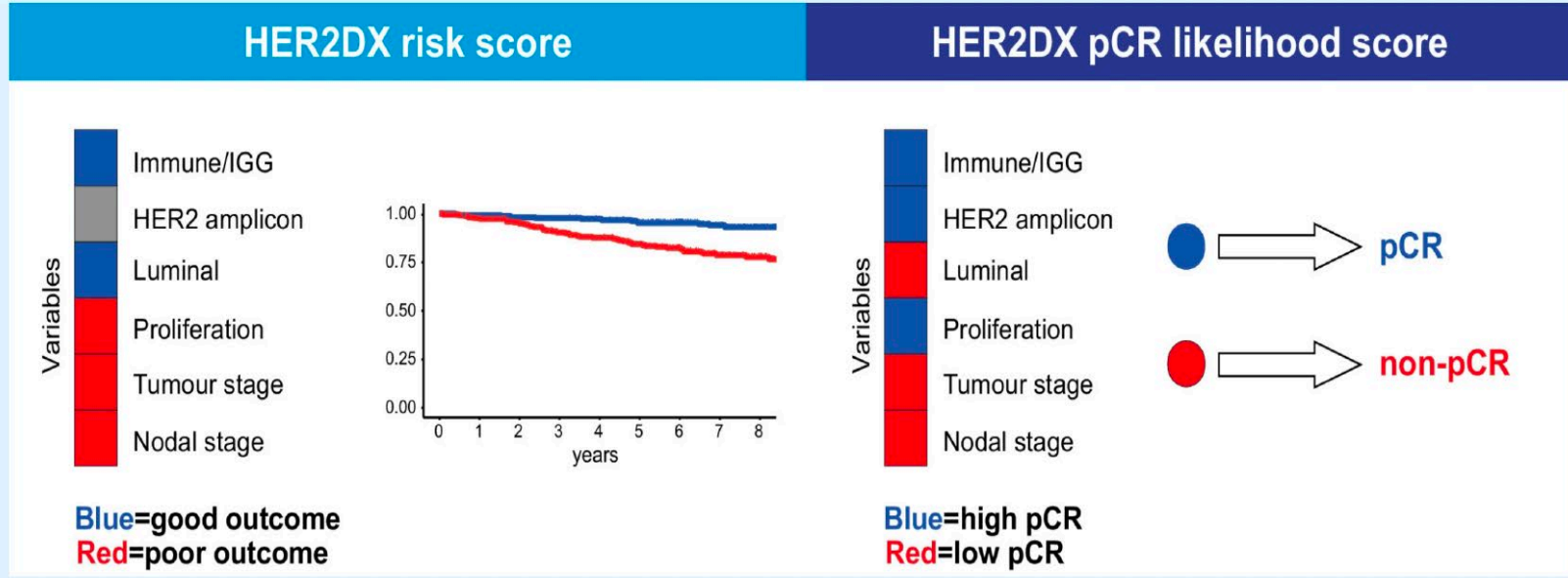
10-year BCSS was 98.8% (95% CI: 97.6% - 100.0%)

Biomarker work from APT to help select patients for less therapy

- **No difference in outcome based on hormone receptor status**
- RFI fairly similar comparing based on low, intermediate, and high levels of tumor infiltrating lymphocytes.
- Lower RFI and iDFS in those with **luminal B** compared to other PAM50 subtypes



HER2DX: Score to fine tune patient selection for less therapy?



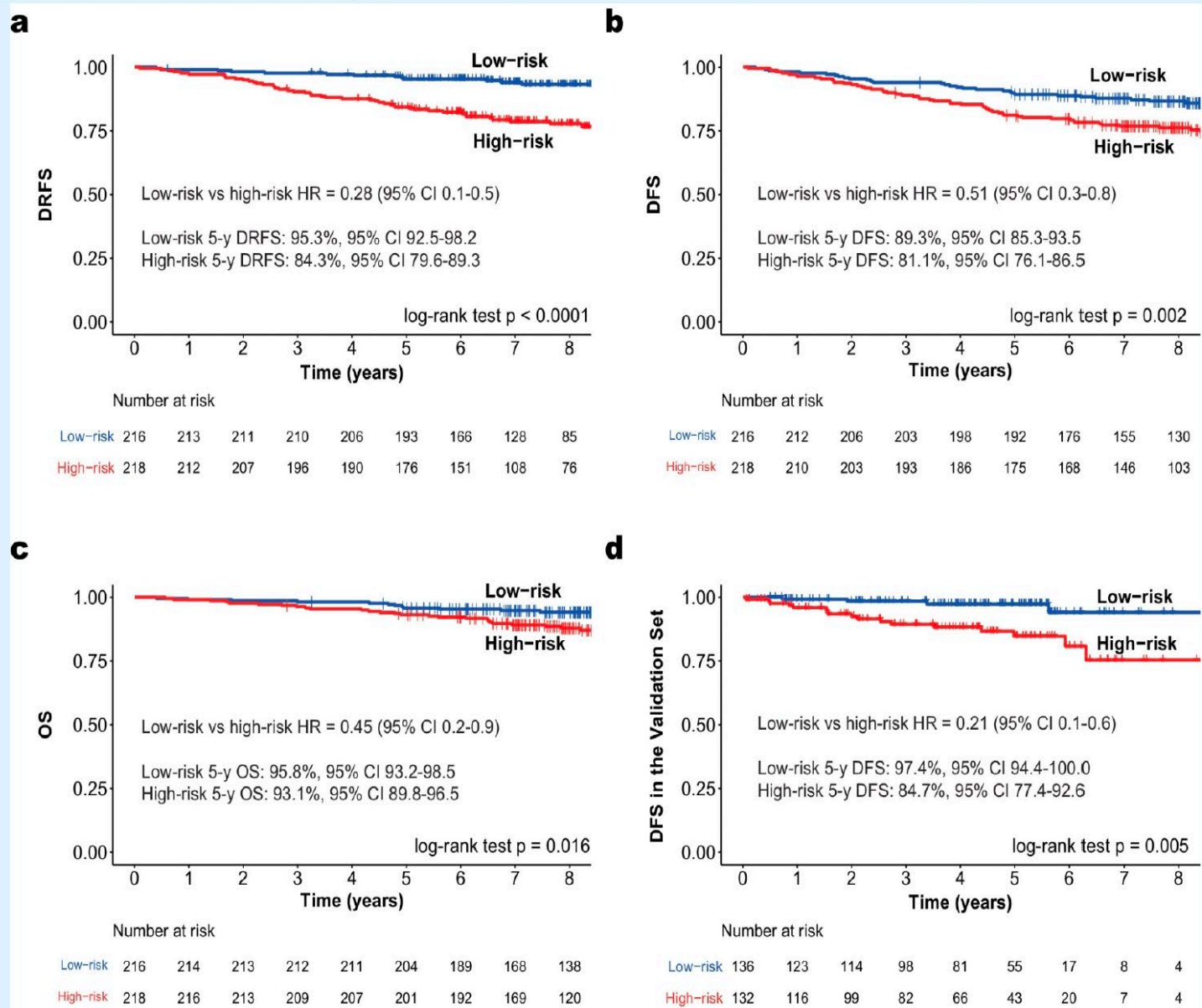
- Single score that incorporates clinical and genomic data to help predict disease free survival outcome (risk score) and chance of pCR
- Integrates tumor size, nodal status, and 4 gene expression signatures relating to immune infiltration, proliferation, luminal differentiation and expression of HER2 amplicon.
 - **Red**: high score of that variable is associated with worse outcome; **Blue**: high score of that variable is associated with better outcome. **Grey**: no association with the clinical endpoint.

HER2DX: Score to fine tune patient selection for less therapy?

Risk score trained on 432 HER2+ tumors from Short-HER (a=DRFS, b=DFS, c=OS) and validated with 268 tumors from independent cohort (d)

Continuous HER2DX risk score significantly associated with DFS (p=0.002)

Prat eBioMedicine 2022;75

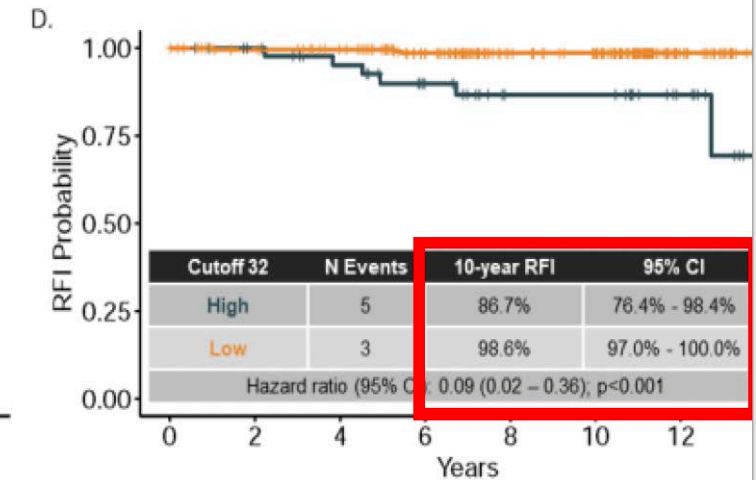
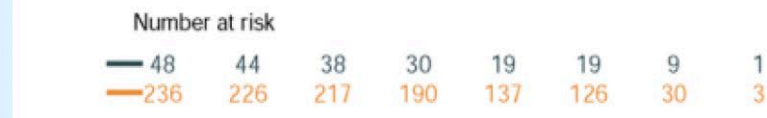
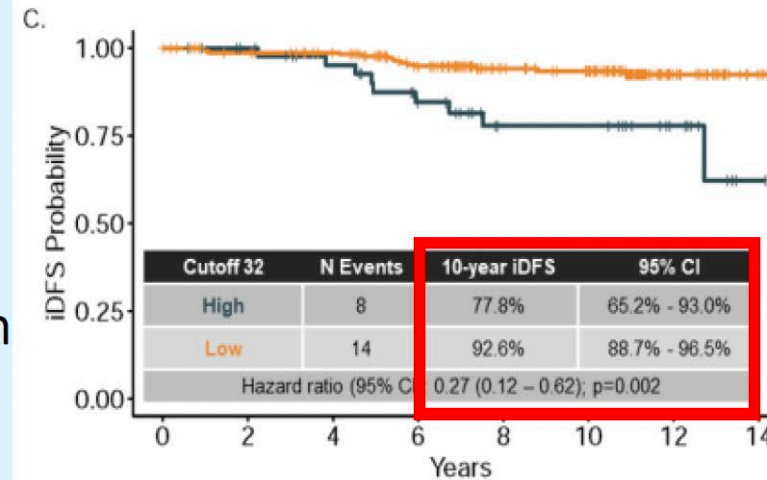
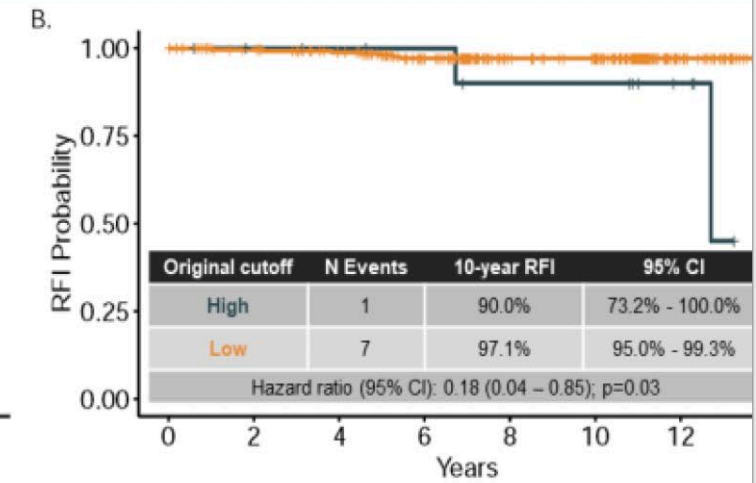
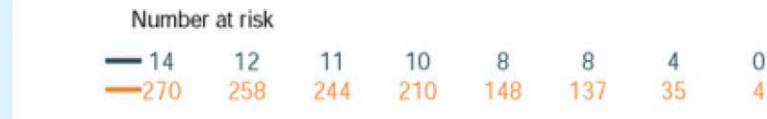
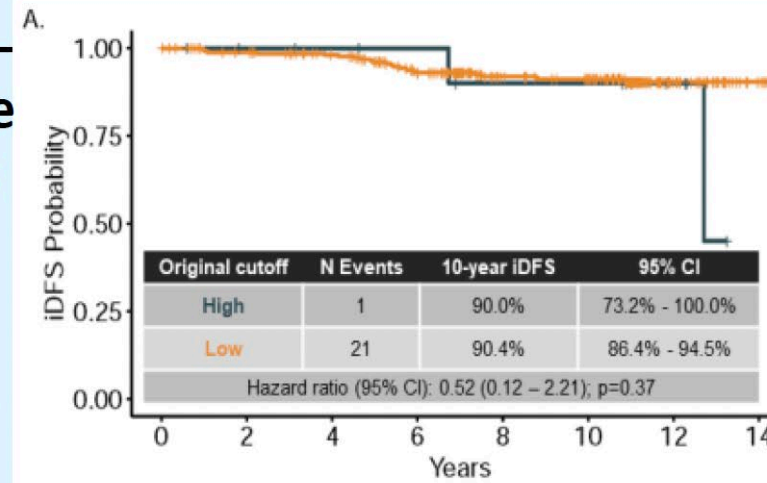


HER2DX RESULTS FROM APT STUDY

- HER2DX risk-score as a continuous variable was significantly associated with iDFS (HR per 10-units increment=1.24; 95%CI:1.01-1.54; p=0.04) and RFI (HR per 10-units increment=1.45; 95%CI:1.09-1.93; p=0.01).

- Using a HER2DX score cutoff of 50, 4.9% of patients in APT were HER2DX high-risk
 - Using this cutoff, HER2DX low risk disease had a significantly better RFI (Fig B) but not iDFS (Fig A)

- A HER2DX score cutoff of 32 was optimal in distinguishing low vs high-risk disease for both iDFS (Fig C) and RFI (Fig D)



International guidelines recommend the APT treatment regimen in patients with small, node-negative tumors



St. Gallen Expert
Consensus



NCCN Breast Cancer
Guidelines



Primary Breast Cancer
Clinical Practice Guidelines

Adjuvant therapy: HER2-targeted therapy¹

Paclitaxel and trastuzumab is an effective regimen for **stage I** breast cancers with low rates of recurrence

Systemic adjuvant treatment²

Adjuvant chemotherapy with weekly paclitaxel and trastuzumab³ can be considered for **stage I** HER2-positive cancers, particularly if the primary cancer is ER-negative

Adjuvant systemic treatment⁴

Luminal B HER2-positive tumours are treated with chemotherapy, endocrine therapy and trastuzumab [I, A].* No randomised data exist to support omission of chemotherapy in this group. However, in **small, node-negative tumours**, the combination of single-agent paclitaxel and trastuzumab provides excellent results

* Level of evidence I: Evidence from at least one large, randomised, controlled trial of good methodological quality (low potential for bias) or meta-analyses of well-conducted, randomised trials without heterogeneity;
Grade of recommendation A: strong evidence for efficacy with a substantial clinical benefit, strongly recommended.
ER, oestrogen receptor.

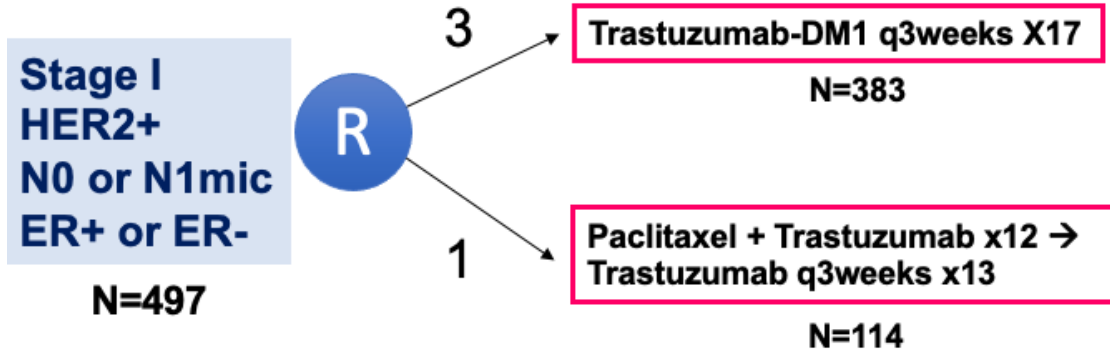
1. Curigliano G, et al. *Ann Oncol* 2017; **28**:1700–1712; 2. NCCN Breast Cancer Guidelines. Version 3, 2017;
3. Tolanev SM, et al. *N Engl J Med* 2015; **372**:134–141; 4. Senkus E, et al. *Ann Oncol* 2015; **26**(Suppl. 5):v8–30.



APT: Implications

- **Paclitaxel and trastuzumab (TH) can be considered a reasonable and appealing approach for the majority of patients with stage I HER2+ breast cancer**
 - **Not all patients require adjuvant trastuzumab-based chemotherapy (particularly T1aN0)**
 - **Standard regimens from the pivotal trials can be considered for patients with particularly high risk features**

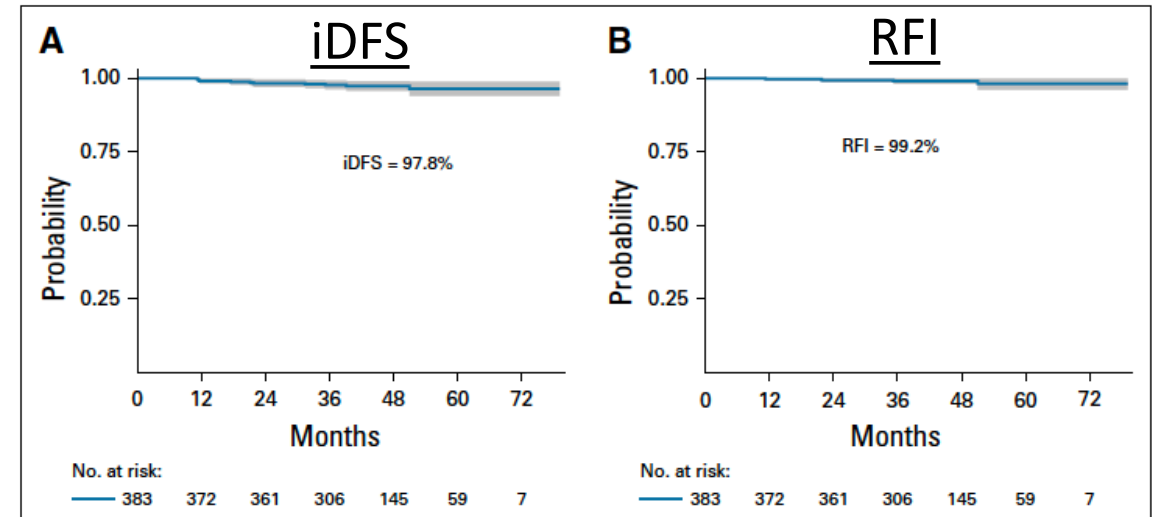
ATEMPT trial: 1 yr of adjuvant T-DM1 for stage I HER2+



Co-Primary Endpoints:

- 3-year DFS in T-DM1 arm
- Compare clinically relevant toxicities between the 2 arms

Patient characteristic	ATEMPT
T ≤ 1 cm	43% (11% T1a)
HR+	75%
N1mic	NR



- 3yr IDFS similar in both groups (97.8% T-DM1)
- Toxicity profiles of both regimens similar:
 - Identical rates of clinically relevant toxicities (46%)
 - TH: More neuropathy and alopecia
 - T-DM1: Higher discontinuation rate with T-DM1 >50% of discontinuations occurred after 6 months; >50% not protocol-mandated

ATEMPT 5-year outcomes

	T-DM1 (N=383)
3-year iDFS	97.8% 10 events
5-year iDFS	97.0% 11 events ^{1,2}
5-year RFI	98.3% 6 events
5-year OS	97.8% 3 events
5-year BCSS	99.4%

1. 11 iDFS events: 3 distant recurrences, 3 non-related deaths, 3 contralateral HER2-breast cancers, 2 ipsilateral recurrences (1 HER2+)
2. 5-year iDFS similar for HR negative/positive and for tumors <1 cm or \geq 1 cm

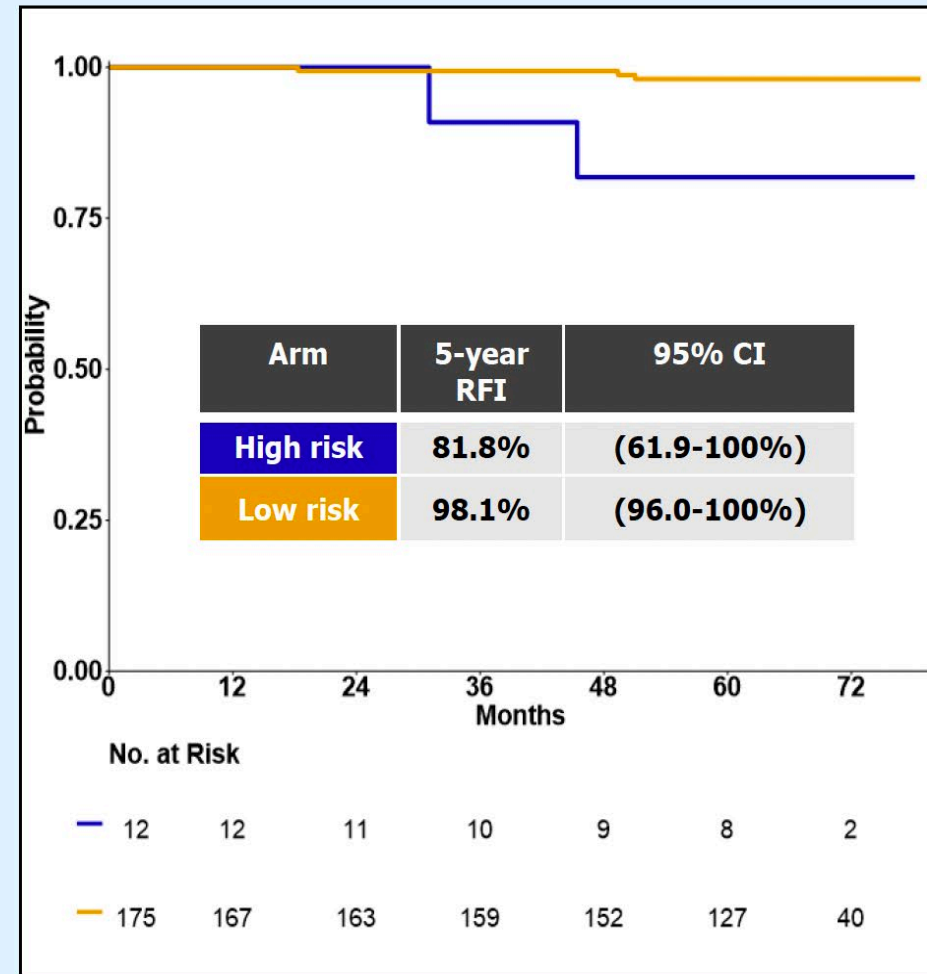
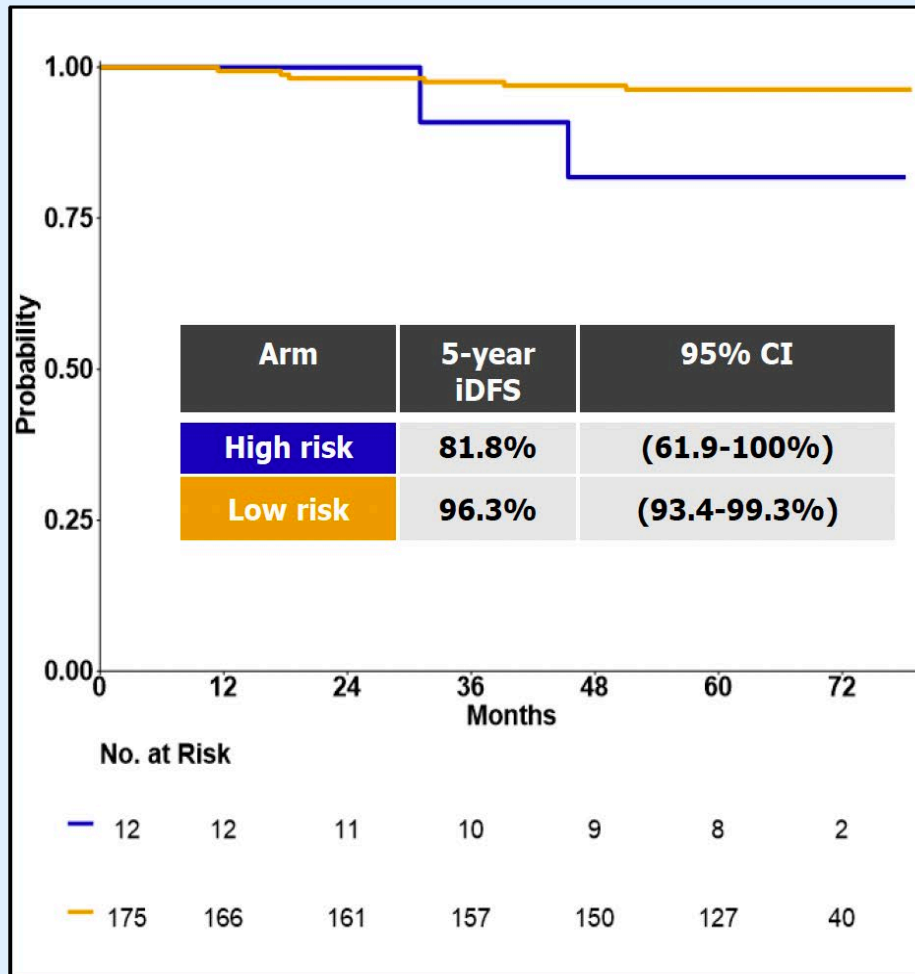
ATEMPT & APT 5-year outcomes

	T-DM1 (N=383)	TH (ATEMPT) (N=114)	TH (APT) (N=406)
3-year iDFS	97.8% 10 events	93.4% 8 events	98.5%
5-year iDFS	97.0% 11 events*	91.1% 9 events	96.3%
5-year RFI	98.3% 6 events	93.2% 7 events	98.1% 7 events
5-year OS	97.8% 3 events	97.9%	98.7% 5 events
5-year BCSS	99.4%	Not reported	99.7% 1 event

ATEMPT: HER2DX Analysis

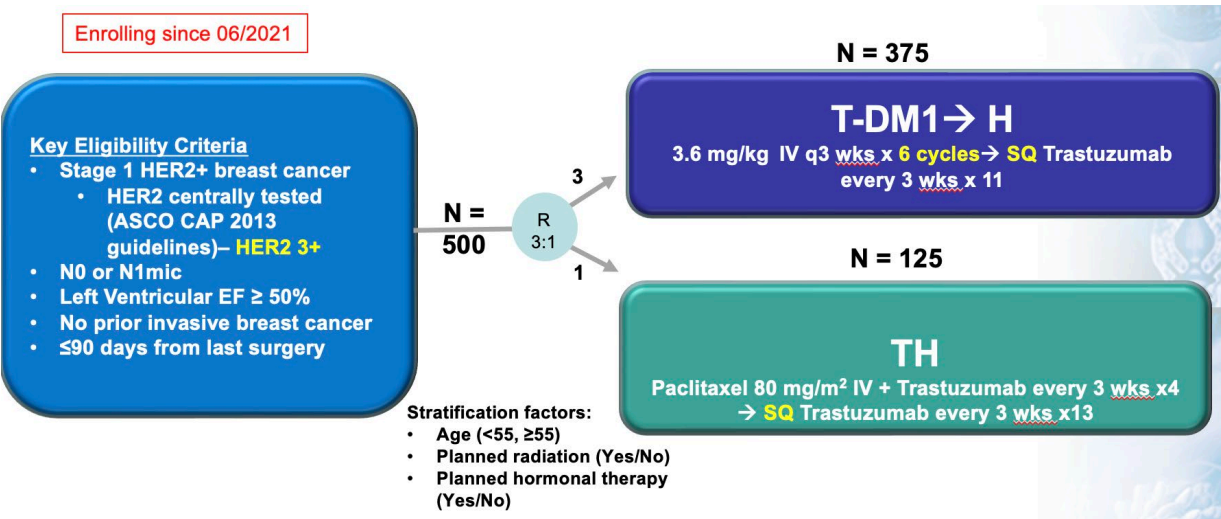
In total, 187 patients enrolled in the trial had successful HER2DX testing (147 receiving T-DM1, 40 receiving TH).

Using a cutoff of 50, HER2DX low-risk patients had significantly higher 5-year RFI (98.1% vs 81.8%, HR 0.10 [0.02-0.57], p=0.01) and numerically higher 5-year iDFS (96.3% vs 81.8%, HR 0.20 [0.04-0.98], p=0.05) than those at high risk.



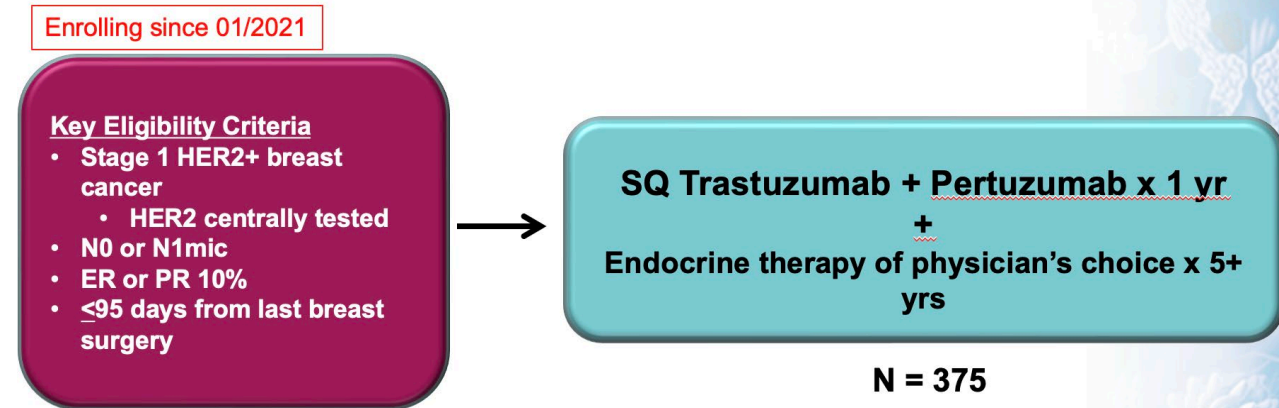
Ongoing de-escalation trials for stage I HER2+ breast cancer

Atempt 2.0



- Evaluating efficacy of six cycles of T-DM1 followed by trastuzumab vs. TH

ADEPT



- Evaluating efficacy of SQ HP + ET x 5 yrs

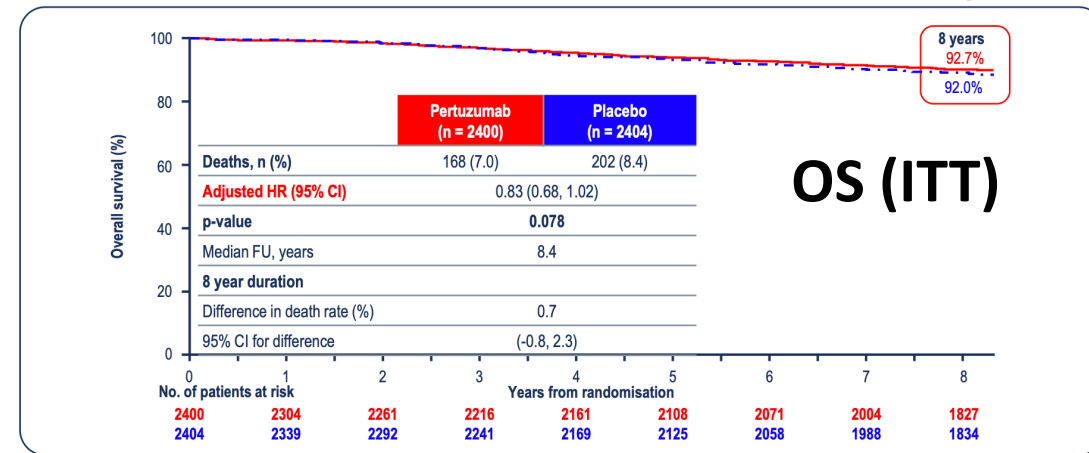
APHINITY: 3rd interim OS analysis (median f/u 8.4 years)

Trial design:

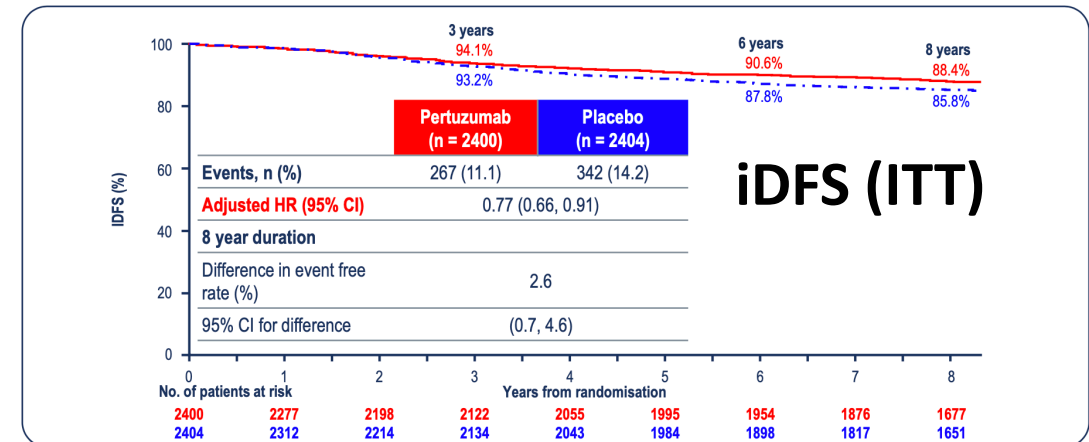
- Randomly assigned pts with high risk node neg or node pos HER2+ BC to receive adjuvant chemo + trastuzumab with pertuzumab vs. placebo

Results:

- Excellent survival at 8 yr median f/u for both groups
- No survival benefit with long f/u, many rescue options
- iDFS benefit seen only in node+ disease



Node + 91.1% vs 89.2% (+1.9%)
Node - 95.5% vs 96.4% (-0.9%)



Node +: 86.1% vs 81.2% (+4.9%), HR 0.72 (0.6, 0.87)
Node -: 92.3% vs 93.3% (-1%)

KATHERINE: Escalating therapy if residual disease post NACT

- cT1-4/N0-3/M0 at presentation (cT1a-b/N0 excluded)
- Centrally confirmed HER2-positive breast cancer
- Neoadjuvant therapy must have consisted of
 - Minimum of 6 cycles of chemotherapy
 - Minimum of 9 weeks of taxane
 - Anthracyclines and alkylating agents allowed
 - All chemotherapy prior to surgery
 - Minimum of 9 weeks of trastuzumab
 - Second HER2-targeted agent allowed
- Residual invasive tumor in breast or axillary nodes
- Randomization within 12 weeks of surgery

R
1:1
N=1486

T-DM1
3.6 mg/kg IV Q3W
14 cycles

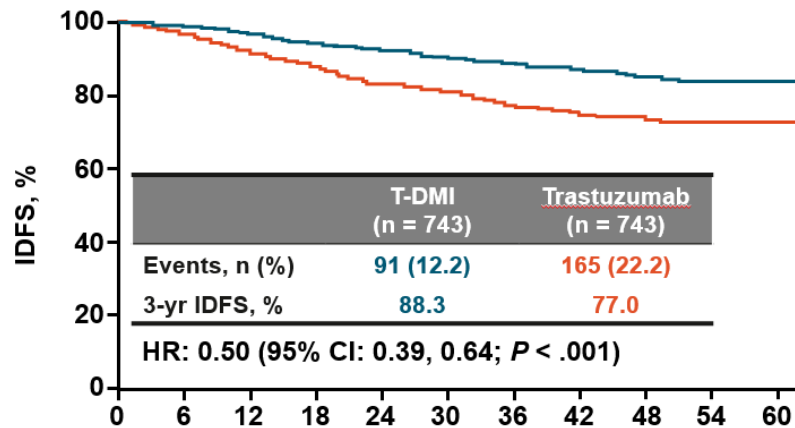
Trastuzumab
6 mg/kg IV Q3W
14 cycles

~72% HR+
18% prior P
75% prior AC
22% ypT1a,b,mic N0

Radiation and endocrine therapy per protocol and local guidelines

Stratification factors:

- Clinical presentation: Inoperable (stage cT4 or cN2-3) vs operable (stages cT1-3N0-1)
- Hormone receptor: ER or PR positive vs ER negative and PR negative/unknown
- Preoperative therapy: Trastuzumab vs trastuzumab plus other HER2-targeted therapy
- Pathological nodal status after neoadjuvant therapy: Positive vs negative/not done



Results:

- 3yr IDFS 88.3% vs. 77.0%
- Distant mets: 10.5% vs 15.9%
- Benefit seen in all subgroups

Patients at Risk, n	Mo Since Randomization										
	0	6	12	18	24	30	36	42	48	54	60
T-DM1	743	707	681	658	633	561	409	255	142	44	4
Trastuzumab	743	676	635	594	555	501	342	220	119	38	4

Ongoing trials of interest for patients with residual disease after NACT

- **CompassHER2-RD trial**

- T-DM1 + tucatinib vs. T-DM1 x 14 cycles
- If HR+ must be node+

- **DESTINY Breast05**

- Inoperable at presentation OR ypN1-3 at surgery
- Trastuzumab deruxtecan vs. T-DM1 x 14 cycles

- **ASTEAFANIA**

- T-DM1+ atezolizumab vs. T-DM1 x 14 cycles
- Stratify by PDL-1 status, centrally confirmed
- Impassion050 – adding atezolizumab to neoadjuvant chemo/HP **did not** improve pCR rates *Huober J et al. J Clin Oncol epub June 28,2022*

Roadmap: Early stage HER2+ breast cancer

